FORM PTO-1595

(Rev. 6-93)

/ER SHEET U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94) Atty Dkt No.:2001P05654US 101746851 To the Honorable Commissioner or acting and trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): Name and address of receiving party(ies) Name: International Business Machines Corporation Greg COSTRINI Internal Address: Additional name(s) of conveying party(ies) attached? \_\_\_ Yes Street Address:\_\_\_\_ 3. Nature of conveyance: X Assignment \_\_\_ Merger City: Armonk State: NY ZIP: 10504 \_\_\_ Security Agreement \_\_\_ Change of Name \_\_\_ Other \_\_\_\_ Additional name(s) & address(es) attached? \_\_\_ Yes \_X\_ No Execution Date: April 30, 2001 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: April 30, 2001 A. Patent Application No.(s) B. Patent No.(s) To Be Assigned Additional numbers attached? \_\_\_ Yes \_X No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: 1 concerning document should be mailed: 7. Total Fee (37 CFR 3.41) . . . . . . . \$ 40.00 Name: Elsa Keller Internal Address: \_\_\_ Enclosed Siemens Corporation X Authorized to be charged to deposit account Intellectual Property Department Street Address: 186 Wood Avenue South 8. Deposit Account No. City: Iselin State: NJ ZIP: 08830 19-2179 DO NOT USE THIS SPACE 06/11/2001 GTON11 00000262 192179 09861253 1 FC:581 40.00 CH 9. Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. wld B\_lass lburg Signature Donald B. Paschburg Name of Person Signing Reg. No. 33,753 Total number of pages including cover sheet, attachments, and document:

## **ASSIGNMENT**

## WHEREAS, we

(1) David KOTECKI County of

of Orono, and State of Maine

(2) Greg COSTRINI County of Dutchess of Hopewell Junction, and State of New York

have invented certain improvements in **CONTACT PLUG FORMATION FOR DEVICES WITH STACKED CAPACITORS** and executed a United States patent application therefor on: (dates that Inventors signed the declaration)

(1)	(2)	April	30	2
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Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire, right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed (city and date)

(1) at , ME on <u>April 30</u> 2001

David KOTECKI

(2) at East Fishkill, NY on 15pril 30 2001

RECORDED: 05/18/2001

Greg COSTRINI

PATENT REEL: 011879 FRAME: 0940