

06-13-2001

U.S. Department of Commerce
Patent and Trademark Office
PATENT



6-4-01

RECORDED 101746041
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JUN

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☐ New
☒ Resubmission (Non-Recordation)
Document ID # 101635317
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
☒ Reel # 9613 Frame # 0330

Conveyance Type

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☒ Mark if additional names of conveying parties attached

Name (line 1) Richard B.

Execution Date
Month Day Year
03 19 1998

Name (line 2) Polley

Second Party

Name (line 1) Roger F.

Execution Date
Month Day Year
03 19 1998

Name (line 2) Sanzo

Receiving Party

- ☐ Mark if additional names of receiving parties attached

Name (line 1) Buckeye Steel Castings Company

Name (line 2)

Address (line 1) 2211 Parsons Avenue

Address (line 2)

Address (line 3) Columbus

Ohio

43207

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

06/12/2001 GT0N11 00000032 010850 6089165

01 FC:581 40.00 CH

PATENT
REEL: 011874 FRAME: 0179

Correspondent Name and Address

Area Code and Telephone Number

(312) 715-1000

Name Scott A. Burow

Address (line 1) Banner & Witcoff. Ltd.

Address (line 2) 10 South Wacker Drive

Address (line 3)

Address (line 4) Chicago, IL 60606-7407

Pages

Enter the total number of pages of the attached conveyance document including any attachments

3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached.

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

6089165		

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number as not been assigned.

PCT
PCT

PCT
PCT

PCT
PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

01-0850

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Scott A. Burow

Name of Person Signing

Scott Burow

Signature

May 31, 2001

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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NEW 2-13-01

03-14-2001

Corrective

RECORDATION FOR
PATENT



IMMERCE

To the Honorable Commissioner of Patents and Trademarks, 101635317 and original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p>Buckeye Steel Castings</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>Buckeye Steel Castings Company</u></p> <p>Internal Address: _____</p> <p>Street Address: <u>2211 Parsons Avenue</u></p> <p>City: <u>Columbus</u> State: <u>OH</u> ZIP: <u>43207</u></p> <p>Additional name(s) & address(es) attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>A domestic representative designation is attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (Designations must be a separate document from Assignment)</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Other _____</p> <p>Execution Date: _____</p>	

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s). B. Patent No(s). 5,943,964 5,924,366
6,089,165

Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Scott A. Burow</u></p> <p>Address: <u>BANNER & WITCOFF, LTD.</u> <u>Suite 3000</u> <u>Ten South Wacker Drive</u> <u>Chicago, Illinois 60606</u></p>	<p>6. Total number of applications and patents involved: <u>3</u></p> <p>7. Total fee (37 CFR §3.41): \$ <u>120.00</u></p> <p><input type="checkbox"/> Enclosed</p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit Account No. 01-0850</p> <p>(Attach duplicate copy of this page if paying by deposit account.)</p>
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DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Scott A. Burow
Name of Person Signing

Scott Burow
Signature

February 13, 2001
Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

03/13/2001 PAHME1 00000137 010850 5943964
01 FD:581 120.00 CH

CORRECTIVE PATENT ASSIGNMENT

WHEREAS, Buckeye Steel Castings of Columbus, Ohio (hereinafter referred to as ASSIGNOR) is the record owner of the following United States Patents (hereinafter "The Patents"):

U.S. Patent 5,943,964

U.S. Patent 6,089,165

U.S. Patent 5,924,366

WHEREAS, Buckeye Steel Castings Company, an Ohio corporation, having a place of business at 2211 Parsons Avenue, Columbus, Ohio 43207 (hereinafter referred to as ASSIGNEE), is the proper name of the record owner of The Patents and is desirous of acquiring the entire right, title and interest in The Patents, including the right to sue for past infringement thereof:

NOW, THEREFORE, to correct the name of the record owner of The Patents and in consideration of the sum of One Dollar, and other good and valuable consideration paid by ASSIGNEE to ASSIGNOR, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE, its successors and assigns, all right, title and interest in and to The Patents, including the right to sue for and recover all profits and damages recoverable for past infringement of The Patents.

IN TESTIMONY WHEREOF, ASSIGNOR has caused this Assignment to be executed by an officer thereof, duly authorized, this 9th day of February, 2001.

