

06-13-2001



101748500

FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

6-4-01

# **RECORDATION FORM COVER SHEET** **PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p><b>Vijay Swarup</b> 1/24/01  <b>Peter S. Ellis</b> 1/30/01  <b>Henry Wu-Hsiang Yang</b> 1/30/01  <b>Oliver W. Smith</b> 1/24/01  <b>Thomas H. Henry</b> 1/24/01</p> <p>Additional name(s) of conveying party(ies) attached?  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>Exxon Chemical Patents Inc.</u></p> <p>Street Address: <u>13501 Katy Freeway</u></p> <p>City: <u>Houston</u> State: <u>Texas</u> ZIP: <u>77079</u></p> <p>Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>				
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other</p> <p>Execution Dates: <u>January 24, 2001, January 24, 2001, January 24, 2001, January 30, 2001, and January 30, 2001</u></p>	<p>JUN - 4 2001</p>				
<p>4. Application number(s) or patent number(s):</p> <p>If this document is being filed together with a new application, the execution date of the application is: _____</p> <table border="0"> <tr> <td>A. Patent Application No.(s)</td> <td>B. Patent No.(s)</td> </tr> <tr> <td>09/622,748</td> <td></td> </tr> </table> <p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>		A. Patent Application No.(s)	B. Patent No.(s)	09/622,748	
A. Patent Application No.(s)	B. Patent No.(s)				
09/622,748					
<p>5. Name and address of party to whom correspondence concerning documents should be mailed:</p> <p>Name: <u>Louis N. Moreno</u>  Internal Address: <u>ExxonMobil Chemical Company</u>  <u>Law Technology</u>  Mailing Address: <u>P.O. Box 2149</u>  City: <u>Baytown</u> State: <u>Texas</u> ZIP: <u>77522-2149</u></p>	<p>6. Total number of applications and patents involved: [1]</p> <p>7. Total fee (37 CFR 1.41): <u>\$200.00</u></p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>05-1712</u></p>				

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Louis N. Moreno  
Name of Person Signing

Louis N. Moreno  
Signature

June 1, 2001  
Date

Total number of pages including cover sheet, attachments, and document: [12]

Mail documents to be recorded with required cover sheet information to:

06/12/2001 TDIAZ1 00000056 051712 09622748

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02-27-2001

FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

2-6-01 RE

101621719  
PATENTS ONLY

EET

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Vijay Swarup 1/24/01  
 Peter S. Ellis 1/30/01  
 Henry Wu-Hsiang Yang 1/30/01  
 Oliver W. Smith 1/24/01  
 Thomas H. Henry 1/24/01

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Exxon Chemical Patents Inc.Street Address: 13501 Katy FreewayCity: Houston State: Texas ZIP: 77079

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Dates: January 24, 2001 and January 30, 2001Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

459903921

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: Andrew B. Griffis  
 Internal Address: ExxonMobil Chemical Company  
Law Technology  
 Mailing Address: P.O. Box 2149  
 City: Baytown State: Texas ZIP: 77522-2149

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 1.41): \$40.00☒ Authorized to be charged to deposit account8. Deposit account number: 05-1712

02/26/2001 DBYRNE 00000218 051712 U59903921

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Andrew B. Griffis

Name of Person Signing

Signature

February 2, 2001

Date

Total number of pages including cover sheet, attachments, and document: [11]

Mail documents to be recorded with required cover sheet information to:

COMMISSIONER OF PATENTS AND TRADEMARKS  
 BOX ASSIGNMENT  
 WASHINGTON DC 20231

# ASSIGNMENT

## INVENTOR or INVENTORS:

VIJAY SWARUP

PETER S. ELLIS

HENRY WU-HSIANG YANG

OLIVER W. SMITH

THOMAS H. HENRY

In consideration of One Hundred Twenty-five Dollars (\$125.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned person(s) named above, herein referred to as UNDERSIGNED, hereby agree(s) as follows:

1) UNDERSIGNED hereby assign(s) to Exxon Chemical Patents Inc., a corporation of Delaware, its successors, legal representatives and assigns, herein referred to collectively as ASSIGNEE, the entire right, title and interest, for the United States and all foreign countries, in and to UNDERSIGNED'S invention or improvement and to all patent applications and patents covering same which is described in a patent application entitled

### **"Polymer Compositions Derived from Vinyl Neo C<sub>9</sub>-C<sub>13</sub> Carboxylic Acid Esters"**

said application having been executed on the 24th and 30th day(s) of January, 2001, and being further identified as Case No. 98B006, International Application No. PCT/US99/03921, filed February 23, 1999; and all rights of priority created by said application under provisions of international conventions or treaties.

