FORM PTO-1595 06 - 25 - 2001	ER SHEET U.S. DEPARTMENT OF COMMERCE
OMB Nc, 0651-0u11 (exp. 4/94)	Patent and Trademark Office Case A-21825/US/A/CGM 49/PCT
To the Honorable	cord the attached original documents or copy thereof.
1. Name of conveying party(ies):	2. Name and address of specific and (Car)
MAD	2. Name and address of receiving party(ies)
1. Michael Roth 2. Rudolf Pfaendner 6 - 15-0	Name: Ciba Specialty Chemicals Corp.
3. Peter Nesvadba	Internal Address: P.O. Box 2005
	Street Address: 540 White Plains Road
Additional name(s) of conveying party(ies) attached? Yes No	City: Tarrytown State: NY ZIP: 10591
3. Nature of conveyance:	1944
 ✓ Assignment ✓ Merger ✓ Security Agreement ✓ Change of Name 	DECEMAN
☐ Security Agreement ☐ Change of Name ☐ Other:	DECEIVED
Execution Date: 1. and 2. 1/8/01;	
<u>3. 1/10/01</u>	JUN 1 5 2001
A And Find Find and Andrew Parkers (a)	Additional name(s) & address(es) attached? Yes 🗹 No
4. Application number(s) or Patent number(s):	
If this document is being filed together with a new application, the execution date of the	
application is:	
A. Patent Application No.(s) 09/786,183	B. Patent No.(s)
Additional numbers attached? ☐ Yes ☑ No	
Name and address of party to whom	6. Total number of applications and patents involved: 1
correspondence concerning document should	o. Total number of applications and paterns involved.
be mailed:	7. Total fee (37 CFR 3.41)
Name: JoAnn Villamizar	□ England
Ciba Specialty Chemicals Corp.	☐ Enclosed
Internal Address: Patent Department P.O. Box 2005	☑ Authorized to be charged to deposit account
Street Address: 540 White Plains Road	and any other additional fees required.
City: Tarrytown State: NY ZIP: 10591	
	8. Deposit account number:
22/2001 DBYRNE 00000073 031935 09786183	03-1935
FC:581 40.00 CH DO NOT U	JSE THIS SPACE
Statement and signature.	
To the best of my knowledge and belief, the foregoing information is true and correct and any attached	
copy is a true copy of the original document. Tyler A. Stevenson	
14 Ylung 6/13/01	
Name of Person Signing Signature Date	
	gnature Date
Reg. No. 46,388 Total number of pages including	cover sheet, attachments, and document: ith required cover sheet information to: 3

Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

US

ASSIGNMENT

I / We Michael ROTH of 64686 Lautertal, Germany Rudolf PFAENDNER of 64668 Rimbach, Germany Peter NESVADBA of 1723 Marly, Switzerland

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to *Ciba Specialty Chemicals Corporation*, *a Delaware corporation*, *of 540 White Plains Road, Tarrytown, NY 10591, USA*, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

Grafting of Ethylenically Unsaturated Monomers onto Polymers

invented by me/us and described in the international application

No. PCT/EP 99/06172

filed 23 August 1999

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said *Ciba Specialty Chemicals Corporation*, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said *Ciba Specialty Chemicals Corporation*.

Michael BOTH

(1.1)

HUGOIT PFAENUNER

RECORDED: 06/15/2001

Peter NESVADRA

08/01/0

Date

Pate Jacuary

10 01 01

Date

US 10/97