

06-26-2001

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U.S. Department of Commerce  
Patent and Trademark Office  
Attorney Docket No. 05793.3038  
Attorney Customer Number: 22,852

To the Honorable Commissioner

101759537

Please record the attached original documents or copy thereof.

ATTN. BOX ASSIGNMENTS

1. Name of conveying party(ies):  
Collen C. Lubking, John N. Henneberger, Frederick Joel  
Mason, Jr., George W. Paslaski, Jr., Sharada  
Muthusubramanian and Brian Donohue

2. Name and address of receiving party(ies):

Name: Capital One Financial Corporation

mkd 6-18-01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Internal Address:

3. Nature of conveyance:

Street Address: 2980 Fairview Park Drive,

☒ Assignment ☐ Merger

City: Falls Church

☐ Security Agreement ☐ Change of Name

State: VA Zip Code: 22042

☐ Other:

Additional name(s) &amp; Address(es) attached?

Execution Dates: June 5, 2001; June 7, 2001, and June 12, 2001

☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application: June 18, 2001

A. Patent Application Number(s): 09882304  
[Text]B. Patent Number(s):  
[Text]

Additional numbers attached?

☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Phil N. Makrogiannis

6. Total number of applications and registrations involved:  
One (1)

7. Total fee (37 CFR 3.41): \$40

☒ Enclosed (Please charge deficiency to deposit account)☐ Authorized to be charged to deposit accountInternal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT  
& DUNNER, L.L.P.

Street Address: 1300 I Street, N.W.

City: Washington

State: D.C. Zip: 20005-3315

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Phil N. Makrogiannis

Signature

June 18, 2001

Date

Total number of pages including cover sheet, attachments and documents: 4

jc971 U.S. PTO

09/882304

06/18/01

## ASSIGNMENT

WHEREAS We, the below named inventors, [hereinafter referred to as Assignors], have made an invention entitled:

### METHOD AND SYSTEM FOR OFFERING FINANCIAL PRODUCTS BASED ON A CUSTOMER'S DETERMINED LIFE STATUS

For which We executed an application for United States Letters Patent concurrently herewith; and

WHEREAS, Capital One Financial Corporation, a corporation of Delaware whose post office address is 2980 Fairview Park Drive, Falls Church, Virginia 22042 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, We, as Assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and We have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, We have hereunto set our hands.

County of Henrico )

Name: COLLEEN C. LUBKING

State of Virginia )

ss.

Address: 2020 Rock Stone Place, Richmond, VA 23233

Colleen C. Lubking  
SIGNATURE

DATE

6/5/01

Subscribed and sworn to before me this 5 day of June, 2001.

Rebecca Lynn Mitchell  
Notary Public

Rebecca Lynn Mitchell

My commission expires: March 31, 2005

County of Henrico

State of Virginia

ss.

Name: SHARADA MUTHUSUBRAMANIAN

Address: 5124 Park Meadows Court, Glen Allen, VA 23059

Sharada  
SIGNATURE

6/05/2001  
DATE

Subscribed and sworn to before me this 5 day of June, 2001.

Rebecca Lynn Mitchell Notary Public

Rebecca Lynn Mitchell  
My commission expires: March 31, 2005

County of Henrico

State of Virginia

ss.

Name: BRIAN DONOHUE

Address: 8412 Dove Hollow Court, Glen Allen, VA 23060

Brian  
SIGNATURE

6/12/2001  
DATE

Subscribed and sworn to before me this 12 day of June, 2001.

Rebecca Lynn Mitchell Notary Public

Rebecca Lynn Mitchell  
My commission expires: March 31, 2005