Docket No.: FIS920010037US1(14269) FORM PTO-1595 (Modified) 06-13-2001 T U.S. DEPARTMENT OF COMMERCE (Rev. 6-93) OMB No. 0651-0011 (exp.4/94) Patent and Trademark Office Copyright 1996-97 LegalStar P08A/REV02 Tab settings → → 101746901 To the Honorable Commissioner of Pate.... attached original documents or copy thereof. Name of conveying party(ies): 2. Name and address of receiving party(ies): Jack A. Mandelman Name: International Business Machines Corporation Ramachandra Divakaruni Johnathan E. Faltermeier Address: New Orchard Road William R. Tonti ☐ Yes 🗶 No Additional names(s) of conveying party(ies) attached? 3. Nature of conveyance: ■ Assignment Merger City: Armonk _____ State/Prov.: New York Security Agreement ☐ Change of Name ZIP: 10504 Country: U.S.A. Other Execution Date: 5-07-01, 5-08-01, 5-17-01, 5-11-01 ⊠ No Additional name(s) & address(es) attached? ☐ Yes 4. Application number(s) or registration numbers(s): If this document is being filed together with a new application, the execution date of the application is: 5-07-01, 5-08-01 5-17-01, 5-11-01 Parent Application Nonis CORNESP SUBJECT SEING B. Patent No.(s) DEPOSITED WHITTHE U.S. POSTAL SERVICE AS EXPRESS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF DEPOSIT AS THE FILE DATE. DATE OF DEPOSIT: Additional numbers attached?
Yes
No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Steven Fischman 7. Total fee (37 CFR 3.41):....\$ 40.00 Registration No. 34,594 ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account Address: Scully, Scott, Murphy & Presser Authorized to be charged to deposit account 400 Garden City Plaza (516)742-4343 8. Deposit account number: City: Garden City State/Prov.: New York FI-284 09-0458/IBM ZIP: 11530 Country: U.S.A. DO NOT USE THIS SPACE D6/11/2001 GTDN11 00000273 090458 09861274 40.00 CH 01 FC:581 Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Todd M.C. Li, Reg. No. 45,554 Date Name of Person Signing Signature Total number of pages including cover sheet, attachments, and document:

REEL: 011877 FRAME: 0759

(IBM Docket No.: FIS920010037US1)

SSM&P Docket No.: 14269

ASSIGNMENT

Whereas, we

Inventor and City (1) Jack A. Mandelman County of Dutchess

City of Stormville and State of New York

and

(2) Ramachandra Divakaruni

City of Somers

County of Westchester

and State of New York

and

(3) Johnathan E. Faltermeier **County of Dutchess**

City of Lagrange and State of New York

and

(4) William R. Tonti County of Chittenden

City of Essex Junction and State of Vermont

have invented certain improvements in

DEEP SLIT ISOLATION WITH CONTROLLED VOID

Dates That Inventors Signed the Declaration and have executed, respectively, a United States patent application therefor on

(1) (3) 2001, and (2) 5-08 2001, and (4)

2001, and

2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor:

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City	(1) Signed at E. FISHKILL W.	7			
Date	on 5-7-01	Jack G. (Jack First Name	A. Middle Initial	Mandelman Last Name	signature) inventor
City	(2) Signed at E. FISHKILL	, NY			,
Date	on 5-8-01	(Ramachandi First Name		Divakaruni Last Name	signature) inventor
City	(3) Signed at Entreship				
Date	on 5-17-01	(Johnathan First Name	E. Middle Initial	Faltermeier Last Name	signature) inventor
City	(4) Signed at				
Date	on	(William First Name	R. Middle Initial	Tonti Last Name	signature) inventor

Page -1-

PATENT

REEL: 011877 FRAME: 0760

(IBM Docket No.: FIS920010037US1) SSM&P Docket No.: 14269

ASSIGNMENT

Whereas, we

Inventor and City

and

and

and

(1)

(3)

(1) Jack A. Mandelman County of Dutchess

(2) Ramachandra Divakaruni County of Westchester

(3) Johnathan E. Faltermeier County of Dutchess

(4) William R. Tonti County of Chittenden

City of Stormville and State of New York

City of Somers and State of New York

City of Lagrange and State of New York

City of Essex Junction and State of Vermont

have invented certain improvements in DEEP SLIT ISOLATION WITH CONTROLLED VOID

Dates That Inventors Signed the Declaration and have executed, respectively, a United States patent application therefor on

2001, and (2)

2001, and

2001, and (4) 5-11-01 2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City Date	(1) Signed at E-FISHKILY MY on 5-7-01	Jack a. me	. Mandelman	signature inventor
City Date	(2) Signed at E. FISHINILL, A	First Name Middle In Camachandra First Name Middle Initi	<u>Divakami</u>	signature) inventor
City	(3) Signed at			
Date	on	(Johnathan E. First Name Middle Init	Faltermeier ial Last Name	signature) inventor
City	(4) Signed at ESSEX Jei, V			
Date	on 5-11-81		Tonti last Name	_ signature) inventor

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PATENT

RECORDED: 05/18/2001 REEL: 011877 FRAME: 0761