

06-26-2001



101759887

Docket No.: 50P4397

FORM PTO-1365 (Modified)
(Rev. 9-93)
OneB No. 0651-0011 (exp. 4/94)
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Christopher Monks

MRD
6-11-01

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Sony Corporation

Address: 7-35 Kitashinagawa 6-chome

Shinagawa-Ku

100% U.S. PTO
09/878892

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

City: Tokyo

State/Prov.: _____

Country: Japan

ZIP: _____

Execution Date: 6/7/01 Monks

Additional name(s) & address(es)

☒ Yes ☐ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 6/7/01

Patent Application No.

Filing date

B. Patent No.(s)

09878892

Additional numbers

☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Karin L. Williams

Registration No. 36,721

Address: Mayer Fortkort & Williams

200 Executive Dr., Suite 250

City: West Orange

State/Prov.: NJ

Country: USA

ZIP: 07052

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1047

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karin L. Williams (Reg. No. 36,721)

Name of Person Signing

Karin L. Williams

Signature

6/11/01

Date

Total number of pages including cover sheet, attachments, and

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3. Nature of conveyance:

- ☐ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: _____

2. Name and address of receiving party(ies):

Name: Sony Electronics Inc.

Internal Address: _____

Street Address: 1 Sony DriveCity: Park Ridge State: NJ ZIP: 07656Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

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Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41):.....\$ _____

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(see page 1 cover sheet)

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **PATENT**

ASSIGNMENT AND AGREEMENT

For value received, I, Christopher Monks, a United States citizen, residing at 17 Danbury Drive, Greensburg, Pennsylvania 15601, hereby sell, assign and transfer to Sony Corporation, Tokyo, Japan, and Sony Electronics, Inc., Park Ridge, New Jersey, and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Exhaust Cart Excess Flow Valve With Linear Actuator**, described in an application for Letters Patent of the United States, which application was executed on even date herewith, and identified by the Sony Electronics, Inc. File Number **50P4397**, all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign and transfer to Sony Corporation and Sony Electronics, Inc., the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Sony Corporation and Sony Electronics, Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from me, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Sony Corporation and Sony Electronics, Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Sony Corporation and Sony Electronics, Inc. in the United States and in all countries foreign to the United States, or to such nominees as Sony Corporation and Sony Electronics, Inc. may designate.

I agree that, when requested, I shall, without charge to Sony Corporation and Sony Electronics, Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

C.D.M.
6/7/01

Date: 6/7/01

Christopher Monks
Christopher Monks

United States of America)

State of Pennsylvania) ss:

County of _____)

On this _____ day of _____ 2001, before me personally came Christopher Monks, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

C.D. 6/77/01