FORM PTO-1594 'EET U.S. DEPARTMENT OF COMMERCE 06-25-2001 01-10-96:CMG Patent and Trademark Office Atty Ref/Docket No.: 950.044US1 To the Honorable Commissioner of Patents and T ts or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): 6-19-01 David McElroy, David A. Walters and Larry A. Gilbertson **DEKALB** Genetics Corporation Name: Additional name(s) of conveying party(ies) attached? []Yes [X]No Street Address: 3100 Sycamore Road 3. Nature of conveyance: [X] Assignment [] Merger [] Security Agreement [] Change of Name [] Other Additional name(s) & address(es) attached? []Yes [X]No Execution Date: May 18, 2001, May 21, 2001 and May 29, 2001 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) Serial No. 09/801,261, filed March 7, 2001 Additional numbers attached? []Yes [X]No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: 1 concerning document should be mailed: Name: Ann S. Viksnins 7. Total fee (37 CFR 3.41):\$ 40.00 Address: Schwegman, Lundberg, Woessner & Kluth, P.A. [X] Enclosed [] Authorized to be charged to deposit account P.O. Box 2938 Minneapolis, MN 55402 8. Please charge any additional fees or credit any overpayments to our Deposit account number: 19-0743

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9. Statement and signature.

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Ann S. Viksnins/Reg. No. 37,748

Name of Person Signing

Date

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ASSIGNMENT

WHEREAS, WE, <u>David McElroy</u>, residing at <u>707 Temescal Way</u>, <u>Redwood City, CA 94062</u>, and <u>David A. Walters</u>, residing at <u>189 Cossaduck Hill Road</u>, <u>North Stonington, CT 06359</u>, and <u>Larry A. Gilbertson</u>, residing at <u>131 Portico Drive</u>, <u>Chesterfield, MO 63198</u>, <u>Administration</u>, made certain new and useful inventions and improvements for which We filed an application for Letters Patent of the United States on <u>March 7, 2001</u>, which application was assigned U.S. patent application serial number <u>09/801,261</u>, and is entitled <u>HOMOLOGOUS</u> RECOMBINATION-MEDIATED TRANSGENE ALTERATIONS IN PLANTS.

AND WHEREAS, <u>DEKALB Genetics Corporation</u>, a corporation organized and existing under and by virtue of the laws of the State of <u>Delaware</u>, and having an office and place of business at <u>3100 Sycamore Road</u>, <u>DeKalb, IL 60115</u>, (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefore;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee, its successors and assigns.

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AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 29 day of May, 2001.
David MeEtroy
STATE OF)
)ss. COUNTY OF)
On this day of, 2001 before me personally appeared <u>David McElroy</u> to me
known and known to me to be the person described in and who executed the foregoing instrument, and
he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set
forth.
[SEAL]
Notary Public

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IN TESTIMONY WHEREOF, I have he	ereunto set my hand this day of, 2001.
	David A. Walters
STATE OF)	
COUNTY OF)	
On this day of, 20	01 before me personally appeared <u>David A. Walters</u> to
me known and known to me to be the person	described in and who executed the foregoing instrument,
and he/she duly acknowledged to me that he	/she executed the same for the uses and purposes therein
set forth.	
[SEAL]	
	Notary Public
IN TESTIMONY WHEREOF, I have he	ereunto set my hand this day of, 2001.
CTATE OF	Larry A. Gilbertson
STATE OF)	
COUNTY OF)	
On this, 20	001 before me personally appeared <u>Larry A. Gilbertson</u>
to me known and known to me to be the	person described in and who executed the foregoing
instrument, and he/she duly acknowledged	to me that he/she executed the same for the uses and
purposes therein set forth.	
[SEAL]	Notary Public

ASSIGNMENT

WHEREAS, WE, <u>David McElroy</u>, residing at <u>707 Temescal Way</u>, <u>Redwood City, CA 94062</u>, and <u>David A. Walters</u>, residing at <u>189 Cossaduck Hill Road</u>, <u>North Stonington, CT 06359</u>, and <u>Larry A. Gilbertson</u>, residing at <u>131 Portico Drive</u>, <u>Chesterfield, MO 63198</u>, <u>Administration</u>, made certain new and useful inventions and improvements for which We filed an application for Letters Patent of the United States on <u>March 7, 2001</u>, which application was assigned U.S. patent application serial number <u>09/801,261</u>, and is entitled <u>HOMOLOGOUS</u> RECOMBINATION-MEDIATED TRANSGENE ALTERATIONS IN PLANTS.

AND WHEREAS, <u>DEKALB Genetics Corporation</u>, a corporation organized and existing under and by virtue of the laws of the State of <u>Delaware</u>, and having an office and place of business at <u>3100 Sycamore Road</u>, <u>DeKalb, IL 60115</u>, (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefore;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

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Page 2 of 3

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this day of, 2001.
David McElroy
STATE OF)
)ss.
COUNTY OF)
On this day of, 2001 before me personally appeared <u>David McElroy</u> to me
known and known to me to be the person described in and who executed the foregoing instrument, and
he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set
forth.
[SEAL]
Notary Public

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IN TESTIMONY WHEREOF, I have hereunto set my hand this 18 day of MAY, 2001.
mymmm
STATE OF
STATE OF (1))ss. COUNTY OF New London)
On this 18 day of May, 2001 before me personally appeared David A. Walters to
me known and known to me to be the person described in and who executed the foregoing instrument
and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein
set forth.
[SEAL] Virginia & Shiris
Notary Public My Commission Expires 9/30/2005
IN TESTIMONY WHEREOF, I have hereunto set my hand this 2/ day of May, 2001.
STATE OF Wissun) ss.

On this 21st day of May, 2001 before me personally appeared <u>Larry A. Gilbertson</u> to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

COUNTY OF St. Louis)

SEAL

MICHELLE LUDWIG Notary Public-Notary Seal State of Missouri St. Louis County My Commission Expires July 13, 2001

Notary Public

PATENT REEL: 011908 FRAME: 0825

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT State of California 29 01 , before me, DiAne D. Glwzei, Notary Name and Title of Officer (e.g. Jane Doe, Notary) personally appeared David McElro personally known to me proved to me on the basis of satisfactory evidence to be the person(k) whose name(k) (is) are subscribed to the within instrument and acknowledged to me that he/set/they executed the same in his/her/their authorized capacity(its), and that by his/ber/their signature(x) on the instrument the person(x), or DIANE D. GUOZZI Commission # 1215046 Notary Public - California the entity upon behalf of which the person(a) San Mateo County acted, executed the instrument. My Comm. Expires Apr 4, 2003 WITNESS my hand and official seal. Place Notary Seal Above - OPTIONAL · Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document. **Description of Attached Document** Title or Type of Document: _____Number of Pages: _____ Document Date: ___ Signer(s) Other Than Named Above: __ Capacity(ies) Claimed by Signer Signer's Name: □ Individual ☐ Corporate Officer — Title(s): ___ ☐ Partner — ☐ Limited ☐ General

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