2) UNDERSIGNED agree(s) upon the request of ASSIGNEE to execute any and all applications for and documents relating to obtaining patents and the prosecution thereof for said invention or improvement, and any continuations of such applications or substitutes therefor or registrations thereof, and any specific separate assignments of any of the above required for recording in the United States Patent and Trademark Office and any foreign patent office that ASSIGNEE may deem necessary or expedient.

3) UNDERSIGNED agree(s) that in the event of any application based on said assigned invention or improvement, or patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in priority of invention proceedings, UNDERSIGNED will cooperate with ASSIGNEE to the best of the ability of UNDERSIGNED in the matter of preparing and executing all documents and giving and producing evidence in support thereof.

4) UNDERSIGNED agree(s) to perform upon ASSIGNEE'S request any and all affirmative acts to obtain United States and foreign patents covering said invention or improvement and to vest all rights therein hereby conveyed to ASSIGNEE, as fully and as entirely as the same would have been held and enjoyed by UNDERSIGNED if this assignment and sale had not been made.

5) UNDERSIGNED hereby authorize(s) ASSIGNEE's attorney to insert at paragraph 1, in this instrument the date(s) on which UNDERSIGNED executed the Declaration for the above-referenced patent application, as well as the filing date and application number thereof when known.

IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE \_\_\_\_\_ (LS)  
VIJAY SWARUP

\_\_\_\_\_  
Witness

DATE \_\_\_\_\_ (LS)  
PETER S. ELLIS

\_\_\_\_\_  
Witness

DATE \_\_\_\_\_ (LS)  
HENRY WU-HSIANG YANG

\_\_\_\_\_  
Witness

DATE 1-24-01 Oliver W. Smith (LS)  
OLIVER W. SMITH

Alan D. Farmer  
Witness

DATE \_\_\_\_\_ (LS)  
THOMAS H. HENRY

\_\_\_\_\_  
Witness

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Witness

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\_\_\_\_\_  
Witness

DATE \_\_\_\_\_ (LS)  
OLIVER W. SMITH

\_\_\_\_\_  
Witness

DATE 1/24/01 Thomas H. Henry (LS)  
THOMAS H. HENRY

Chris Statel  
\_\_\_\_\_  
Witness

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DATE

Jan 24 2001

V Swarup

(LS)

VIJAY SWARUP

Melani Jensen  
Witness

DATE

(LS)

PETER S. ELLIS

Witness

DATE \_\_\_\_\_ (LS)  
HENRY WU-HSIANG YANG

\_\_\_\_\_  
Witness

DATE \_\_\_\_\_ (LS)  
OLIVER W. SMITH

\_\_\_\_\_  
Witness

DATE \_\_\_\_\_ (LS)  
THOMAS H. HENRY

\_\_\_\_\_  
Witness



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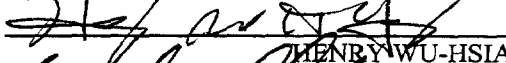
IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

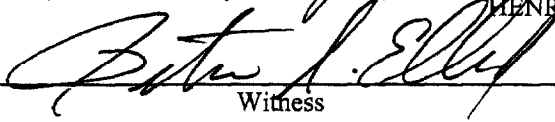
DATE \_\_\_\_\_ (LS)  
VIJAY SWARUP

\_\_\_\_\_  
Witness

DATE \_\_\_\_\_ (LS)  
PETER S. ELLIS

\_\_\_\_\_  
Witness

DATE Jan. 30, 2001  (LS)  
HENRY WU-HSIANG YANG

  
Witness

DATE \_\_\_\_\_ (LS)  
OLIVER W. SMITH

\_\_\_\_\_  
Witness

DATE \_\_\_\_\_ (LS)  
THOMAS H. HENRY

\_\_\_\_\_  
Witness

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DATE \_\_\_\_\_ (LS)  
VIJAY SWARUP

Witness

DATE January 30, 2001 \_\_\_\_\_ (LS)  
PETER S. ELLIS

Witness

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HENRY WU-HSIANG YANG

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Witness

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THOMAS H. HENRY

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Witness