

06-26-2001



Patent and Trademark Office

7217/64725

101759747

To the Honorable Commissioner of Patents and Trademarks, Patent and Trademark Office, Washington, D.C. 20503. This document is submitted as original documents or copy thereof.

1. Name of conveying party(ies):

Kumiko Sugiyama
Masato Namekawa

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Name and address of receiving party(ies):

Name: Sony Corporation

Internal Address: _____

Street Address: 7-35 Kitashinagawa 6-chome
Shinagawa-ku, Tokyo, Japan

City _____ State _____ ZIP _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: April 26, 2001, May 10, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is April 26, 2001, May 10, 2001

A. Patent Application No.(s)

B. Patent No.(s)

09881858

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jay H. Maioli

Internal Address: Cooper & Dunham LLP

Street Address: 1185 Avenue of the Americas

City: New York State: New York ZIP: 10036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jay H. Maioli, Reg. No. 27,213

Name of Person Signing

Jay H. Maioli
Signature

June 15, 2001

Date

Total Number of pages comprising cover sheet: 1

OMB No. 0651-0011 (exp. 4/94)

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06/20/2001 VTRU061 00000034 09881858

02 FC:581

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PATENT
REEL: 011915 FRAME: 0318

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in
PORTABLE TELEPHONE

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo, Japan (hereinafter referenced as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: _____, Filing Date: _____.

This assignment executed on the dates indicated below.

KUMIKO SUGIYAMA

Name of first or sole inventor

Execution date of U.S. Patent Application

TOKYO, JAPAN

Residence of first or sole inventor

Kumiko Sugiyama

Signature of first or sole inventor

10. May. 2001

Date of this assignment

MASATO NAMEKAWA

Name of second inventor

CHIBA, JAPAN

Execution date of U.S. Patent Application

Residence of second inventor

Masato Namekawa

Signature of second inventor

26. Apr. 2007

Date of this assignment

Name of third inventor

Execution date of U.S. Patent Application

Residence of third inventor

Signature of third inventor

Date of this assignment

Name of fourth inventor

Execution date of U.S. Patent Application

Residence of fourth inventor

Signature of fourth inventor

Date of this assignment

Name of fifth inventor

Execution date of U.S. Patent Application

Residence of fifth inventor

Signature of fifth inventor

Date of this assignment

COOPER & DUNHAM LLP
1185 Avenue of the Americas, New York, NY 10036
(212) 278-0400

Date: June 15, 2001
Atty Docket No. 7217/64725

TO THE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark
Office of an application for patent in the name(s) of:

Kumiho Sugiyama
Masato Namekawa

entitled: PORTABLE TELEPHONE

☐ Small entity status under 37 CFR 1.9(f) is
claimed and the amounts shown in parentheses below have been
employed.

The following are enclosed:

☒ Specification

☒ 6 Claims(s) (including 4 independent claim(s))

☐ Preliminary Amendment

☒ unsigned Oath or Declaration, Power of Attorney & Petition

☒ 6 Sheet(s) of Drawings

☒ Our check for \$750.00 calculated as follows:

Basic Fee of \$710 (\$355)\$ 710.00

☐ Total Claims in excess of 20 at \$18 (\$9).....\$0.00

☐ Ind. Claims in excess of 3 at \$80 (\$40).....\$80.00

☐ Fee of \$270 (\$135) for Mult. Dep. Claim.....\$0.00

Total Filing Fee\$ 750.00

Assignment Recording Fee of \$40\$40.00


☒ Certified copy of each of the following to
substantiate the claim for priority:

<u>Application No.</u>	<u>Filing Date</u>	<u>Country</u>
P2000-182092	June 16, 2000	Japan

X Please charge any additional fees required for the filing of this application and any other fees required during the pendency of this application or credit any overpayment to Deposit Account No. 03-3125. A duplicate copy of this letter is enclosed.

Respectfully submitted,

COOPER & DUNHAM LLP

By: 
Jay H. Maioli
Reg. No. 27,213