

06-13-2001



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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

6821
J. Tyson Balkcum III
George Liang
Timothy J. Ramley
Chris Williams
Hans R. Przirembel

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

5/10/01, 2/20/01, 2/25/01,
2/24/01, 4/25/01, respectively

Execution Date: _____

2. Name and address of receiving party(ies)

Name: UNITED TECHNOLOGIES CORPORATION

Internal Address: United Technologies Building

Hartford, CT 06101

Street Address: _____

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/835,426, filed April 16, 2001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Barry L. Kelmachter

Internal Address: BACHMAN & LaPOINTE, P.C.

900 Chapel Street, Suite 1201

New Haven, CT 06510-2802

Street Address: _____

City: _____ State: _____ Zip: _____

6. Total number of applications and patents involved: ☒ 1

7. Total fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

02-0184

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George A. Coury

Name of Person Signing

Signature

June 6, 2001

Date

Total number of pages including cover sheet, attachments, and documents: ☒ 8

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 011876 FRAME: 0229

JRS 5-10-62
ASSIGNMENT

Tyson

WHEREAS, We, J. ~~Tyler~~ Balkcum III, of 103 Bogey Court, Taylors, SC 29687, George Liang, of 1431 SW Eagle Nest, Palm City, FL 34990, Timothy J. Remley, of 13966 158th Street N., Jupiter, FL 33478, Chris Williams, of 7816 Penwood Ct., Lake Worth, FL 33467, and Hans R. Przirembel, of 5416 Old Ft. Jupiter Road, Jupiter, FL 33458, have invented certain improvements in a THIN WALLED COOLED HOLLOW TIP SHROUD, identified as Attorney Docket No. 00-734, and described in a patent application executed by the undersigned on the dates set after the signatures hereto, being owners of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in

and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agrees, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have-hereunto set
their hand and seal on the date after their signature.

(L.S.) J. Tyson Balkcum III
Tyson

✓ 5-10-01
Date

(L.S.) _____
George Liang

Date

(L.S.) _____
Timothy J. Remley

Date

(L.S.) _____
Chris Williams

Date

(L.S.) _____
Hans R. Przirembel

Date

IN TESTIMONY WHEREOF, the undersigned have-hereunto set
their hand and seal on the date after their signature.

(L.S.) J. ~~Tyler~~ Balkcum III
Tyson

Date

(L.S.) ✓ *George Liang*
George Liang

✓ 2/20/2007
Date

(L.S.) Timothy J. Remley

Date

(L.S.) Chris Williams

Date

(L.S.) Hans R. Przirembel

Date

IN TESTIMONY WHEREOF, the undersigned have-hereunto set
their hand and seal on the date after their signature.

(L.S.) J. ~~Tyler~~ Balkcum III
Tyson

Date

(L.S.) George Liang

Date

(L.S.) *Timothy J. Remley*
Timothy J. Remley

Date *✓ 2/25/2001*

(L.S.) Chris Williams

Date

(L.S.) Hans R. Przirembel

Date

IN TESTIMONY WHEREOF, the undersigned have-hereunto set
their hand and seal on the date after their signature.

(L.S.) J. ~~Tyler~~ Balkcum III
Tyson

Date

(L.S.) George Liang

Date

(L.S.) Timothy J. Remley

Date

(L.S.) *Chris Williams*
Chris Williams

✓ 24 FEB 2001
Date

(L.S.) Hans R. Przirembel

Date

(L.S.) J. ~~Tyler~~ Balkcum III Date _____
 Tyson

(L.S.) _____
Timothy J. Remley Date

(L.S.) ✓ Hans R. Przirembel ✓ April 25, 2001
Hans R. Przirembel Date