

06-15-2001

PATENTS ONLY

TO THE DIRECTOR OF THE UNITED STATES



PATENTS ONLY

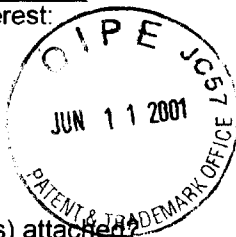
TRADEMARK OFFICE

Please record the

101750185

hereof.

1. Name of Party(ies) conveying an interest:

Dennis K. Lieu
Griffith D. Neal

Additional name(s) of conveying party(ies) attached?

6-11-01

☐ Yes ☒ No

2. Name and Address of Party(ies) receiving an interest:

Name: Encap Motor Corporation
Internal Address:
Street Address: 1334 Bay Street
City: Alameda
State/Zip: California 94501

Additional name(s) and addresses attached?

☐ Yes ☒ No

3. Description of the interest conveyed:

☒ Assignment☐ Change of Name

Other:

☐ Security Agreement☐ Merger

Execution Date: 6/4/01 Griffith D. Neal, 6/5/01 Dennis K.

Lieu

4. Application number(s) or patent number(s). Additional sheet attached? ☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is:

Date

A. Patent Application No.(s)

09/738,268

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

6. Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

☒ Enclosed☐ Authorized to be charged to Deposit Account No. 23-19258. ☒ Please charge any deficiencies in fee or credit any overpayment to Deposit Account No. 23-1925.

DO NOT USE THIS SPACE

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven P. Shurtz

Name of Person Signing

Signature

June 6, 2001

Date

Total number of pages including cover sheet, attachments, and document: 4

Rev. Dec.-99

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PATENT
REEL: 011884 FRAME: 0851

ASSIGNMENT

WHEREAS, Dennis K. Lieu and Griffith D. Neal, hereinafter called the "Assignors", have made the invention described in the United States patent application entitled SPINDLE MOTOR WITH ENCAPSULATED STATOR AND METHOD OF MAKING SAME, for a full description of which reference is here made to an application for Letters Patent of the United States filed on December 15, 2000, and assigned Application Serial No. 09/738,268 and to Provisional Application for Letters Patent of the United States filed on December 17, 1999, and assigned Application Serial No. 60/172,287;

WHEREAS, Encap Motor Corporation, a corporation organized and existing under the laws of the State of California, having a place of business at 1334 Bay Street, Alameda, California 94501, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent applications identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent applications identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

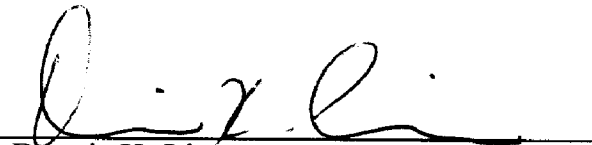
The Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the prosecution of the patent applications identified above; in the making and prosecution of any other patent

applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.


The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATED: 5 June 2001


Dennis K. Lieu

DATED: JUN 04 2001


Griffith D. Neal

STATE OF California)
) ss.
COUNTY OF Alameda)

I, Benjamin Mendez, a Notary Public in and for the County and State aforesaid, do hereby certify that Dennis K. Lieu, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that ~~(s)~~he signed, sealed and delivered the said instrument as his/~~her~~ free and voluntary act for the uses and purposes therein set forth.

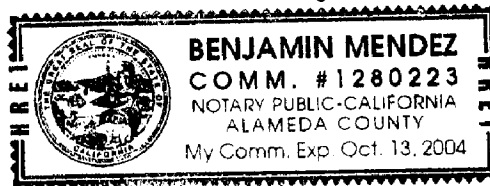
IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 5th day of June, 2001.

Benjamin Mendez
Notary Public

(SEAL)

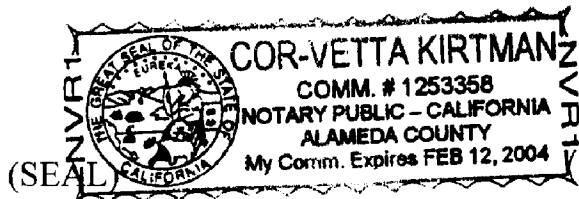
My Commission Expires: _____

STATE OF California)
) ss.
COUNTY OF Alameda)



I, Cor-Vetta Kirtman, a Notary Public in and for the County and State aforesaid, do hereby certify that Griffith D. Neal, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that ~~(s)~~he signed, sealed and delivered the said instrument as ~~(his)~~her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 4th day of June, 2001.



(SEAL)

Cor-Vetta Kirtman
Notary Public

My Commission Expires: Feb. 12, 2004