

625-21

06-28-2001



RI 101765117
PATENT FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)
Document ID#
- Correction of PTO Error
Reel # Frame #
- Corrective Document
Reel # Frame #

Conveyance Type

- Assignment Security Agreement
 - License Change of Name
 - Merger Other
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- Departmental File Secret File

Conveying Party(ies)

- Mark if additional names of conveying parties attached
- Name (line 1) Execution Date
Month Day Year
06 05 2001

Second Party

- Name (line 1) Execution Date
Month Day Year
06 05 2001
- Name (line 2)

Receiving Party

- Mark if additional names of receiving parties attached
- If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

- Name (line 1)
- Name (line 2)
- Address (line 1)
- Address (line 2)
- Address (line 3)
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

- Name
- Address (line 1)
- Address (line 2)
- Address (line 3)
- Address (line 4)

06/28/2001
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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments. #

Application Number(s) or Patent Number(s) Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties Enter the total number of properties involved. #

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed Deposit Account

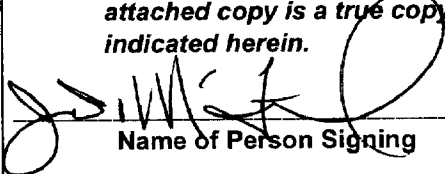
Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.


Name of Person Signing

6/21/01
Signature

James D. McFarland
Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) **Gonzalez, Juan C.**

Execution Date
Month Day Year
06 05 2001

Name (line 2)

Name (line 1) **Falicoff, Waqidi**

Execution Date
Month Day Year
06 12 2001

Name (line 2)

Name (line 1) **Caulfield, H. J.**

Execution Date
Month Day Year
06 15 2001

Name (line 2)

Receiving Party(ies)

Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)
City State/Country Zip Code

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)
City State/Country Zip Code

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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ASSIGNMENT

WHEREAS, We, Juan C. Miñano, a citizen of Spain, residing at Calle Sana Cruz de Marcenado, 31, 7, 28015 Madrid, Spain; Pablo Benitez, a citizen of Spain, residing at Av.de Burgos, 36, 3-A, Madrid, 28036, Spain; Juan C. Gonzalez, a citizen of Spain, residing at Calle Budapest, 2, P-2,1-B, Madrid, 28022, Spain; Waqidi Falicoff, a citizen of USA, residing at 781 S. Nardo Avenue, #0-20, Solana Beach, CA, 92075, USA; and H. J. Caulfield, a citizen of USA, residing at 4626 Delina Road, Cornersville, TN, 37047, USA have invented certain new and useful improvements relating to a(n) **HIGH EFFICIENCY NON-IMAGING OPTICS** for which an Application for Letters Patent was filed on **March 16, 2001**, and given **Application No. 09/810,959**.

AND WHEREAS, **LED PRODUCTS, INC.** (hereinafter ASSIGNEE), a **Delaware** Corporation, having a business address at **781 S. Nardo Avenue, Ste. O-20, Solana Beach, CA 92075** desires to acquire the entire right, title, and interest in and to the said improvements and the said Application.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) each to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventor(s), do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue applications and any other patent applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

===== **FIRST (OR SOLE) INVENTOR** =====

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 5th day of JUNE, 2001



Juan C. Miñano

STATE OF _____
COUNTY OF _____

On _____, before me, _____ personally appeared **Juan C. Miñano** personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Signature

In lieu of a Notary Public, the signature of **Juan C. Miñano** is hereby witnessed by:



Witness Signature

RUBEN MOHEMANO

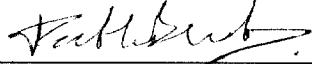
Witness Name (Print)

JUNE 5, 2001

Date

===== SECOND INVENTOR =====

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 5th day of JUNE, 2001.



Pablo Benitez

STATE OF _____
COUNTY OF _____

On _____, before me, _____ personally appeared **Pablo Benitez** personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Signature

In lieu of a Notary Public, the signature of **Pablo Benitez** is hereby witnessed by:



Witness Signature

RUBEN MOCHERANO

Witness Name (Print)

JUNE 5, 2001

Date

===== THIRD INVENTOR =====

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 5th day of JUNE, 2001.



Juan C. Gonzalez

STATE OF _____
COUNTY OF _____

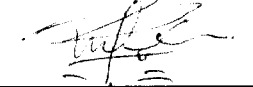
On _____, before me, _____ personally appeared **Juan C. Gonzalez** personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Signature

In lieu of a Notary Public, the signature of **Juan C. Gonzalez** is hereby witnessed by:



Witness Signature

RUBEN MOCHERANO

Witness Name (Print)

JUNE 5, 2001

Date

