

06-27-2001

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
STEPHEN P. MASSIA and JULIE TRUDELAdditional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 06/01/01 and 06/09/01, respectively

2. Name and address of receiving party(ies)

Name: Arizona Board of RegentsAddress: Arizona State UniversityCity: Tempe State: AZ Zip: 85287-6006

Additional name(s) and address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

☐ Yes☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Marta E. DelsignoreBAKER BOTTS L.L.P.Address: 30 Rockefeller Plaza - 44 Fl.City: New York State: NY Zip: 101126. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

02-4377

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

*To the best of my knowledge and belief, the foregoing instrumentation is true and correct and any attached copy is a true copy of the original document.*Marta E. Delsignore

Name of Person Signing

Marta E Delsignore

Signature

June 15, 2001

Date

Total number of pages including cover sheet, attachments and document: 4

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NY02:320693.1

PATENT
REEL: 011919 FRAME: 0791

A S S I G N M E N T

WHEREAS, WE, STEPHEN P. MASSIA and JULIE TRUDEL, citizens of the United States and Canada, respectively, all residing at 11460 East Decatur, Apache Junction, Arizona 85220 and 7250 South Kyrene Road #355, Tempe, Arizona 85283, respectively, have made patent application Serial No. 09/801,373 for Letters Patent of the United States entitled "POLYSACCHARIDE-BASED HYDROGELS AND PRE-GEL BLENDS FOR THE SAME" as set forth and described in application for Letters Patent of the United States, filed March 7, 2001; and

WHEREAS, Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, a corporation organized and existing under the laws of the State of Arizona, and having an office for the transaction of business at Arizona State University, Tempe, AZ 85287-6006, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said provisional application for Letters Patent therefor, any non-provisional patent application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said STEPHEN P. MASSIA and JULIE TRUDEL for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to us in hand paid by said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, and other valuable considerations unto us moving from said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, its successors and assigns, the entire right, title and interest in and to the said invention for which we are about to make application Serial No. 09/801,373 for Letters Patent of the United States entitled "POLYSACCHARIDE-BASED HYDROGELS AND PRE-GEL BLENDS FOR THE SAME" as set forth and described in application for Letters Patent of the United States, filed March 7, 2001, and any and all improvements thereon, and in and to said application, any non-provisional patent application, and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said STEPHEN P. MASSIA and JULIE TRUDEL do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said Arizona Board of Regents, a body corporate,

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acting for and on behalf of the Arizona State University, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, its successors and assigns;

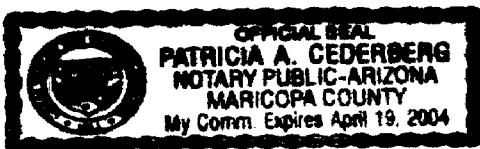
AND WE, the said STEPHEN P. MASSIA and JULIE TRUDEL for the considerations aforesaid, do hereby covenant and agree to and with said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, its successors and assigns, may be required or necessary more effectively to secure to and vest in said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that I will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.


IN WITNESS WHEREOF, WE, the said STEPHEN P. MASSIA and JULIE TRUDEL have hereunto set our hands and seals on the date below written.


STEPHEN P. MASSIA

STATE OF ARIZONA)
 : ss.:
COUNTY OF Maricopa)

BE IT KNOWN, that on this 1st day of June, 2001, before me personally came STEPHEN P. MASSIA to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.




Notary Public

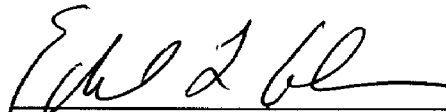
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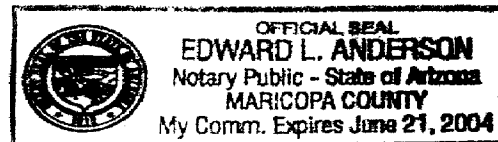
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JULIE TRUDEL

STATE OF ARIZONA)
 : SS.:
COUNTY OF MARICOPA)

BE IT KNOWN, that on this 9 day of JUNE, 2001, before me personally came JULIE TRUDEL to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.


Notary Public



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RECORDED: 06/18/2001

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