

06-28-2001



101763413

PATENT

Docket No.: U 013439-6

Box Patent Application  
Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

6/19/01

JCE72 U.S. PRO  
09/884642  
06/19/01

COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING  
NEW PATENT APPLICATION (37 CFR 3.31)

IDENTIFICATION OF APPLICATION (37 CFR 3.21  
and 37 CFR 3.31(a)4))

09/884642

1. The patent application filed herewith and to which the attached assignment (document) refers is identified as follows:

- a. Date of execution: JUNE 14, 2001 (by all seven inventors)
- b. Name of each inventor:
  - 1. HIROYUKI SUZUKI
  - 2. KYOICHI ARIGA
  - 3. KENICHI KOBAYASHI
  - 4. HIROSHI OGURA
  - 5. NOBUYUKI MUROMACHI
  - 6. MIEKO NAGAMORI
  - 7. HIDEKI TOSHIMA

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying New Patent Application", along with any document referred to, is being deposited with the United States Postal Service on this date JUNE 19, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL 728213526 US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231

JENNIFER RASHKIN  
(type or print name of person mailing paper)

(Signature of person mailing paper)

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 1 of 5)

EXPRESS MAIL LABEL  
NO.: EL 728213526 US

PATENT  
REEL: 011924 FRAME: 0001

06/19/01 ST0401 0000078 03584642  
01 FD:581 40.00 DF

- c. Title of invention: METAL HYDRIDE NEGATIVE ELECTRODE AND METHOD FOR PRODUCING SAME

*NOTE: "If an assignment is executed concurrently with, or subsequent to the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended." 37 CFR 3.21.*

**Name of Party(ies) Conveying Interest (37 CFR 3.31(a)(1))**

2. The party(ies) conveying this interest is (are):

1. HIROYUKI SUZUKI
2. KYOICHI ARIGA
3. KENICHI KOBAYASHI
4. HIROSHI OGURA
5. NOBUYUKI MUROMACHI
6. MIEKO NAGAMORI
7. HIDEKI TOSHIMA

**Name and Address of Party(ies) Receiving Interest (37 CFR 3.31(a)(2))**

3. The rights are being conveyed to:

- Name: 1. HONDA GIKEN KOGYO KABUSHIKI KAISHA  
Address: 1-1, MINAMI-AOYAMA 2-CHOME,  
MINATO-KU TOKYO-TO, JAPAN
2. JAPAN METALS & CHEMICALS CO., LTD.  
8-4, NIHONBASHI KOAMI-CHO  
CHUO-KU, TOKYO-TO, JAPAN
3. SANOH KOGYO KABUSHIKI KAISHA  
2-27, HONCHO 4-CHOME,  
KOGA-SHI, IBARAKI-KEN, JAPAN

**Description of Interest Conveyed or Transaction Recorded (37 CFR 3.31(a)(3))**

4. The accompanying document intends to accomplish:

- an assignment.
- a security agreement.
- a license.
- a merger.
- a change of name.
- a change of address.
- other.

**Name and Address of Party to Whom Correspondence Should be Mailed (37 CFR 3.31(a)(5))**

5. Please address correspondence to:

Name: Clifford J. Mass

Address: Ladas & Parry  
26 West 61 Street  
New York, NY 10023

Telephone No.: (212) 708-1890

**Date Assignment (Document) Executed (37 CFR 3.31(a)(7))**

6. The attached assignment (document) was executed on JUNE 14, 2001 (by all seven inventors).

**Language of Assignment (Document) to be Recorded**

*NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26*

The attached document:

- is in the English language
- is not in the English language and a verified English translation signed by the individual making the translation is attached.

**Original Document or True Copy Submitted**

*NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.*

7. Submitted herewith is:

- the original document.
- a true copy of the original document.

*NOTE: "If the original[assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. §3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.*

**Number of Applications Identified in this Cover Sheet and the Fee (37 CFR 3.31(a)(6))**

- 8. A. This cover sheet identifies only one application:
- B. The fee for recordal (37 CFR 1.21(h)) is \$40.00
  - Attached is a check for \$40.00
  - Please charge Account 12-0425 \$40.00.  
A duplicate of this cover sheet is attached.

**Statement (37 CFR 3.31(a)(9)) and Signature (37 CFR 3.31(a)(10))**

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: JUNE 19, 2001

\_\_\_\_\_  
(Name of party submitting document)

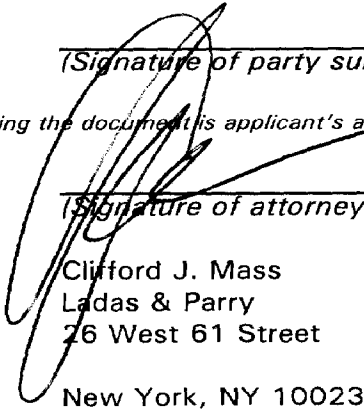
\_\_\_\_\_  
(Signature of party submitting document)

