

06-21-2001

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Patents & Trademark Office

Attorney Docket No. P107457-00002

Date: June 8, 2001

101755093

1017 U.S. PTO
09/075947
06/26/01To the Assistant Commissioner of Patents
Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

Jingjun XU; Qian SUN; Xinzhen ZHANG; Hui HUANG;
Qiang WU; Baiquan TANG; Haijun QIAO; Guangyin ZHANG;
Zuojin SHA

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: NANKAI UNIVERSITY

Address: 94 Weijin Road,
Tianjin City 300071, P.R. of China

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: May 25, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: May 25, 2001

A. Patent Application No.(s)

B. Patent No.(s)

09875947

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arent Fox Kintner Plotkin & Kahn PLLC

Street Address: 1050 Connecticut Avenue, N.W., Suite 600

Washington, D.C. 20036-5339

6. Total number of applications and patents involved:

One

7. Total fee (37 CFR 3.41)..... \$ 40.00

- ☒ Included in attached Check No. 319020
☐ Any additional fees are authorized to be charged to deposit account

8. Deposit account number: 01-2300
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David T. Nikaido.

Name of Person Signing
Reg. No. 22,663

Signature

June 8, 2001

Date

Total number of pages including cover sheet, attachments, and document: 3

Docket No. _____

U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

[Insert
ASSIGNEE's
Name(s)
Address(es)]

Nankai University
94 Weijin Road, Tianjin City 300071, P.R. of China

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

[Title of
Invention]

Only-readable Three-dimensional Optical
Storage Device

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

[*If the assignment
is being filed
after the
filing of the
application, this
section must be
completed]

*filed on _____, Serial No. _____

(Arent Fox Kintner Plotkin & Kahn, PLLC is hereby authorized to insert the serial code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with application and any continuing, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, division, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

[Signature(s)]


(SIGNATURE)

Jingjun XU
(TYPE NAME)

25/5/2001
(DATE)


(SIGNATURE)

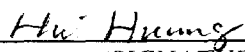
Qian SUN
(TYPE NAME)

May, 25, 2001
(DATE)


(SIGNATURE)

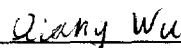
Xinzhen ZHANG
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Hui HUANG
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25/5/2001
(DATE)


(SIGNATURE)

Qiang WU
(TYPE NAME)

May, 25, 2001
(DATE)


(SIGNATURE)

Baiquan TANG
(TYPE NAME)

May, 25, 2001
(DATE)

NO LEGALIZATION REQUIRED

Docket No. _____

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Only-readable Three-dimensional Optical Storage Device

[Title of
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IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

[Signature(s)]

Haijun Qiao
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Zhang Guangyin
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