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U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

EET

6.18.01

TO: The Commissioner of Patents at:

Please record the attached original document(s) or copy(ies).

Submission Type

☒ New

☐ Resubmission (Non-Recordation)  
Document ID#

☐ Correction of PTO Error  
Reel #  Frame #

☐ Corrective Document  
Reel #  Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

U.S. Government  
(For Use ONLY by U.S. Government Agencies)  
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1)	<b>Pellegrino, Greg</b>	Execution Date	
Name (line 2)	<input type="text"/>	Month Day Year	
Second Party			<b>05/08/01</b>
Name (line 1)	<b>Tan, Choon Seng</b>	Execution Date	
Name (line 2)	<input type="text"/>	Month Day Year	
			<b>05/08/01</b>

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)	<b>Compaq Computer Corporation</b>			<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)
Name (line 2)	<b>a Delaware corporation</b>			
Address (line 1)	<b>20555 S.H. 249</b>			
Address (line 2)	<b>Mail Stop 110701</b>			
Address (line 3)	<b>Houston</b>	<b>Texas/U.S.A.</b>	<b>77070-2798</b>	
	City	State/Country	Zip Code	

406

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

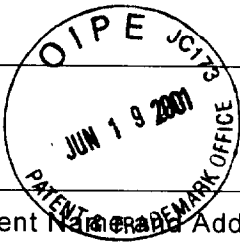
Address (line 1)

Address (line 2)

Address (line 3)

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Page 2

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

Correspondent Name and Address

Area Code and Telephone  
Number

(719) 448-5909

Name (line 1) **William J. Kubida, Esq.**Address (line 1) **Hogan & Hartson LLP**Address (line 2) **1200 17<sup>th</sup> Street, Suite 1500**Address (line 3) **Denver, CO 80202**

Address (line 4)

Pages Enter the total number of pages of the attached  
conveyance document including any attachments# **15**

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

**09/797,096**

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the  
date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**Enter PCT application  
number only if a U.S.

PCT

PCT

PCT

Application Number has not  
been assigned

PCT

PCT

PCT

**Number of Properties**Enter the total number of properties  
involved

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$

**40.00**

Method of payment:

Deposit Account

Enclosed ☒Deposit Account ☐(Enter for payment by deposit account or if additional fees can be charged to the  
account.)

Deposit Account Number:

#

**50-1123**

Authorization to charge additional fees:

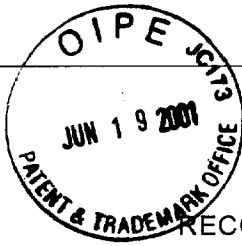
Yes ☒No ☐**Statement and Signature**To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true  
copy of the original document. Charges to deposit account are authorized, as indicated herein.**William J. Kubida, 29.664**

Name of Person Signing

Signature

Date

**19 JUN 2001**



U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

Conveying Party(ies)

☐

Mark if additional names of conveying parties attached

*Enter additional Conveying Parties*

Name (line 1)

**Gunlock, Richard D.**

Execution Date  
Month Day Year

Name (line 2)

**06/12/01**

Name (line 1)

**Curley, Matthew**

Execution Date  
Month Day Year

Name (line 2)

**05/07/01**

Name (line 1)

**Labarca, Erick**

Execution Date  
Month Day Year

Name (line 2)

**05/08/01**

Receiving Party (ies)

☐

Mark if additional names of receiving parties attached

*Enter additional Receiving  
Party(ies)*

Name (line 1)

Name (line 2)

Address (line  
1)

Address (line  
2)

Address (line  
3)

☐

If document to be  
recorded is an  
assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic  
representative is  
attached.  
(Designation must be a  
separate document from  
Assignment)

City

State/Country

Zip Code

ASSIGNMENT

WHEREAS, We, Greg Pellegrino, Choon Seng Tan, Richard D. Gunlock, Matthew Curley, and Erick Labarca are joint inventors of "TRANSLATING DEVICE ADAPTER HAVING A COMMON COMMAND SET FOR INTERFACING MULTIPLE TYPES OF REDUNDANT STORAGE DEVICES TO A HOST PROCESSOR", application for United States Letters Patent which was executed March 1, 2001; and assigned Serial No. 09/797,096 and

WHEREAS, COMPAQ COMPUTER CORPORATION, a corporation created and existing under and by virtue of the laws of the State of Delaware, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention throughout the world, and all right, title and interest in, to and under any and all Letters Patent of the United States and all other countries throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over to COMPAQ COMPUTER CORPORATION, all right, title and interest in and to the said invention throughout the world, and said application for U.S. Letters Patent, and any and all divisions, continuations, and reissues thereof, and any and all Letters Patent of the United States and foreign countries which may be granted therefor, the same to be held and enjoyed by COMPAQ COMPUTER CORPORATION for its own use and benefit, and for the use and benefit of its successors, assigns, or other legal representatives, to the end of the term or terms for which said Letters Patent of the United States or foreign countries are or may be granted or reissued, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States on said invention or resulting from said application and from any and all divisions, continuations, and reissues thereof, to COMPAQ COMPUTER CORPORATION, as assignee of our entire interest, and hereby covenant that we have the full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith.

And we further hereby covenant and agree that we will, at any time, upon request, execute and deliver any and all papers that may be necessary or desirable to perfect the title of said invention and to such Letters Patent as may be granted

therefor, to COMPAQ COMPUTER CORPORATION, its successors, assigns, or other legal representatives and that if COMPAQ COMPUTER CORPORATION, its successors, assigns or other legal representatives shall desire to file any divisional or continuation applications or to secure a reissue of such Letters Patent, or to file a disclaimer relating thereto, will upon request, sign all papers, make all rightful oaths and do all lawful acts requisite for the filing of such divisional or continuation application, or such application for reissue and the procuring thereof, and for the filing of such disclaimer, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

And we do further covenant and agree that we will, at any time upon request, communicate to COMPAQ COMPUTER CORPORATION, its successors, assigns or other legal representatives, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested so to do, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

EXECUTED THIS 6 day of May, 2001

  
Greg Pellegrino

STATE OF TEXAS

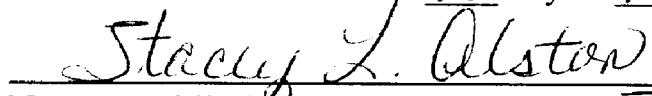
§

COUNTY OF HARRIS

§

BEFORE ME, the undersigned authority, on this day personally appeared Greg Pellegrino, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this 8th day of May, 2001

  
Notary Public in and for the State of TEXAS



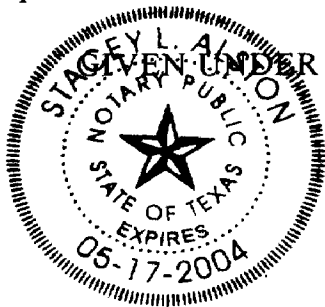
EXECUTED THIS 8 day of May, 2001.

Choon Seng Tan  
Choon Seng Tan

STATE OF TEXAS  
COUNTY OF HARRIS

§  
§

BEFORE ME, the undersigned authority, on this day personally appeared Choon Seng Tan, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.



GIVEN UNDER MY HAND and seal of office this 8th day of May, 2001

Stacy L. Alston  
Notary Public in and for the State of TEXAS

EXECUTED THIS \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Richard D. Gunlock

STATE OF \_\_\_\_\_  
COUNTY OF \_\_\_\_\_

§  
§

BEFORE ME, the undersigned authority, on this day personally appeared Richard D. Gunlock, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_

EXECUTED THIS \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Matthew Curley

STATE OF \_\_\_\_\_ §  
COUNTY OF \_\_\_\_\_ §

BEFORE ME, the undersigned authority, on this day personally appeared Matthew Curley, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_

EXECUTED THIS 8 day of MAY, 2001.

Erick Labarca  
Erick Labarca

STATE OF TEXAS §  
COUNTY OF HARRIS §

BEFORE ME, the undersigned authority, on this day personally appeared Erick Labarca, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this 8th day of May, 2001

Stacey L. Alston  
Notary Public in and for the State of TEXAS



ASSIGNMENT

WHEREAS, We, Greg Pellegrino, Choon Seng Tan, Richard D. Gunlock, Matthew Curley, and Erick Labarca are joint inventors of "TRANSLATING DEVICE ADAPTER HAVING A COMMON COMMAND SET FOR INTERFACING MULTIPLE TYPES OF REDUNDANT STORAGE DEVICES TO A HOST PROCESSOR", application for United States Letters Patent which was executed March 1, 2001; and assigned Serial No. 09/797,096 and

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NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over to COMPAQ COMPUTER CORPORATION, all right, title and interest in and to the said invention throughout the world, and said application for U.S. Letters Patent, and any and all divisions, continuations, and reissues thereof, and any and all Letters Patent of the United States and foreign countries which may be granted therefor, the same to be held and enjoyed by COMPAQ COMPUTER CORPORATION for its own use and benefit, and for the use and benefit of its successors, assigns, or other legal representatives, to the end of the term or terms for which said Letters Patent of the United States or foreign countries are or may be granted or reissued, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States on said invention or resulting from said application and from any and all divisions, continuations, and reissues thereof, to COMPAQ COMPUTER CORPORATION, as assignee of our entire interest, and hereby covenant that we have the full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith.

And we further hereby covenant and agree that we will, at any time, upon request, execute and deliver any and all papers that may be necessary or desirable to perfect the title of said invention and to such Letters Patent as may be granted

therefor, to COMPAQ COMPUTER CORPORATION, its successors, assigns, or other legal representatives and that if COMPAQ COMPUTER CORPORATION, its successors, assigns or other legal representatives shall desire to file any divisional or continuation applications or to secure a reissue of such Letters Patent, or to file a disclaimer relating thereto, will upon request, sign all papers, make all rightful oaths and do all lawful acts requisite for the filing of such divisional or continuation application, or such application for reissue and the procuring thereof, and for the filing of such disclaimer, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

And we do further covenant and agree that we will, at any time upon request, communicate to COMPAQ COMPUTER CORPORATION, its successors, assigns or other legal representatives, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested so to do, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

EXECUTED THIS \_\_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Greg Pellegrino

STATE OF \_\_\_\_\_ §  
COUNTY OF \_\_\_\_\_ §

BEFORE ME, the undersigned authority, on this day personally appeared Greg Pellegrino, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this \_\_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_

EXECUTED THIS \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Choon Seng Tan

STATE OF \_\_\_\_\_ §  
COUNTY OF \_\_\_\_\_ §

BEFORE ME, the undersigned authority, on this day personally appeared Choon Seng Tan, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_

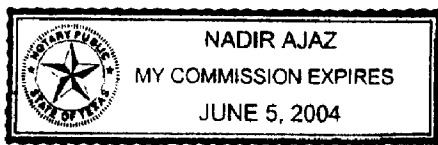
EXECUTED THIS 12 day of June 2001.

Richard D. Gunlock  
Richard D. Gunlock

STATE OF TEXAS §  
COUNTY OF HARRIS §

BEFORE ME, the undersigned authority, on this day personally appeared Richard D. Gunlock, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this 12<sup>th</sup> day of JUNE, 2001



Nadir Ajaz  
Notary Public in and for the State of TEXAS

EXECUTED THIS \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Matthew Curley

STATE OF \_\_\_\_\_ §  
COUNTY OF \_\_\_\_\_ §

BEFORE ME, the undersigned authority, on this day personally appeared Matthew Curley, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_

EXECUTED THIS \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Erick Labarca

STATE OF \_\_\_\_\_ §  
COUNTY OF \_\_\_\_\_ §

BEFORE ME, the undersigned authority, on this day personally appeared Erick Labarca, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_

ASSIGNMENT

WHEREAS, We, Greg Pellegrino, Choon Seng Tan, Richard D. Gunlock, Matthew Curley, and Erick Labarca are joint inventors of "TRANSLATING DEVICE ADAPTER HAVING A COMMON COMMAND SET FOR INTERFACING MULTIPLE TYPES OF REDUNDANT STORAGE DEVICES TO A HOST PROCESSOR", application for United States Letters Patent which was executed March 1, 2001; and assigned Serial No. 09/797,096 and

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therefor, to COMPAQ COMPUTER CORPORATION, its successors, assigns, or other legal representatives and that if COMPAQ COMPUTER CORPORATION, its successors, assigns or other legal representatives shall desire to file any divisional or continuation applications or to secure a reissue of such Letters Patent, or to file a disclaimer relating thereto, will upon request, sign all papers, make all rightful oaths and do all lawful acts requisite for the filing of such divisional or continuation application, or such application for reissue and the procuring thereof, and for the filing of such disclaimer, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

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EXECUTED THIS \_\_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Greg Pellegrino

STATE OF \_\_\_\_\_ §  
COUNTY OF \_\_\_\_\_ §

BEFORE ME, the undersigned authority, on this day personally appeared Greg Pellegrino, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this \_\_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_

EXECUTED THIS \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Choon Seng Tan

STATE OF \_\_\_\_\_ §  
COUNTY OF \_\_\_\_\_ §

BEFORE ME, the undersigned authority, on this day personally appeared Choon Seng Tan, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_

EXECUTED THIS \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Richard D. Gunlock

STATE OF \_\_\_\_\_ §  
COUNTY OF \_\_\_\_\_ §

BEFORE ME, the undersigned authority, on this day personally appeared Richard D. Gunlock, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_

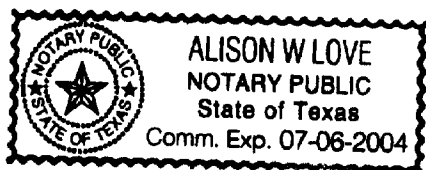
EXECUTED THIS 7<sup>th</sup> day of May 2001.

Matthew Curley  
Matthew Curley

STATE OF Texas §  
COUNTY OF Travis §

BEFORE ME, the undersigned authority, on this day personally appeared Matthew Curley, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this 7<sup>th</sup> day of May 2001



Alison W. Love  
Notary Public in and for the State of Texas

EXECUTED THIS \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Erick Labarca

STATE OF \_\_\_\_\_ §  
COUNTY OF \_\_\_\_\_ §

BEFORE ME, the undersigned authority, on this day personally appeared Erick Labarca, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this \_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_