Express Mail Label No. EL714877809US	00-13-		Attorney Docket No.: Lim 1	
To the Honorable Commissioner of Pater			ed original documents or copy the	reof.
1. Name of conveying party(ies):	101747		s of receiving party(ies):	
JIN HONG LIM 16/01/01		_	tems Optoelectronics Guardian Corp	p
Additional name(s) of conveying party(ies) attached	? () Yes (x) No I	nternal Address:_		PTO
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3. Nature of conveyance:		Street Address: R		Je90
(x) Assignment () Merger	-	9	9333 S. John Young Parkway	
() Security Agreement () Change of	of Name	City: Orlando	State: <u>FL</u> ZIP: <u>32819</u>	
() Other		Additional name(s) and address(es) attached? () Yes	(v) No
Execution Date: May 24, 2001	1	Additional name(s) and address(es) attached: () Tes	(A) 140
Excellent Batel 122, 2 1, 233				
4. Application number(s) or patent number(s):				
If this document is being filed together with a new	w application, the exe	cution date of the	application is: May 24, 2001	
A. Patent Application No.(s)	1	B. Patent No.	.(s)	
09/87/9/2	 			
Addition	onal numbers attached	d: () Yes (x)	No	
 Name and address of party to whom corresponde concerning document should be mailed: Name: William H. Murray, Esq. 	ence	6. Total number of	of applications and patents involved:	(1) One
Internal Address:	,	7 Total fee (37.0	CFR 3.41)\$40.00	
Internal Address.		7. Total lee (37 C	ΣΙΚ 3.41)φ <u>40.00</u>	
		() Enclosed		
Street Address: Duane, Morris & Heckscher LLP		(X) Authorized to be charged to deposit account		
4200 One Liberty Place				•
City: Philadelphia State: PA ZIP: 19103-7396		8. Deposit accour	nt number:	
			50-1735	
06712/2001 LAUELLEK 00000119 501735 09871912		<u> </u>	copy of this page if paying by depos	sit account)
01 FC:581 40.00 CH	DO NOT USE TH	HIS SPACE		
 Statement and signature. To the best of my knowledge and belief, the fore 	egoing information is.	true and correct a	nd any attached copy is a true copy of	of the
original document.			., ., ., .,	
Joseph F. Oriti, Rcg. No. 47,835	1-1-	Z	June 1, 2001	
Name of person signing Total num	Signature ber of pages including	g cover sheet, atta	Date chments, and document (3)	
Mail documents to	be recorded with recorder of Patents and Tr	uired cover sheet	information to:	

Case Name and No.: Lim 1 [D8143-00302]

ASSIGNMENT AND AGREEMENT

For value received, I, Jin Hong Lim of Breinigsville, in the County of Lehigh and the Commonwealth of Pennsylvania, hereby sell, assign and transfer to Agere Systems Optoelectronics Guardian Corp., a corporation of the State of Delaware, having an office at 9333 S. John Young Parkway, Room 301E1211, Orlando, FL 32819, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **PASSIVELY OUTPUT FLATTENED OPTICAL AMPLIFIER**, described in an application for Letters Patent of the United States, executed by me of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Agere Systems Optoelectronics Guardian Corp. Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from me, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Agere Systems Optoelectronics Guardian Corp. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Agere Systems Optoelectronics Guardian Corp. in the United States and in all countries foreign to the United States, or to such nominees as Agere Systems Optoelectronics Guardian Corp. they may designate.

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PATENT REEL: 011875 FRAME: 0603

I agree that, when requested, I shall, without charge to Agere Systems Optoelectronics Guardian Corp. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

5/24/0/

United States of America

Commonwealth of Pennsylvania) ss.:

County of Lehigh

On this _____day of _____, 2001, before me personally came Jin Hong Lim, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

NOTARIAL SEAL DEBRAIL SHIPLEY, Notary Public Breinigsville, Lehigh County My Commission Expires May 5, 2003

Agere Systems Optoelectronics Guardian Corp. 9333 S. John Young Parkway Room 301E1211 Orlando, FL 32819

RECORDED: 06/01/2001

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PATENT REEL: 011875 FRAME: 0604