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Docket No.: FIS920010096US1(14483)

SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Υ

Tab settings → → To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): mres Atul C. Aimera Name: International Business Machines Corporation Dominic J. Schepis 6-15-01 Michael D. Steigerwalt Address: New Orchard Road 3. Nature of conveyance: □ Merger Assignment City: Armonk _____ State/Prov.: New York ☐ Change of Name Security Agreement ZIP: 10504 Country: U.S.A. Other 6/8/01, 6/8/01, 6/8/01 Execution Date: Additional name(s) & address(es) attached? 4. Application number(s) or registration numbers(s): 6/8/01, 6/8/01 6/8/01 If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) Patent Application No. Filing date EXPRESS MAIL NO .: EK602286024US DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS 0988209 MAIL IN AN ENVIRONMEND RESSED TO: COMMISSIONER OF PATENTS AND YOUR SAMARKS, WASHINGTON, D.C. 20231 APPLICANT AND ONE ATTORNEY REQUESTS THE DATE OF DEPOSIT AS 10% ONE DATE. – Additional numbers attached? 🔲 Yes 🙎 No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Steven Fischman 7. Total fee (37 CFR 3.41):....\$ 40.00 Registration No. 34,594 ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account Address: Scully, Scott, Murphy & Presser Authorized to be charged to deposit account 400 Garden City Plaza (516)742-4343 8. Deposit account number: City: Garden City _____ State/Prov.: New York 09-0458/IBM, FI-333 ZIP: 11530 Country: U.S.A. DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. 6/12/01 Margaret A. Pepper Date Name of Person Signing

Total number of pages including cover sheet, attachments, and document:

PATENT

(IBM Docket No.: DIS920010096US1) SSM&P Docket No.: 14483

ASSIGNMENT

Whereas, we

Inventor and City

and

(1) Atul C. Ajmera **County of Dutchess**

(2) Dominic J. Schepis **County of Dutchess**

and (3) Michael D. Steigerwalt County of Orange

City of Wappingers Falls and State of New York

City of Wappingers Falls and State of New York

City of Newburgh and State of New York

have invented certain improvements in

SURFACE ENGINEERING TO PREVENT EPI GROWTH ON GATE POLY DURING SELECTIVE EPI PROCESSING

Dates That Inventors Signed the Declaration and have executed, respectively, a United States patent application therefor on

(1) 6 | 8 | 2001 (3) 6 | 8 | 01

2001, and (2) 6/8/6/ 2001.

2001, and

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City	E FISHIO(1) Signed at		
Date	on 6/8/2001	(Atul C Ajmera First Name Middle Initial Last Name	signature) inventor
City	(2) Signed at F, Fishkill W.) inventor
Date	on (/8/0;	(Dominic J. Schepis First Name Middle Initial Last Name	
,	C Co to Oliver and		

City & Fish ki //(3) Signed at Date (18/0) on

signature Steigerwalt Middle Initial Last Name First Name

) inventor

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