

06-25-2001

FORM PTO

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

To the Honorable Commissioner of

101758474

original documents or copy thereof.

1. Name of conveying party(ies):  
Peter D. RoordaMRD  
6-12-01

2. Name and address of receiving party(ies):

Name: Nortel Networks Limited  
Internal Address: World Trade Center of Montreal  
Street Address: 380 St. Antoine St. West, 8th Floor  
City: Montreal, Quebec Country: Canada Zip: H2Y 3Y4Additional name(s) of conveying party(ies) attached? Yes X No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name

Execution Date: January 20, 2001

Additional name(s) & address(es) attached? Yes X No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached: Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William M. Lee, Jr.  
Internal Address: Lee, Mann, Smith, McWilliams, Sweeney & Ohlson  
Street Address: P.O. Box 2786  
City: Chicago State: Illinois Zip: 60690-2786

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

12-0913

(Attach duplicate copy of this form if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*William M. Lee, Jr.

Name of Person Signing

Signature

June 12, 2001

Date

Total number of pages including cover sheet, attachments and document

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

06/22/2001 TDIAZ1 00000094 W.M.LEE JR.

40.00 DP

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

01 FC:581

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PATENT  
REEL: 011909 FRAME: 0009

# ASSIGNMENT

## NORTEL NETWORKS LIMITED

For value received, I (we) the undersigned, whose full post office addresses is (are) adjacent my (our) name(s), hereby sell, assign and transfer to

the address of whose registered office is: **NORTEL NETWORKS LIMITED**  
World Trade Center of Montreal,  
380 St. Antoine Street West, 8th floor,  
Montreal, Quebec  
Canada H2Y 3Y4

(hereinafter called "Nortel"), its successors, assigns and legal representatives, the entire right, title and interest for all countries, including any and all priority rights, in and to certain inventions relating to

### OPTICAL SWITCHING DEVICE

identified in Nortel's records as 11835ID and set forth in an application for letters patent of the United States of America Serial Number \_\_\_\_\_ filed on \_\_\_\_\_,

and all rights and privileges under any and all letters patent in all countries that may be granted for said inventions, to the intent that any such patent or other intellectual property protection shall be issued to Nortel alone or to its successors, assigns or legal representatives.

I (We) undertake without charge to Nortel but at its request and expense to execute all documents, take all oaths and do all reasonable acts to enable Nortel, its successors, assigns and legal representatives to procure and maintain patent or other intellectual property protection for said inventions in any and all countries and to vest title thereto in Nortel, its successors, assigns or legal representatives.

I (We) agree that a copy of this Assignment shall be deemed a full legal and formal equivalent of any assignment, consent or the like which may be required in any country for any purpose and more particularly in proof of the irrevocable right of Nortel, its successors, assigns and legal representatives to apply for and maintain patent or other intellectual property protection for said inventions in any and all countries and to claim the benefit of any right of priority.

This Assignment does not form part of a larger transaction or series of transactions in respect of which the amount or value or aggregate amount or value of the consideration exceeds £60,000.

\_\_\_\_\_  
Witness Signature

\_\_\_\_\_  
ADRIAN SPARKS

\_\_\_\_\_  
Date:

\_\_\_\_\_  
Assignor

\_\_\_\_\_  
Witness Name (print)

Manor Cottage  
Ongar, Essex  
CM5 0PA  
United Kingdom

☒ Additional Assignors on attached Page 2

\_\_\_\_\_  
Witness Signature

\_\_\_\_\_  
FREDERICK J LALONDE

\_\_\_\_\_  
Date:  
Assignor

\_\_\_\_\_  
Witness Name (print)

14A Tercy Street  
Ottawa, ON  
K1R 6C8  
Canada



\_\_\_\_\_  
Witness Signature

\_\_\_\_\_  
PETER D ROORDA

\_\_\_\_\_  
Date: Jan 20, 2001  
Assignor

\_\_\_\_\_  
Witness Name (print)

Brigitte Bill

68 Hamilton Street N  
Ottawa, ON  
K1Y 1B9  
Canada

\_\_\_\_\_  
Witness Signature

\_\_\_\_\_  
Date:  
Assignor

\_\_\_\_\_  
Witness Name (print)

\_\_\_\_\_  
Witness Signature

\_\_\_\_\_  
Date:  
Assignor

\_\_\_\_\_  
Witness Name (print)