

06-22-2001



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☒ New

☐ Resubmission (Non-Recordation)

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☐ Correction of PTO Error

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Conveyance Type

☒ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☐ Other

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☐ Departmental File

☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) Iwao Nozawa

Execution Date
Month Day Year
05/09/2001

Name (line 2)

Second Party

Name (line 1) Stephen Benedict

Execution Date
Month Day Year
08/31/2000

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) The University of Kansas

Name (line 2)

Address (line 1) 7035 Haworth Hall

Address (line 2)

Address (line 3) Lawrence

Kansas

66045

City

State/Country

Zip Code

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Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

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Address (line 2)

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Mail documents to be recorded with required cover sheet(s) information to:
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PATENT
REEL: 011904 FRAME: 0225

Correspondent Name and Address

Area Code and Telephone Number

(512) 509-4065

Name Robert M. Bedgood

Address (line 1) Pillsbury Winthrop LLP

Address (line 2) 50 Fremont Street

Address (line 3)

Address (line 4) San Francisco, CA 94105

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

5

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/553,979

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

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Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

03-3975

Authorization to charge additional fees:

Yes ☐

No ☒

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Robert M. Bedgood, Reg. No. 43,488

Name of Person Signing


Signature

6/5/01

Date

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CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Marcia Chan

Execution Date
Month Day Year
08/31/2000

Name (line 2)

Name (line 1) Teruna Siahaan

Execution Date
Month Day Year
08/29/2000

Name (line 2)

Name (line 1) Scott Tibbetts

Execution Date
Month Day Year
08/21/2000

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

**Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)**

Docket No.
036021/027 7680

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

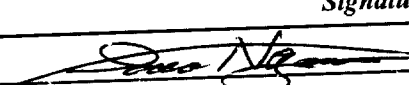
Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

Name	Signature/Date
Iwao Nozawa	 5-9-2001
Stephen Benedict	
Marcia Chan	
Teruna Siahaan	
Scott Tibbetts	

ASSIGNMENT

For valuable consideration, we, STEPHEN H. BENNETT, MARCIA CHAN, TERUNA SIAHAAN, and SCOTT TIBBETTS, hereby assign to THE UNIVERSITY OF KANSAS, a university having a place of business at: 7035 Haworth Hall, Lawrence, Kansas 66045, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled:

D-FORM POLYPEPTIDE WHICH INDUCES IMMUNE TOLERANCE AND METHODS OF USE,

filed April 20, 2000, and assigned U.S. Serial Number 09/553,979; this Assignment, including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our names or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this Assignment.

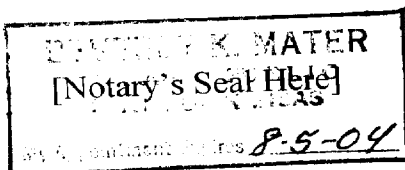
IN WITNESS WHEREOF, I hereto set my hand and seal at Lawrence, KS,
this 31st day of August 2000.

Stephen H. Benedict L.S.
Stephen H. Benedict

State of KANSAS :
County of Douglas : ss.

Before me this 31st day of August 2000, personally appeared STEPHEN H. BENEDICT, known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Doreen K. Mater
Notary Public
My Commission Expires: August 5 2004



IN WITNESS WHEREOF, I hereto set my hand and seal at Lawrence, Kansas,
this 31st day of August 2000.

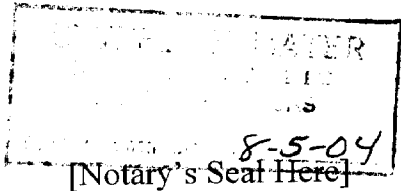
Marcia Chan

Marcia Chan

L.S.

State of KANSAS :
County of Douglas : SS.

Before me this 31st day of August 2000, personally appeared
MARCIA CHAN, known to me to be the person whose name is subscribed to the foregoing
Assignment and acknowledged that she executed the same as her free act and deed for the
purposes therein contained.



Doreen K. Water
Notary Public
My Commission Expires: August 5, 2004

IN WITNESS WHEREOF, I hereto set my hand and seal at Lawrence, KS,
this 29th day of August 2000.

Teruna Siahaan

Teruna Siahaan

L.S.

State of KANSAS :
County of Douglas : SS.

Before me this 29th day of August 2000, personally appeared
TERUNA SIAHAAN, known to me to be the person whose name is subscribed to the foregoing
Assignment and acknowledged that he executed the same as his free act and deed for the
purposes therein contained.



Nancy Helm
Notary Public
My Commission Expires: 6/22/03

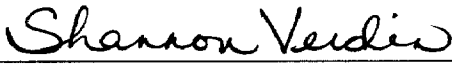
[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at St. Louis,
this 21st day of August 2000.

 L.S.
Scott Tibbetts

State of MO ~~KANSAS~~ :
County of St. Louis : ss.
:

Before me this 21 day of August 2000, personally appeared
SCOTT TIBBETTS, known to me to be the person whose name is subscribed to the foregoing
Assignment and acknowledged that he executed the same as his free act and deed for the
purposes therein contained.


Notary Public
My Commission Expires: 04-23-02

[Notary's Seal Here]

