

06-21-2001



101755274

PATENT CONVEYANCE RECORDATION COVER SHEET



RS 6-1801
39-01

Attorney Docket No. ADV08 410

To the Honorable Commissioner of Patents and Trademarks:

Please record the attached original document or copy thereof.

Name of conveying party(ies):	Name and address of receiving party(ies):
Timothy R. Brumleve	<u>Advanced Lighting Technologies, Inc.</u>
Additional name(s) of conveying party(ies) attached?	<u>32000 Aurora Road</u>
Yes ___ No <u>X</u>	City <u>Solon</u>
	State <u>Ohio</u> Zip <u>44139</u>
	Additional name(s) & address(es) attached?
	Yes ___ No <u>X</u>

Nature of conveyance:

<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name
Execution Date: <u>March 8, 2001</u>	

Total number of applications and patents involved: 1

A. Patent Application No. (s) 09/801,653 B. Patent No. (s)

and Filing Date(s)

Filed Herewith on: March 9, 2001

Additional numbers attached? Yes ___ No X

If this document is being filed together with a new application, the execution date of the application is: March 8, 2001

Name and address of party to whom correspondence concerning document should be mailed:

Name: L. Lawton Rogers, III

Address: CARTER, LEDYARD & MILBURN
1401 Eye Street, N.W., Suite 300
Washington, DC 20005

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-1835. A duplicate of this sheet is enclosed.

Total fee (37 C.F.R. 3.41): \$40.00

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MRO
3/9/01



03-15-2001



101638185

ocket No. ADV08 410

IT

DJ

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Name and address of receiving party(ies):

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City Solon

State Ohio Zip 44139

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(03/14/2001 TDI AZI 00000198 L.L. ROGERS
01 FC:581 40.00 DP)

ASSIGNMENT

(1) Timothy R. Brumleve
 (2) _____
 (1-4) Insert Name(s) of (3) _____
 Inventor(s) (4) _____

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations, the receipt of which is acknowledged by each of the undersigned, the undersigned agree(s) to assign, and hereby do(es) assign, transfer and set over to

(5) Insert name of Assignee (5) Advanced Lighting Technologies, Inc.
 (6) Insert address of Assignee (6) 32000 Aurora Road
Solon, Ohio 44139

and its successors, legal representatives and assigns (hereinafter designated as the Assignee) the entire right, title and interest in the invention disclosed and/or claimed in the United States application identified below, as well as all applications for patent and any Letters Patent which may be granted therefor in the United States of America and all foreign countries, and in and to any and all divisions, continuations, continuations-in-part of said applications, or re-issues or extensions of said patents or Letters Patent, and all rights under the International Convention for the Protection of Industrial Property:

(7) Insert Identification of (7) A SOLID LAMP FILL MATERIAL AND METHOD OF
 Invention, such as Title, DOSING HID LAMPS (Docket No. ADV08 410)
 Docket Number, or Foreign
 Application Number

(8) Insert date of signing of (8) _____
 application

(9) Alternative identification (9) Application Number: 091801, 653
 for filed applications Filed: March 9, 2001

The undersigned further agree(s) to cooperate with the Assignee in every way possible and to do all affirmative acts, and to execute all papers which counsel for Assignee shall advise are necessary and/or desirable without charge to Assignee in connection with said applications including, without limitation, the execution of separate assignments in connection with such applications, claims or provisions of the International Convention for Protection of Industrial Property or similar

agreements.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from said application or any division or divisions or continuing applications thereof to said Assignee, as Assignee of the entire interest, and hereby covenants that he/she has (they have) full right to convey the entire interest herein assigned, and that he/she has (they have) not executed and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) to L. Lawton Rogers, III, Reg. No. 24,302; D. Joseph English, Reg. No. 42,514; Mark C. Comtois, Reg. No. 46,285; and Patrick D. McPherson, Reg. No. 46,255 the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date March 8, 2001 Signature of Inventor *Travis McPherson*

Date _____ Signature of Inventor _____

Date _____ Signature of Inventor _____

Date _____ Signature of Inventor _____

This assignment should be signed before: (a) two witnesses; (b) a Notary Public if within the U.S.A.; or (c) a U.S. counsel if outside the U.S.A. Each witness should sign here:

Date 8/2001 Witness *Duane Stefford*

Date March 8, 2001 Witness *Steven C. Hansen*

Jill Ann Blanck March 8, 2001

