

Effective

08-28-2001

50623.31

Form PTO-1595 (Rev. 03/01) REC: OMB No. 0651-0027 (exp 5/31/2002)

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office



101826136

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies): MAD 8-28-01

1. Sameer Harish
 2. Steven Wu
 3. Deborra Sanders Millare
 4. Judy Guruwaiya
 5. Stephen Pacetti
 6. Syed F.A. Hossainy

Additional name of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Advanced Cardiovascular Systems, Inc.

Address: 3200 Lakeside Drive, Santa Clara CA 95054

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other Corrected Assignment to correct inventor's name on previously recorded assignment at reel 011549, frame 0228. The sixth inventor should be Syed F.A. Hossainy.
 Execution Date: 1. 12/27/00; 2. & 3. 12/22/00; 4., 5., & 6. 2/9/01

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is:

A. Patent Application No.(s)
09/751,691

B. Patent No.(s)

Additional numbers attached? Yes No

6. Total number of applications and patents involved: 1

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Cameron Kerrigan (44,826)

Squire, Sanders & Dempsey L.L.P.

Address: One Maritime Plaza Suite 300

City: San Francisco State: CA Zip: 94111

7. Total fee (37 CFR 3.41) \$ 40.00

Enclosed
 Authorized to be charged to deposit account

8. Deposit account number:
07-1850

(Attach duplicate copy of this page if paying by deposit account)

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chg 40

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Cameron Kerrigan Signature August 28, 2001 Date

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 8

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

13895

03-7-2001
101628488

ATTORNE DOCKET NO: 50623.00031

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

COVER SHEET
S ONLY

223 01

TO THE HONORABLE COMMISSIONER OF THE UNITED STATES PATENT AND TRADEMARK OFFICE PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1 Name of conveying party(ies):

(a) Sameer Harish

(b) Steven Wu

(c) Debora Sanders Millare

(d) Judy Guruwaiya

(e) Stephen Pacetti

(f) Syed F. A. Hosainy

Additional name(s) of conveying party(ies) attached?
 Yes No

2. Name and address of receiving party(ies):

Name: Advanced Cardiovascular Systems, inc.

Street Address: 3200 Lakeside Drive

City: Santa Clara State California Zip 95054

Country: U.S.

3. Nature of Conveyance:

Assignment Merger

Security Agreement Change of Name

Other _____

Execution Date: (a) 12/27/00; (b) & (c) 12/22/00; (d), (e) & (f) 2/9/01

Name and address of receiving party(ies):

Name: _____

Street Address: _____

City: _____ State _____ Zip _____

Country: _____

Additional name(s) & address(es) attached?
 Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) - 09/751,691

Title: Methods Of Forming A Coating For A Prosthesis

Filing Date: 12/28/00

B. Patent No.(s)

NA

Additional numbers attached? Yes No

5 Name and address of party to whom correspondence concerning document should be mailed:

Name: Victoria L. Nicholson

Internal Address: _____

Street Address: 600 Hansen Way

City Palo Alto State CA Zip 94304-1043

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

Authorized to be charged to Deposit Account

Charge Deposit Account 05-0150 for any additional fees required for this conveyance and credit deposit account 05-0150 any amounts overpaid

40.00 CR DO NOT USE THIS SPACE

8 Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Victoria L. Nicholson Reg. No. P47,823 Victoria L. Nicholson 2/21/01
 Name of Person Signing Signature Date

Total number of pages comprising cover sheet: 13

ASSIGNMENT

(1-6) *Insert Name(s) of Inventor(s)*(1) Sameer Harish(4) Judy Guruwariya(2) Steven Wu(5) Stephen Pacetti(3) Deborra Sanders Millare(6) Syed F. A. Hossainy

For good and valuable consideration receipt of which is hereby acknowledged, the undersigned agree(s) to assign, and hereby do(es) assign, transfer and set over to:

(9) *Insert name of Assignee*(9) Advanced Cardiovascular Systems, Inc.(10) *Insert state of incorporation of Assignee*(10) California(11) *Insert address of Assignee*(11) of 3200 Lakeside Drive, Santa Clara, CA 95054

(hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as

(12) *Insert Identification of Invention, such as Title, Case Number or Foreign Application Number*(12) Methods Of Forming A Coating For A Prosthesis

(Case No. 50623.00031, Serial No. Unknown) for which the undersigned has (have) executed an application for patent in United States of America

