

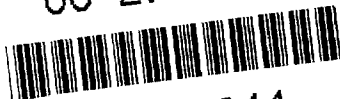
06-27-2001

Mail Label EK275438705US

Form PTO-1595

(Rev. 03/01)

RECC



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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter C. Sercel
Kerry J. Vahala
Guido Hunziker
David W. Vernooy
Oskar J. Painter

6-18-01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 13, 2001

2. Name and address of receiving party(ies)

Name: cQuint Communications Corporation

Internal Address: _____

Street Address: 427 East Huntington Drive

City: Monrovia State: CA Zip: 91016

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

App. No. 09/788,300
Docket No. ALG08NP

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David S Alavi

Internal Address: _____

Street Address: 3762 West 11th Avenue #408

City: Eugene State: OR Zip: 97402

6. Total number of applications and patents involved: ☒ 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed Credit Card Form PTO-2038☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David S Alavi

Name of Person Signing

Signature

06/18/2001

Date

Total number of pages including cover sheet, attachments, and documents: ☒ 7Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 011921 FRAME: 0278

06/26/2001 LMUELLER 00000089 09788300

01 FC:181

40.00 DP

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

ALG08NP

Whereas, I/We, Peter C. Sercel of Pasadena, CA, hereafter referred to as applicant, have invented certain new and useful improvements in Resonant optical filters

☒ for which an application for a United States Patent was filed on 02/16/2001

Application Number 09 / 788,300

☐ for which an application for a United States Patent was executed on _____, and

Whereas, cQuint Communications Corporation of Monrovia, CA herein referred to "assignee" whose mailing address is 427 East Huntington Drive, Monrovia, CA 91016 is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of 10.00 dollars (\$ 10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 13th day of June, 2007
at Monrovia, California

State of CALIFORNIA

County of Los Angeles

SS:

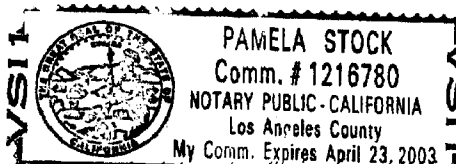
Before me personally appeared said PETER C. SERCEL

and acknowledged the foregoing instrument to be his free act and deed this 13th

day of JUNE, 2007

(Signature)

Seal



(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest in the invention (s) are required. Submit multiple forms if more than one signature is required. See below*.

* ☒ Total of 5 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

PATENT
REEL: 011921 FRAME: 0279

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)

ALG08NP

Whereas, I/We, Kerry J. Vahala of San Gabriel, CA, hereafter referred to as applicant, have invented certain new and useful improvements in Resonant optical filters

☒ for which an application for a United States Patent was filed on 02/16/2001

Application Number 09 / 788,300

☐ for which an application for a United States Patent was executed on _____, and

Whereas, cQuint Communications Corporation of Monrovia, CA herein referred to "assignee" whose mailing address is 427 East Huntington Drive, Monrovia, CA 91016 is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of 10.00 dollars (\$10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 13TH day of JUNE, 20 01
at MONROVIA, CA

State of CALIFORNIA
County of LOS ANGELES SS:

Before me personally appeared said KERRY J. VAHALA
and acknowledged the foregoing instrument to be his free act and deed this 13TH
day of JUNE, 2001.

Seal



PAMELA STOCK
Comm. # 1216780
NOTARY PUBLIC - CALIFORNIA
Los Angeles County
My Comm. Expires April 23, 2003

Pamela Stock
(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

* ☒ Total of 5 forms are submitted.

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PATENT
REEL: 011921 FRAME: 0280

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

ALG08NP

Whereas, I/We, Guido Hunziker of Altadena, CA, hereafter referred to as applicant, have invented certain new and useful improvements in Resonant optical filters

☒ for which an application for a United States Patent was filed on 02/16/2001

Application Number 09 / 788,300

☐ for which an application for a United States Patent was executed on _____, and

Whereas, cQuint Communications Corporation of Monrovia, CA herein referred to "assignee" whose mailing address is 427 East Huntington Drive, Monrovia, CA 91016 is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of 10.00 dollars (\$ 10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 13th day of JUNE, 2001
at MONROVIA, CA

State of CALIFORNIA

County of LOS ANGELES

SS:

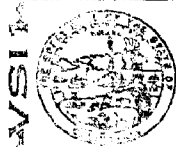
Before me personally appeared said GUIDO HUNZIKER

and acknowledged the foregoing instrument to be his free act and deed this 13th

day of JUNE, 2001

G. H. L.
(Signature)

Seal



PAMELA STOCK
Comm. # 1216780
NOTARY PUBLIC - CALIFORNIA
Los Angeles County
My Comm. Expires April 23, 2003

Pamela Stock
(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

* ☒ Total of 5 forms are submitted.

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PATENT
REEL: 011921 FRAME: 0281

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

ALG08NP

Whereas, I/We, David W. Vernooy of Sierra Madre, CA, hereafter referred to as applicant, have invented certain new and useful improvements in Resonant optical filters

☒ for which an application for a United States Patent was filed on 02/16/2001

Application Number 09 / 788,300

☐ for which an application for a United States Patent was executed on _____, and

Whereas, cQuint Communications Corporation of Monrovia, CA herein referred to "assignee" whose mailing address is 427 East Huntington Drive, Monrovia, CA 91016 is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of 10.00 dollars (\$ 10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 13th day of JUNE, 20 01

at MONROVIA, CA

State of CALIFORNIA

County of Los Angeles

SS:

Before me personally appeared said DAVID W. VERNOOY

and acknowledged the foregoing instrument to be his free act and deed this

day of JUNE, 20 01

Seal



PAMELA STOCK
Comm. # 1216780
NOTARY PUBLIC - CALIFORNIA
Los Angeles County
My Comm. Expires April 23, 2003

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

* ☒ Total of 5 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

PATENT
REEL: 011921 FRAME: 0282

COPY

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)

ALG08NP

Whereas, I/We, Oskar J. Painter of _____, hereafter referred to as applicant, have invented certain new and useful improvements in _____
Resonant optical filters

☒ for which an application for a United States Patent was filed on 02/16/2001
Application Number 09 / 788,300.

☐ for which an application for a United States Patent was executed on _____, and

Whereas, cQuint Communications Corporation of Monrovia, CA herein referred to "assignee" whose mailing address is 427 East Huntington Drive, Monrovia, CA 91016 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of 10.00 dollars (\$ 10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 13th day of June, 20 01
at Monrovia, CA

State of CALIFORNIA

County of LOS ANGELES

SS:

Before me personally appeared said OSKAR J. PAINTER

and acknowledged the foregoing instrument to be his free act and deed this 13th

day of JUNE, 20 01.

Seal



PAMELA STOCK
Comm. # 1216780
NOTARY PUBLIC - CALIFORNIA
Los Angeles County
My Comm. Expires April 23, 2003

(Signature)

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

* ☒ Total of 5 forms are submitted.

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RECORDED: 06/18/2001

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