

RECC

06-27-2001



To the Honorable Commissioner of Pate

101762137

1 document(s) or copy thereof.

1. Name of conveying party(ies) (assignor(s)):

Shannon K. Hawkins
Alan J. Stern
Shawn Zhu

6-18-01

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

2. Name and Address of Party(ies) receiving an interest (assignee(s)):

Name: CK Witco Corporation

Address: One American Lane

City: Greenwich

State: CT

Zip: 06831-2559

Country: USA

Additional name(s) & address(es) attached? ☐ yes ☒ no

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Change of Name☐ Verified Translation☐ Security Agreement☐ Other

Execution Date: March 1, 2001; March 2, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date(s) of the application is: _____

A. Patent Application No(s).

B. Patent No(s).

09/652,146

Others on additional sheet(s) attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

John K. Roedel, Jr.
Senniger, Powers, Leavitt & Roedel
One Metropolitan Square
16th Floor
St. Louis, Missouri 63102
(314) 231-5400 (telephone)

6. Total number of applications and patents involved:

Application(s) 1 + Patent(s): 0 = Total 1

7. Total Fee (37 CFR 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account Number: 19-1345

(Duplicate copy of this sheet attached)

☒ Charge any underpayment or credit any overpayment to above Deposit Account

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John K. Roedel, Jr.

Name of Person Signing

Signature

Date

06/25/2001 TDIAR1 00000142 09652146

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Total number of pages including cover sheet, attachments, and document:

5

FEB-27-01 TUE 04:01 PM SPL

FAX NO. 3 314342

ASSIGNMENT

WHEREAS, We Shannon K. Hawkins of Dublin, Ohio, Alan J. Stern of Powell, Ohio, and Shawn Zhu of Dublin, Ohio, have invented an improvement in STABLE CONCENTRATED PESTICIDAL SUSPENSION (File MTC 39-21(3682)C, 6720.2) and have executed an application for a United States patent based thereon, Serial No. 09/652,146, filed August 31, 2000;

AND, WHEREAS, CK WITCO CORPORATION of One American Lane, Greenwich, Connecticut 06831-2559, a corporation of the State of Delaware (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring certain rights thereunder;

NOW, THEREFORE, for one dollar and other good and valuable consideration, receipt of all of which is hereby acknowledged, we have agreed to and do hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto in and to said invention, said United States application, any other United States applications (including provisional, non-provisional, divisional, continuing, or reissue applications) based in whole or in part on said United States application or in whole or in part on said invention, any foreign applications based in whole or in part on any of the aforesaid United States application or in whole or in part on said invention, and any and all patents (including extensions thereof) of any country which have been or may be granted on any of the aforesaid applications or on said invention or any part thereof;

TO BE HELD AND ENJOYED by said ASSIGNEE, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us had no sale and assignment of said interest been made;

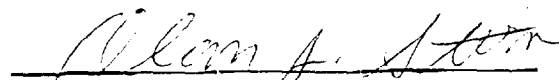
AND We hereby authorize and request the Commissioner of Patents of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any of them, or upon said invention or any part thereof, to said ASSIGNEE;


AND We hereby jointly and severally agree for ourselves and for our respective heirs, executors and administrators, to execute without further consideration any further lawful documents and any further assurances, and any provisional, non-provisional, divisional, continuing, reissue, or other applications for patents of any country, that may be deemed necessary by said ASSIGNEE fully to secure to said ASSIGNEE its interest as aforesaid in and to said invention or any part thereof, and in and to said several patents or any of them;

AND We hereby jointly and severally covenant for ourselves and our respective legal representatives that we have granted no right or license to make, use or sell said invention, to anyone except said ASSIGNEE, that prior to the execution of this deed our right, title and interest in said invention had not been otherwise encumbered, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we have herunto set our hands.


Shannon K. Hawkins


Alan J. Stern


Shawn Zhu

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ACKNOWLEDGMENTSTATE OF Ohio)COUNTY OF Franklin)

On this 2 day of ^{March}~~September~~, 2001, before me, a Notary Public, personally appeared Shannon K. Hawkins to me known to be the person described, in and who executed, the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal and the date and year last above written.

Jacklyn K. Goodrich
Notary Public

My Commission Expires:

9-29-04

JACKLYN K. GOODRICH
Notary Public, State of Ohio
My Commission Expires 09-29-04

STATE OF Ohio)COUNTY OF Franklin)

On this 1 day of ^{March}~~September~~, 2001, before me, a Notary Public, personally appeared Alan J. Stern to me known to be the person described, in and who executed, the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal and the date and year last above written.

Jacklyn K. Goodrich
Notary Public

My Commission Expires:

9-29-04

JACKLYN K. GOODRICH
Notary Public, State of Ohio
My Commission Expires 09-29-04

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FAX NO. 3' 314342

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STATE OF Ohio)
COUNTY OF Franklin)

On this 1 day of ~~September~~^{March}, 2001, before me, a Notary Public, personally appeared Shawn Zhu to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Jacklyn K. Goodrich
Notary Public

My Commission Expires:

9-29-04



JACKLYN K. GOODRICH
Notary Public, State of Ohio
My Commission Expires 09-29-04