

06-14-2001

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



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and original documents or copy thereof.

To the Honorable Commissioner of Patents and

1. Name of conveying party(ies):

James W. Forbes

6-1101

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: April 23, 2001

2. Name and address of receiving party(ies):

Name: National Steel Car Limited

Internal Address: \_\_\_\_\_

Street Address: 602 Kenilworth Avenue North

P.O. Box 2450

City: Hamilton, Ontario, Canada State: \_\_\_\_\_ ZIP: L8N 3J4

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/804,050

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen L. Grant

Internal Address: Oldham & Oldham Co., L.P.A.

Street Address: Twin Oaks Estate

1225 West Market Street

City: Akron State: OH ZIP: 44313-7188

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Stephen L. Grant

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

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(Form PTO--1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT  
REEL: 011882 FRAME: 0898

**ASSIGNMENT**

WHEREAS, I, James W. Forbes, residing at the address stated below, have made an invention entitled **DROPPED DECK CENER BEAM RAIL ROAD CAR** for which I have filed applications for United States and Canadian Letters Patent. I hereby authorize my attorney, authorized to prosecute said application, to here insert the filing date and serial number of said application when known:

United States:           Filed: March 12, 2001  
Canada:                 Filed:

Serial No. 09/804,050  
Serial No.

WHEREAS, I represent and warrant that I am the owner of said invention and application for Letters Patent;

AND WHEREAS, **NATIONAL STEEL CAR LIMITED** (hereinafter referred to as ASSIGNEE), a corporation organized under the laws of Canada, having a place of business at P.O. Box 2450, 602 Kenilworth Avenue North, Hamilton, Ontario L8N 3J4 Canada, is desirous of acquiring the same;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by me, I have assigned, sold, transferred and set over and by these presents do assign, sell, transfer and set over unto said ASSIGNEE the entire right, title and interest in and to (a) said invention and worldwide rights therein; (b) said application, including all divisions, continuations, continuations-in-part and substitutions thereof; and (c) all Canadian, United States and foreign patents which shall issue on said invention, including all reissues, renewals and extensions thereof, for the United States, its territories and possessions, Canada, and all foreign countries, including the right to file corresponding applications for Letters Patent on said invention in any and all foreign countries, and to claim priority under any and all treaties and conventions to which Canada is signatory, including the Paris Convention for the Protection of Industrial Property for such corresponding applications, or any division, continuation or substitution thereof, the same to be held and enjoyed by said ASSIGNEE, its assigns and successors, as fully and entirely as the same would have been held and enjoyed by me, had this assignment not been made.

I covenant and agree that I will, at any time upon the request and at the expense of said ASSIGNEE, execute and deliver any and all papers and do all lawful acts that may be necessary or desirable, in the opinion of said ASSIGNEE, to enable and assist said ASSIGNEE to (a) obtain Letters Patent, both domestic and foreign, on said invention; (b) establish, maintain and secure title in said ASSIGNEE, its successors and assigns, to said invention, application and Letters Patent, including making such title of lawful public record; and (c) defend, establish or otherwise preserve the validity of said Letters Patent against any and all infringers, and perform such other acts as are necessary to give full force and effect to this assignment.

I represent and covenant that no assignment, mortgage, sale, license, pledge, encumbrance or alienation of said invention or patent application has been or will be made or entered into which would conflict with this assignment and sale.

I hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and the Commissioner of Patents of Canada, respectively, to issue all Letters Patent based on said application and each division, continuation, substitution, reissue, renewal and extension thereof to said ASSIGNEE, its successors and assigns.

IN TESTIMONY WHEREOF, I have duly executed this assignment on the date as indicated next to my name.

Sole Inventor:

Date: April 23/01

James W. Forbes  
James W. Forbes

Residence Address:

15 Glenron Road  
RR #2  
Campbellville, Ontario  
L0P 1B0  
Canada

Citizenship:

Canada

Witnessed By:

(Signature) David Keats  
(Print Name) DAVID KEATS