

06-18-2001



6-17-01

101752843  
RECORDATION FORM COVER SHEET  
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New

Resubmission (Non-Recordation)  
Document ID#

Correction of PTO Error  
Reel #  Frame #

Corrective Document  
Reel #  Frame #

Conveyance Type

Assignment  Security Agreement

License  Change of Name

Merger  Other

**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)

Departmental File  Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name	Execution Date		
	Month	Day	Year
Name (line 1) William J. Gray	2	1	01
Name (line 2)			

Second Party

Name	Execution Date		
	Month	Day	Year
Name (line 1) William G. Hohle	5	30	01
Name (line 2)			

Receiving Party

Mark if additional names of receiving parties attached

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) American Express Travel Related Services Company, Inc.

Name (line 2)

Address (line 1) General Counsel's Office

Address (line 2) American Express Tower, World Financial Center

Address (line 3) New York New York 10285-4900

City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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01 FC:581

40.00 DP

**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. #

**Application Number(s) or Patent Number(s)**  Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

<input type="text" value="09/754,465"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

**Number of Properties** Enter the total number of properties involved. #

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed  Deposit Account

Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Howard I. Sobelman, Esq. - Reg. No. 39,038

Name of Person Signing

Signature

6/8/01

Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

**Conveying Party(ies)**

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Carl Larkin

Execution Date  
Month Day Year  
01/30/2001

Name (line 2)

Name (line 1) Lee J. Peart

Execution Date  
Month Day Year  
04/19/2001

Name (line 2)

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party**

Mark if additional names of receiving parties attached

Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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Address (line 1)

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**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)


# ASSIGNMENT

UTILITY PATENT

**WHEREAS**, the undersigned inventor(s) (hereinafter singly and collectively, "ASSIGNOR") of the addresses indicated below, have invented a SMARTCARD INTERNET AUTHORIZATION SYSTEM for which application for Letters Patent of the United States was filed on January 4, 2001 and assigned U.S. Serial No. 09/754,465;

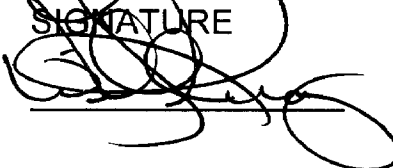
**AND WHEREAS**, AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY, INC. (hereinafter "ASSIGNEE"), a corporation organized and existing under and by virtue of the laws of the State of New York having its principal place of business and mailing address at General Counsel's Office, American Express Tower, World Financial Center, New York, New York 10285-4900, is desirous of memorializing its interest therein;

**NOW, THEREFORE**, the undersigned ASSIGNOR hereby confirms that ASSIGNOR is a full time salaried employee of ASSIGNEE and that ASSIGNOR developed the subject matter of the invention on ASSIGNEE's time and at the expense of ASSIGNEE and within the scope of ASSIGNOR's duties as an employee of ASSIGNEE and thereby ASSIGNOR recognizes that the ASSIGNEE owns the invention as described in the aforesaid application. Nonetheless to comport with Patent Office procedures, ASSIGNOR memorializes that relationship in the form of this assignment and;

**THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents does sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right to the invention, as described in the aforesaid application, including patent, copyright and any other intellectual property rights, any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives,

**AND HEREBY AGREES** to transfer a like interest to ASSIGNEE, its successors, assigns and legal representatives, without further remuneration, in and to any improvements and applications for patents based thereon, growing out of or relating to the invention; and to provide all reasonable assistance and execute any papers, if accurate, desired by ASSIGNEE, its successors, assigns and legal representatives, to perfect ASSIGNEE's full protection and title in and to the invention hereby transferred and to file any of the aforementioned applications.

EXECUTED as of the date(s) written below by ASSIGNOR:

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
<u>William J. Gray</u>	<u>3505. 200 W. C513</u> <u>SALT LAKE CITY UT</u> <u>84101</u>		<u>2/1/2001</u>

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
<u>William G. Hohle</u>	<u>17882 W Cedarford Rd</u> <u>North 8570 Lehi, UT</u> <u>84043</u>	_____	_____

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
<u>Carl Larkin</u>	_____	_____	_____
	_____		
	_____		

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
<u>Lee J. Peart</u>	_____	_____	_____
	_____		
	_____		

**SNELL & WILMER L.L.P.**  
One Arizona Center  
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Phoenix, Arizona 85004-2202  
(602) 382-6000

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INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
<u>William J. Gray</u>	_____	_____	_____
	_____		
	_____		

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
<u>William G. Hohle</u>	<u>17882 W. Cedarford Rd</u>	<u>W. Hohle</u>	<u>30 May 2001</u>
	<u>North 8570 Lehi, MT</u>		
	<u>84043</u>		

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
<u>Carl Larkin</u>	<u>Vine Cottage Vapton Rd</u>	<u>Carl Larkin</u>	<u>30/11/2001</u>
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INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
<u>Lee J. Peart</u>	<u>30 GREEN ST</u>	<u>L. J. Peart</u>	<u>19/4/2001</u>
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	_____		
	_____		

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	<u>North 8570 Lehi, MA</u>		
	<u>84043</u>		

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	<u>West Sussex PO22 0AT UK</u>		

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	_____		
	_____		

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	_____		

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	<u>84043</u>		

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	<u>West Sussex PO22 0AT UK</u>		

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