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original documents or copy thereof.

To the Honorable Commissioner of Patents and Trademarks

1. Name of conveying party(ies):

- 1) David Smith
- 2) Sheldon Schultz
- 3) Norman Kroll
- 4) Richard A. Shelby

611-01

Additional name(s) of conveying party(ies) attached? () Yes (X) No

2. Name and address of receiving party(ies)

Name: **The Regents of The University of California**

Internal Address:

Street Address: **1111 Franklin Street**

City: OAKLAND State: CA ZIP: 94607-5200

Additional name(s) & address(es) attached: () Yes (X) No

3. Nature of conveyance:

- (X) Assignment () Merger
- () Security Agreement () Change of Name
- () Other _____

Execution Dates: Inventors 1 and 2 executed on **April 5, 2001**;
Inventor 3 executed on **April 16, 2001** and
Inventor 4 executed on **April 18, 2001**

4. Application number(s) or patent number(s): **09/811,376 filed on March 16, 2001**

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) Unassigned

B. Patent No.(s)

Additional numbers attached? () Yes (X) No.

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Steven P. Fallon, Esq.
Greer, Burns & Crain, Ltd.**

Internal Address: **300 South Wacker Drive
25th Floor
Chicago, Illinois 60606**

Street Address: _____

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached: () Yes (X) No

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) \$ 40.00

(X) Enclosed

(X) Authorized to be charged to deposit account only in the event proper payment is not enclosed.

8. Deposit account number:

07-2069

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven P. Fallon

Name of Person Signing

Signature

June 6, 2001

Date

Total number of pages including cover sheet, attachments, and document: **4**

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

05/14/2001 GT0N11 00000141 09811376

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40.00 DP

PATENT
REEL: 011884 FRAME: 0923

ASSIGNMENT

WHEREAS, DAVID SMITH, a citizen of U.S., Post Office Address of 7699 Palmilla Drive #3114, San Diego, California 92122, SHELDON SCHULTZ, a citizen of U.S., residing 8415 Sugarman Drive, California 92037, NORMAN KROLL, a citizen of U.S., residing at 2457 Calle DeOro, LaJolla, California 92037-2002, and RICHARD A. SHELBY, a citizen of U.S., residing at 11072 Lopez Ridge Way, San Diego, California 92121 referred to as ASSIGNOR, have invented and own a certain invention entitled:

LEFT HANDED COMPOSITE MEDIA

for which application for Letters Patent of the United States has been filed on March 16, 2001, and accorded Serial No. 09/811,376;

WHEREAS, THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a non-profit organization of the State of California having a place of business at 1111 Franklin Street, Oakland, California 94607-5200, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire interest in, to and under said invention and any United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that for good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR also assigns all of its right, title and interest in and to said invention in all foreign countries, and all applications for Letters Patent which may evolve therefrom, including the right to claim International Convention priority.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and ASSIGNOR will testify as to the same in any interference or litigation related thereto and will promptly execute and

deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Date 4-5-01

David R. LTL
David Smith

State of _____)

) ss:

County of _____)

I hereby certify that DAVID SMITH before me personally appeared and acknowledged that the foregoing Assignment was duly signed, sealed and delivered by him as his free and voluntary act on this _____ day of _____, 2001.

(Notarial Seal) Notary Public

My commission expires:

Date 4/5/01

Sheldon Schultz
Sheldon Schultz

State of _____)

) ss:

County of _____)

I hereby certify that SHELDON SCHULTZ before me personally appeared and acknowledged that the foregoing Assignment was duly signed, sealed and delivered by him as his free and voluntary act on this _____ day of _____, 2001.

(Notarial Seal) Notary Public

My commission expires:

Date 4/16/01

Norman Kroll
Norman Kroll

State of _____)

) ss:

County of _____)

I hereby certify that NORMAN KROLL before me personally appeared and acknowledged that the foregoing Assignment was duly signed, sealed and delivered by him as his free and voluntary act on this _____ day of _____, 2001.

(Notarial Seal) Notary Public

My commission expires:

Date 4/18/2001

Richard A. Shelby
Richard A. Shelby

State of _____)

) ss:

County of _____)

I hereby certify that RICHARD A. SHELBY before me personally appeared and acknowledged that the foregoing Assignment was duly signed, sealed and delivered by him as his free and voluntary act on this _____ day of _____, 2001.

(Notarial Seal) Notary Public

My commission expires:
