

FORM PTO-1595  
(Rev. 6-93)

06-27-2001

U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Asst. Commissioner

nal documents or copy thereof

1. Name of conveying party(ies):

101762173

YI PING CHAN and BERNICE W.Y. LEUNG

Additional name(s) of conveying parties attached?

☐ Yes ☒ No.

Name: E-TECHNOLOGIES LIMITED

Internal Address: n/a

Street Address: 4/F, Wang Chiu Road, Kowloon Bay

City: Kowloon City State: Hong Kong ZIP:

Additional names and addresses attached?

☐ Yes ☒ No

JUN 11 2001

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: May 3, 2001 and May 10, 2001

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is: n/a

A. Patent Application No(s): 09/088,891

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Truong T. Dinh  
 DINH & ASSOCIATES  
 2506 Ash Street  
 Palo Alto, California 94306  
 (650) 289-0600

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$ 40.00

- ☐ Enclosed ☒ Charge Fees to Deposit Account  
☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8 Deposit account number: 50-1229

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Truong Dinh  
 Name of Person Signing

Signature

June 5, 2001  
 Date

Atty Reg. No. 40,993

Total number of pages including cover sheet, attachments and document 3

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents  
 Box: Assignments  
 Washington, D.C. 20231

06/26/2001 JJALLAH2 00000008 501229 09088891

01 FC:581

40.00 CH

# ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Yi Ping Chan of 64-29 136th Street, Flushing, NY, 11367, and Bernice W.Y. Leung of 3A Wiltshire Road, Kowloon Tong, Hong Kong, China, hereinafter referred to as "Assignor," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **METHOD AND APPARATUS FOR INACTIVATING RED TIDE ALGAE AND HARMFUL DINOFLAGELLATES**

Application No.: **09/088,891**

Filing Date: **6/2/98**

Dates of execution of the Declaration: \_\_\_\_\_; and

WHEREAS, E-Technologies Limited located at 4/F, 15 Wang Chiu Road, Kowloon Bay, Kowloon, Hong Kong, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same.

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Inventor 1 Signature:   
Yi Ping Chan

Date: MAY 3, 2001

Inventor 2 Signature: \_\_\_\_\_  
Bernice W.Y. Leung

Date: \_\_\_\_\_

Attorney Docket No.: 102-1

**ASSIGNMENT OF PATENT APPLICATION**

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For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

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IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Inventor 1 Signature: \_\_\_\_\_

**Yi Ping Chan**

Date: \_\_\_\_\_

Inventor 2 Signature: \_\_\_\_\_

**Bernice W.Y. Leung**

Date: MAY 10, 2001