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ocket No.: 15268.4

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



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24 MAY 2001

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Silvia Janietz
Armin Wedel

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: May 2, 2001

2. Name and address of receiving party(ies):

Name: Fraun-Hofer-Gesellschaft Zur Forderung Der

Address:

Leonrodstrasse 54

City: Munchen

State/Prov.: _____

Country: Germany

ZIP: D-80636

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

09/786,016

February 28, 2001

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dana L. Tangren

Registration No. 37,246

Address: Workman, Nydegger & Seeley

1000 Eagle Gate Tower

60 East South Temple

City: Salt Lake City State/Prov.: Utah

Country: USA ZIP: 84111

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

23-3178

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dana L. Tangren

Name of Person Signing

Signature

May 24, 2001

Date

4

Total number of pages including cover sheet, attachments, and

PATENT
REEL: 011888 FRAME: 0810

Workman, Nydegger & Seeley
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111

Form P4

A S S I G N M E N T

We the under signed, hereinafter collectively referred to as "Assignor", are the inventors of an invention entitled AROMATIC POLY(1,3,4-HETERODIAZOLES) FOR USE IN OPTICAL DEVICES, ESPECIALLY ELECTROLUMINESCENT COMPONENTS. Preferred embodiments of said invention are disclosed in a United States patent application which:

☐ is executed concurrently herewith for filing in the United States Patent and Trademark Office.

☒ was previously filed in the United States Patent and Trademark Office on February 28, 2001, Application Serial No. 09/786,016.

☐ is a United States National Application of PCT International Application No. _____, filed _____.

The Assignee, Fraun-Hofer-Gesellschaft Zur Forderung Der Angewandten Forschung E.V., an entity established under the laws of Germany, having a principal place of business at Leonrodstrase 54, D-80636, Munchen, Germany, desires to secure the entire right, title and interest in said application.

In consideration of One Dollar (\$1.00) and other good and valuable consideration paid to Assignor by the Assignee, the receipt and sufficiency of which is hereby acknowledge, ASSIGNOR HEREBY ASSIGNS TO THE ASSIGNEE:

The entire right, title, and interest in the above-identified United States patent application and in all divisions, continuations and continuations-in-part of said application, or reissues or extensions of letters patent or patents granted thereon, and in all patents issuing thereon in the United States and foreign countries.

The right to claim the benefits of any prior related United States or foreign patent applications.

Assignor hereby authorizes and requests the United States Commissioner of Patents and Trademarks, to issue any and all patents on said application to the Assignee as the owner of the entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives.

Assignor hereby agrees, without further consideration, to sign all lawful papers and to perform all other lawful acts which the Assignee may request Assignor to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States applications and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of Assignor's ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said application.

This assignment and agreement shall be binding upon Assignor's heirs and legal representatives.

Full name of sole or first inventor

Silvia

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

JANIETZ

FAMILY (OR LAST NAME)

Inventor's signature

Date 2.5.07

Country of Citizenship Germany

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Full name of second inventor, if any

Armin

(GIVEN NAME)

WEDEL

FAMILY (OR LAST NAME)

Inventor's signature



Date 2 5. 01

Country of Citizenship Germany

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