Buckeye Steel Castings

By:

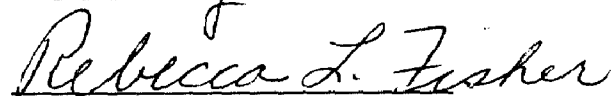

Joe W. Harden

President & Chief Executive Officer

SUBSCRIBED AND SWORN to

before me this 9th day

of February, 2001.



NOTARY PUBLIC

REBECCA L. FISHER

Notary Public, State of Ohio

My Commission Expires 11-25-03

PATENT

REEL: 011874 FRAME: 0183

12-07-1998

FORM 1595

RECORDATION FORM COVER SHEET
PATENTS

100916226

RCE
ICE

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard B. Polley
Roger F. Sanzo
William C. Jones, Jr.
Todd A. Porter

Additional name(s) of conveying party(ies) attached?
☐ YES ☒ NO

2. Name and address of receiving party(ies):
Name:

Buckeye Steel Castings

Internal Address:

Street Address:

2211 Parsons Avenue

City: Columbus

State: OH

Zip: 43207

Additional name(s) & address(es) attached?
☐ YES ☒ NO

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: March 19, 1998

4. Application number(s) or patent number(s): 09/047,746

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s):
(include series code or filing date)

B. Patent No.(s):

Additional application or patent numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Banner & Witcoff, Ltd.
Address: 10 South Wacker Drive
City: Chicago
State: IL Zip: 60606

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41) \$ 40.00
☒ Enclosed ☐ Authorized to charge Deposit Account

8. Should any fee adjustment be necessary to effect proper recordation, please debit or credit our Deposit Account No. 01-0850, as necessary.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Scott A. Burow
Name of Person Signing

Signature

November 19, 1998
Date

Atty. Docket No.: 97.031Total number of pages including cover sheet, attachments and document 4

PATENT

REEL: 011874 FRAME: 0184

ASSIGNMENT

Case No.: **97,031**

Serial No.: 09/047,746

Inventors: Richard B. Polley, Roger F. Sanzo,
William C. Jones, Jr., Todd A. Porter

Date of Execution

of Application: March 19, 1998

Filing Date: 3/24/98

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to:

Buckeye Steel Castings, a corporation of the State of Ohio

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

Railway Truck with Hot Box Detector Compatible Underslung Equalizer Beams

and identified as **Case No. 97,031** in the offices of Banner & Witcoff, Ltd. and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorizes and requests the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrants himself to be the owner of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrants that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the

PATENT

REEL: 011874 FRAME: 0185

undersigned authorizes said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agrees, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 19th day of MAR, 1998.

Richard B. Polley
Richard B. Polley

State of OHIO

County of FRANKLIN

The foregoing instrument was acknowledged before me this 19th day of

MAR, 1998 by RICHARD B. POLLEY

Russell L. Smith
NOTARY PUBLIC

RUSSELL L. SMITH
NOTARY PUBLIC, STATE OF OHIO
MY COMMISSION EXPIRES NOV. 15, 1998

WITNESS my hand and seal this 19th day of MAR, 1998.

Roger F. Sanzo
Roger F. Sanzo

State of OHIO

County of FRANKLIN

The foregoing instrument was acknowledged before me this 19th day of

MAR, 1998 by ROGER F. SANZO

Russell L. Smith
NOTARY PUBLIC

RUSSELL L. SMITH
NOTARY PUBLIC, STATE OF OHIO
MY COMMISSION EXPIRES NOV. 15, 1998

WITNESS my hand and seal this 19th day of MAR, 1998.

William C. Jones, Jr.
William C. Jones, Jr.

State of OHIO

County of FRANKLIN

The foregoing instrument was acknowledged before me this 19th day of

MAR, 1998 by William C. Jones

Russell L. Smith
NOTARY PUBLIC
RUSSELL L. SMITH
NOTARY PUBLIC, STATE OF OHIO
MY COMMISSION EXPIRES NOV. 15, 1998

WITNESS my hand and seal this 19th day of MAR, 1998.

Todd A. Porter
Todd A. Porter

State of OHIO

County of FRANKLIN

The foregoing instrument was acknowledged before me this 19th day of

MAR, 1998 by Todd A. Porter

Russell L. Smith
NOTARY PUBLIC
RUSSELL L. SMITH
NOTARY PUBLIC, STATE OF OHIO
MY COMMISSION EXPIRES NOV. 15, 1998