(13) *Insert Date of Signing of Application*(13) on 12/27/00

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and the duly constituted authorities of foreign countries to issue any and all Letters Patents resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, its successors and assigns, as Assignee of the entire right, title and interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) *The undersigned hereby grant(s)*

Tim Kitchen, Reg. No. 41,900; Thomas A. Hassing, Reg. No. 36,159; Philip Yip, Reg. No. 37,265; Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Cameron Kerrigan, Reg. No. 44,826; Patrick D. Benedicto, Reg. No. 40,909; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lori Howland, Reg. No. 42,671; Michael Lechter, Reg. No. 27,350; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Arnold de Guzman, Reg. No. 39,955; Aaron Winger, Reg. No. 45,229; and Victoria L. Nicholson, Reg. No. P47,823

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document

Date 27, Dec 2000



Sameer Harish

STATE OF CALIFORNIA)

) ss.

COUNTY OF)

On _____ before me, _____, personally appeared Sameer Harish, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Notary Public

Date _____

Steven Wu

STATE OF CALIFORNIA)

) ss.

COUNTY OF)

On _____ before me, _____, personally appeared Steven Wu, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Notary Public

Date _____
Deborra Sanders Millare

STATE OF CALIFORNIA)

) ss.

COUNTY OF)

On _____ before me, _____, personally appeared Deborra Sanders Millare, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Notary Public

Date _____
Judy Guruwaiya

STATE OF CALIFORNIA)

) ss.

COUNTY OF)

On _____ before me, _____, personally appeared Judy Guruwaiya, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Notary Public

Date February 9, 2001

Stephen Pacetti
Stephen Pacetti

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

On FEBRUARY 9, 2001 before me, JOEY TONGSON, NOTARY, personally appeared Stephen Pacetti, personally known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



Joey Tongson
Notary Public

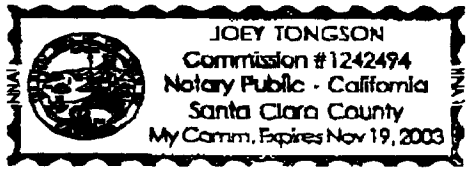
Date 2/9/01^{SH}

Syed F. A. Hossainy
Syed F. A. Hossainy

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

On SYED F. A. HOSSAINY before me, JOEY TONGSON, NOTARY, personally appeared Syed F. A. Hossainy, personally known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



Joey Tongson
Notary Public

ASSIGNMENT

(1-6) *Insert Name(s) of Inventor(s)*(1) Sameer Harish(4) Judy Guruwajya(2) Steven Wu(5) Stephen Pacetti(3) Deborra Sanders Millare(6) Syed F. A. Hossainy

For good and valuable consideration receipt of which is hereby acknowledged, the undersigned agree(s) to assign, and hereby do(es) assign, transfer and set over to:

(9) *Insert name of Assignee*(9) Advanced Cardiovascular Systems, Inc.(10) *Insert state of incorporation of Assignee*(10) California(11) *Insert address of Assignee*(11) of 3200 Lakeside Drive, Santa Clara, CA 95054

(hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as

(12) *Insert Identification of Invention, such as Title, Case Number or Foreign Application Number*(12) Methods Of Forming A Coating For A Prosthesis

(Case No. 50623.00031, Serial No. Unknown) for which the undersigned has (have) executed an application for patent in United States of America

(13) *Insert Date of Signing of Application*

(13) on _____

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and the duly constituted authorities of foreign countries to issue any and all Letters Patents resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, its successors and assigns, as Assignee of the entire right, title and interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) *The undersigned hereby grant(s)*

Tim Kitchen, Reg. No. 41,900; Thomas A. Hassing, Reg. No. 36,159; Philip Yip, Reg. No. 37,265; Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Cameron Kerrigan, Reg. No. 44,826; Patrick D. Benedicto, Reg. No. 40,909; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lori Howland, Reg. No. 42,671; Michael Lechter, Reg. No. 27,350; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Arnold de Guzman, Reg. No. 39,955; Aaron Winger, Reg. No. 45,229; and Victoria L. Nicholson, Reg. No. P47,823

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date _____ Sameer Harish

STATE OF CALIFORNIA)

) ss.

