

06-14-2001



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Form PTO-1595

U.S. Department of Commerce

RECORDATION FORM COVER SHEET  
PATENTS ONLY

Docket No. 18010-0012

To the Hon. Commissioner of Patents & Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): 61101  
Harpal S. Sandhu  
Viral V. Tolat  
Additional name of conveying party attached?  Yes  No

2. Name and address of receiving party(ies):  
Name: Integral Development Corporation  
Address: 2023 Stierlin Court  
JUN 11 2001  
City: Mt. View State: CA ZIP: 94043  
Additional name(s) and address(es) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other: \_\_\_\_\_  
Execution Date: May 8 & 21, 2001

4. Application number(s) or patent numbers:  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_  
A. Patent Application No.(s) 09/703,198 B. Patent No.(s) \_\_\_\_\_  
Additional numbers attached?  Yes  No

5. Name and address party to whom correspondence concerning document should be mailed:  
Name: Chien-Wei (Chris) Chou  
Street Address: 1400 Page Mill Road  
City: Palo Alto State: California ZIP: 94304-1124

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR 3.41) \$40.00  
 Enclosed  
 Any discrepancy or overpayment is authorized to be charged to deposit account

8. Deposit Account number:  
02-3964  
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
Chien-Wei (Chris) Chou, Reg. No. 41,672 [Signature] June 6, 2001  
Name of Person Signing Signature Date  
Total number of pages including cover sheet, attachments, and document: 4

06/13/2001 GTDM11 00000240 09703198

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SV/211387.01  
06052001/18:36/18010.12

PATENT  
REEL: 011883 FRAME: 0616

**ASSIGNMENT**

I, as a below-named inventor, for good and valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to:

**INTEGRAL DEVELOPMENT CORPORATION  
2023 STIERLIN COURT  
MOUNTAIN VIEW, CALIFORNIA 94043**

**A CALIFORNIA CORPORATION** (hereinafter referred to as Assignee), its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by **Harpel S. Sandhu and Viral V. Tolat** and disclosed and/or claimed in an application for Letters Patent of the United States of America Serial No. 09/703,198 filed October 31, 2000, entitled:

**“SYSTEM AND METHOD FOR CONDUCTING WEB-BASED FINANCIAL  
TRANSACTIONS IN CAPITAL MARKETS”**

and signed by me

On the date set forth below;  
on the DATE\_OF\_SIGNING\_DECLARATION

and in and to any and all divisions, reissues, continuations and extensions thereof; and in and to all corresponding applications for Letters Patent and all Convention and Treaty Rights of any kind, in all countries throughout the world, for all such subject matter.

I hereby authorize and request that the Patent Office Officials in the United States, and in countries foreign to the United States, issue any and all of said Letters Patent or similar documents, when granted, to said Assignee, as the Assignee of our entire right, title and interest in and to the same, for the sole use and enjoyment of said Assignee, its successors and assigns.

Further, I agree that I will communicate to said Assignee, or its representatives, any facts known to me respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisions, continuations, substitutions, and renewal reexamination and reissue applications, execute all necessary Assignment papers to cause any and all of said Letters Patent to be issued to said Assignee, make all rightful oaths and generally do everything necessary or desirable to aid said Assignee, its successors and assigns, to obtain and enforce proper protection for said invention in the United States.

The undersigned hereby authorizes the firm of **OPPENHEIMER WOLFF & DONNELLY LLP** to correct errors in this assignment or to insert any further identification or other information necessary or desirable to make this assignment suitable for recordal in the United States Patent Office, and any Patent Office foreign to the United States.

In Testimony Whereof, I hereunto set my hand.

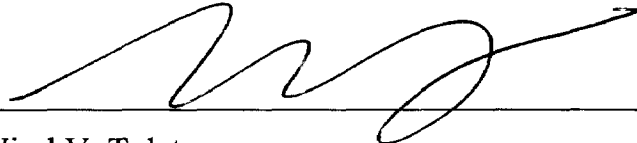
SIGNATURES

Inventor's Signature:  Date: 5/21/01

Typed Name: Harpal S. Sandhu

Home Address: 669 Waverly Place, Palo Alto, California 94301

Citizenship: United States of America

Inventor's Signature:  Date: 5/8/2001

Typed Name: Viral V. Tolat

Home Address: 2148 Harkins Avenue, Menlo Park, California 94025

Citizenship: United States of America

NOTARIAL CERTIFICATE

STATE OF CALIFORNIA )  
 ) ss.  
COUNTY OF SANTA CLARA )

On May 21<sup>st</sup>, 2001 before me, Mary Ho, a notary public, personally appeared Harpal Sandhu personally known to me (or proved to me on the basis of satisfactory evidence) to be the preson(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

SEAL



Mary Ho  
Notary Public

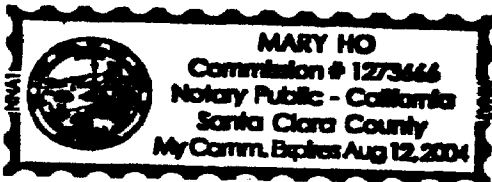
NOTARIAL CERTIFICATE

STATE OF CALIFORNIA )  
 ) ss.  
COUNTY OF SANTA CLARA )

On May 8, 2001 before me, Mary Ho, a notary public, personally appeared Viral V. Tolat personally known to me (or proved to me on the basis of satisfactory evidence) to be the preson(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

SEAL



Mary Ho  
Notary Public