

3-12-01
215

06-26-2001

JUN 11 2001

Form PTO-1595
(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)
Tab settings ▼



U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

101760742

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Ralf H. Erz
Scott McEldowney
Lauren R. Wendt
Additional name(s) of conveying party(ies) attached? Yes No

6-12-01

2. Name and address of receiving party(ies)
Name: Optical Coating Laboratory, Inc.
Internal Address:
Street Address: 2789 Northpoint Parkway
City: Santa Rosa State: CA Zip: 95407
Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other
Execution Date: March 12, 2001

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: 3/12/01
A. Patent Application No.(s)
09/805412
Additional numbers attached? Yes No

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Edward S. Sherman
Internal Address: B1-15
Street Address: 2789 Northpoint Parkway
City: Santa Rosa State: CA Zip: 95407

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 3.41).....\$ 40.00
 Enclosed
 Authorized to be charged to deposit account
8. Deposit account number:
500733
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Edward S. Sherman
Name of Person Signing
Signature
June 11, 2001
Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

06/25/2001 LMD:LER 00000153 500733 09805412
01 FC:581 40.00 CR

PATENT
REEL: 011915 FRAME: 0978

ASSIGNMENT OF APPLICATION

Whereas we, **Ralf H. Erz**, a citizen of Germany, **Scott McEldowney**, a citizen of the United States of America and **Lauren R. Wendt**, citizen of the United States of America whose full post office addresses are

1598 Becky Court #58
Santa Rosa, California 95403 USA

172 Monika Court
Windsor, California 95492 USA

2737 Yuma Street
Santa Rosa, California 95403 USA

respectively, have made an invention as described in the application for Letters Patent in the United States of America entitled

OPTICAL ATTENUATION FILTER

filed herewith.

And WHEREAS **OPTICAL COATING LABORATORY, INC.** whose full post office address is 2789 Northpoint Parkway, Santa Rosa, California 95407-7397 USA, is desirous of acquiring the entire interest therein;

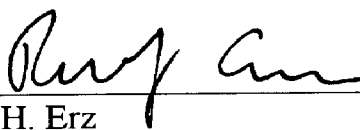
NOW, THEREFORE, in consideration of ONE DOLLAR (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged we, by these presents do sell, assign and transfer unto Optical Coating Laboratory, Inc. the full and exclusive right, for the territory of the United States Of America, and all other countries, in and to the said invention, and we hereby request the Commissioner of Patents and Trademarks to issue said Letters Patents to Optical Coating Laboratory, Inc. as the assignee, for the sole use and

behoof of Optical Coating Laboratory, Inc. and its legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by myself had this assignment and sale not been made.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Executed this 12 day of March, 2001.

at Santa Rosa, California, USA.

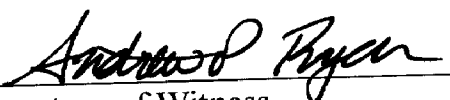


Ralf H. Erz

Declaration by Witness

I, ANDREW RYAN, whose full post office address is
2061 ROUNG HILL DR., SANTA ROSA, CA. say:
95404

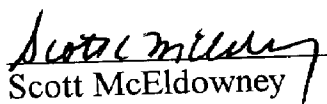
That I was personally present and did see Ralf H. Erz who is personally known to me,
duly sign and execute the above Assignment.



Signature of Witness

Executed this 12 day of March, 2001.

at Santa Rosa, California, USA.

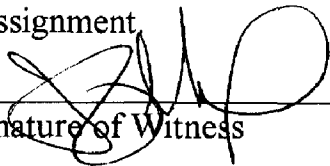


Scott McEldowney

Declaration by Witness

I, Jennifer Mailly, whose full post office address is 5410 Corbett Cir Santa Rosa CA 95403 say:

That I was personally present and did see Scott McEldowney, who is personally known to me, duly sign and execute the above Assignment.



Signature of Witness

Executed this 12 day of March, 2001.

at Santa Rosa, California, USA.

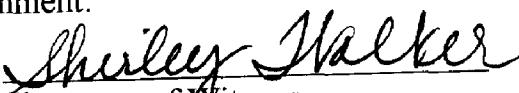


Lauren R. Wendt

Declaration by Witness

I, Shirley Walker, whose full post office address is 220 Burt St. #5 Santa Rosa Ca. 95407 say:

That I was personally present and did see Lauren R. Wendt, who is personally known to me, duly sign and execute the above Assignment.



Signature of Witness