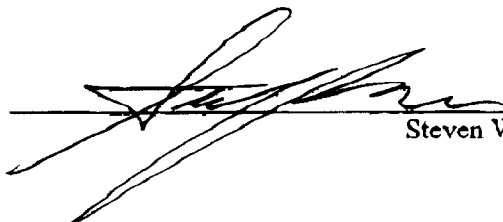
COUNTY OF _____)

On _____ before me, _____, personally appeared Sameer Harish, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Notary Public

Date 12/22/2000



Steven Wu

STATE OF CALIFORNIA)

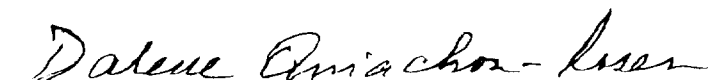
) ss.

COUNTY OF Santa Clara)

On Dec 22, 2000 before me, Dalene Quiachon Rosen, Notary Public, personally appeared Steven Wu, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal





Notary Public

Date 12/22/00

Deborra Sanders Millare
Deborra Sanders Millare

STATE OF CALIFORNIA)

) ss.

COUNTY OF Santa Clara

On Dec. 22 2000 before me, Dalene Quiachon-Rosen, Notary Public, personally appeared Deborra Sanders Millare, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal

Dalene Quiachon-Rosen
Notary Public

Date _____

Judy Guruwaiya

STATE OF CALIFORNIA)

) ss.

COUNTY OF)

On _____ before me, _____, personally appeared Judy Guruwaiya, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Notary Public

Date _____
Stephen Pacetti

STATE OF CALIFORNIA)
) ss.
COUNTY OF)

On _____ before me, _____, personally appeared Stephen Pacetti, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Notary Public

Date _____
Syed F. A. Hossainy

STATE OF CALIFORNIA)
) ss.
COUNTY OF)

On _____ before me, _____, personally appeared Syed F. A. Hossainy, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Notary Public

ASSIGNMENT

(1-6) Insert Name(s) of Inventor(s)

(1) Sameer Harish

(4) Judy Guruwaiya

(2) Steven Wu

(5) Stephen Pacetti

(3) Deborra Sanders Millare

(6) Syed F. A. Hossainy

For good and valuable consideration receipt of which is hereby acknowledged, the undersigned agree(s) to assign, and hereby do(es) assign, transfer and set over to:

(9) Insert name of Assignee

(9) Advanced Cardiovascular Systems, Inc.

(10) Insert state of incorporation of Assignee

(10) California

(11) Insert address of Assignee

(11) of 3200 Lakeside Drive, Santa Clara, CA 95054 (hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as

(12) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number

(12) Methods Of Forming A Coating For A Prosthesis (Case No. 50623.00031, Serial No. 09/751,691) for which the undersigned have executed an application for patent in United States of America

(13) Insert Date of Signing of Application

(13) on

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

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Tim Kitchen, Reg. No. 41,900; Thomas A. Hassing, Reg. No. 36,159; Philip Yip, Reg. No. 37,265; Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Cameron Kerrigan, Reg. No. 44,826; Patrick D. Benedicto, Reg. No. 40,909; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lorinda Howland, Reg. No. 42,671; Michael Lechter, Reg. No. 27,350; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Arnold de Guzman, Reg. No. 39,955; Aaron Wininger, Reg. No. 45,229; Paul A. Durdik, Reg. No. 37,819 and Victoria L. Nicholson, Reg. No. P47,823.

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date _____ Sameer Harish

STATE OF CALIFORNIA)

) ss.

COUNTY OF)

On _____ before me, _____, personally appeared Sameer Harish, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Notary Public

Date _____ Steven Wu

STATE OF CALIFORNIA)

) ss.

COUNTY OF)

On _____ before me, _____, personally appeared Steven Wu, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Notary Public

Date _____
Deborra Sanders Millare

STATE OF CALIFORNIA)

) ss.

COUNTY OF SANTA CLARA)

On _____ before me, _____, personally appeared Deborra Sanders Millare, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Notary Public

Date 2-9-01

Judy Guruwaiya
Judy Guruwaiya

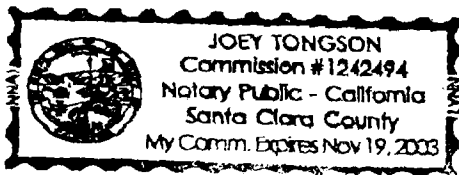
STATE OF CALIFORNIA)

) ss.

COUNTY OF SANTA CLARA)

On FEBRUARY 9, 2001 before me, JOEY TONGSON, NOTARY, personally appeared Judy Guruwaiya, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



Joey Tongson
Notary Public