

06-13-2001



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PATENTS ONLY

PTO
09/872182

05/31/01

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Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) Rambhatla, Lakshmi

Name (line 2)

Execution Date
Month Day Year
 05302001

Second Party

Name (line 1) Carpenter, Melissa K.

Name (line 2) *09/872182*

Execution Date
Month Day Year
 05302001

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Geron Corporation

Name (line 2) a Delaware Corporation

Address (line 1) 230 Constitution Drive

Address (line 2)

Address (line 3) Menlo Park CA, US 94025

City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name J. Michael Schiff

Address (line 1) Geron Corporation

Address (line 2) 230 Constitution Drive

Address (line 3) Menlo Park, CA 94025

Address (line 4)

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PATENT
REEL: 011877 FRAME: 0938

Correspondent Name and Address

Area Code and Telephone Number

(650) 473-7715

Name

J. Michael Schiff

Address (line 1)

Geron Corporation

Address (line 2)

230 Constitution Drive

Address (line 3)

Menlo Park, CA 94025

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

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2

Application Number(s) or Patent Number(s)

☐

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

05302001

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

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Number of Properties

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#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☒

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

07/1139

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

J. Michael Schiff

Name of Person Signing

Signature

May 31, 2001
Date

COPY

PATENT
Docket No.: 093/003D

ASSIGNMENT

Whereas we, Lakshmi Rambhatla and Melissa K. Carpenter

executed employment agreements with Geron Corporation (hereinafter called Geron), a Delaware Corporation having its principal place of business at 230 Constitution Drive, Menlo Park, CA 94025, whereby we have agreed to assign to Geron all inventions (except as otherwise limited by law) which relate to Geron business and which were first conceived or actually reduced to practice during our employment by Geron;


Now, therefor, pursuant to the terms of said employment agreements and for good and valuable consideration, receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto Geron, its successors and assigns, the entire right, title and interest, including the right of priority in, to and under an application for Letters Patent of the United States entitled:

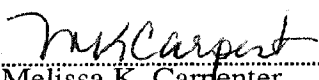
HEPATOCYTE LINEAGE CELLS DERIVED FROM PLURIPOTENT STEM CELLS

[X] filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, we agree promptly upon request of Geron, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Geron, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me in lending such cooperation and assistance are paid by Geron.

In witness whereof, we hereunto set our hands and seal:

Inventor's Signature:  Date: 5-30-01
Lakshmi Rambhatla

Inventor's Signature:  Date: 5/30/01
Melissa K. Carpenter

State of California

} ss.

County of San Mateo

Before me this

30th

day of

May 2001

personally appeared

Lakshmi Rambhatla

personally known to me (or proved to me on the basis of satisfactory evidence)

to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.

K. Makausky

Notary Public

Notary Stamp/Seal

State of California

} ss.

County of San Mateo

Before me this

30th

day of

May 2001

personally appeared

Melissa K. Carpenter

personally known to me (or proved to me on the basis of satisfactory evidence)

to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.



K. Makausky

Notary Public

Notary Stamp/Seal