*(Complete the following if the party submitting the document is applicant's attorney)*

\_\_\_\_\_  
(Signature of attorney)

Reg. No. 30,086

Tel. No. (212) 708-1890

  
 Clifford J. Mass  
 Ladas & Parry  
 26 West 61 Street  
 New York, NY 10023

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

10. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

Number of pages submitted 9

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 4 of 5)

For:  U.S. and/or  Foreign Rights  
 For:  U.S. Application or  
 U.S. Provisional Application  
 For:  U.S. Patent  
 For:  PCT Application  
 By: Multiple Inventors

**ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS (Inventors):**Hiroyuki Suzuki*(type or print name of inventors)*Kabushiki Kaisha Honda Gijutsu Kenkyusho4-1, Chuo 1-Chome, Ako-ShiSaitama-Ken, JapanJapanese

Address

Nationality

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Address

Nationality

(Assignment of Invention—Multiple Inventors—page 1 of 3) 16-3.2

**EXPRESS MAIL LABEL  
NO.: EL 728213526 US****PATENT  
REEL: 011924 FRAME: 0005**

**ADDED PAGE TO ASSIGNMENT FOR SIGNATURE BY SUBSEQUENT INVENTORS**

**ASSIGNORS (Inventors):**

Mieko Nagamori

*(type or print name of inventors)*

Sanoh Kogyo Kabushiki Kaisha

758, Konosu, Koga-Shi

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Hideki Toshima

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Nationality

Address

Nationality

(Assignment of Invention—Multiple Inventors—Added Page) 16-3.2

(if assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO, add the following)

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_  
Frame \_\_\_\_\_

hereby sells, assigns and transfers to  
ASSIGNEE

Honda Giken Kogyo Kabushiki Kaisha

(type or print name of ASSIGNEE)

Japanese

Nationality

1-1, Minami - Aoyama 2-Chome, Minato-Ku

Address

Tokyo-To Japan

And

ASSIGNEE

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(type or print name of ASSIGNEE)

Japanese

Nationality

8-4, Nihonbashi Koami-Cho, Chuo-Ku

Address

Tokyo-To, Japan

And

ASSIGNEE

Sanoh Kogyo Kabushiki Kaisha

(type or print name of ASSIGNEE)

Japanese

Nationality

2-27, Honcho 4-Chome, Koga-Shi

Address

Ibaraki-Ken, Japan

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- the entire right, title and interest  
 an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest  
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- and in all foreign countries, including all rights to claim priority,  
in and to any and all improvements which are disclosed in the invention entitled:

METAL HYDRIDE NEGATIVE ELECTRODE AND METHOD FOR PRODUCING  
SAME

Name of inventor(s) Hiroyuki Suzuki, Kyoichi Ariga, Kenichi Kobayashi, Hiroshi Ogura,  
Nobuyuki Muromachi, Mieko Nagamori, Hideki Toshima,

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. § 3.21)

- (a)  U.S. patent application executed on even date herewith  
(b)  U.S. patent application executed on \_\_\_\_\_  
(c)  U.S. provisional application naming the above inventor(s) for the above-  
entitled invention.  
 Express mail label no.: \_\_\_\_\_  
Mailed: \_\_\_\_\_

To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d)  U.S. application no. \_\_\_\_\_  
filed on \_\_\_\_\_

(e)  International application no. PCT/\_\_\_\_\_/\_\_\_\_\_

(f)  U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_

A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.



(also check (g), if foreign application(s) is also being assigned)

(g) [ ] and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

<u>Hiroyuki Suzuki</u> (type name of Assignor)	(x) <u>Hiroyuki Suzuki</u> Signature of ASSIGNOR	this (x) <u>June 14, 2001</u> date of signing
<u>Kyoichi Ariga</u> (type name of Assignor)	(x) <u>Kyoichi Ariga</u> Signature of ASSIGNOR	this (x) <u>June 14, 2001</u> date of signing
<u>Kenichi Kobayashi</u> (type name of Assignor)	(x) <u>Kenichi Kobayashi</u> Signature of ASSIGNOR	this (x) <u>June 14, 2001</u> date of signing
<u>Hiroshi Ogura</u> (type name of Assignor)	(x) <u>Hiroshi Ogura</u> Signature of ASSIGNOR	this (x) <u>June 14, 2001</u> date of signing
<u>Nobuyuki Muromachi</u> (type name of Assignor)	(x) <u>Nobuyuki Muromachi</u> Signature of ASSIGNOR	this (x) <u>June 14, 2001</u> date of signing
<u>Mieko Nagamori</u> (type name of Assignor)	(x) <u>Mieko Nagamori</u> Signature of ASSIGNOR	this (x) <u>June 14, 2001</u> date of signing
<u>Hideki Toshima</u> (type name of Assignor)	(x) <u>Hideki Toshima</u> Signature of ASSIGNOR	this (x) <u>June 14, 2001</u> date of signing

(if ASSIGNOR is a legal entity, complete the following information)

\_\_\_\_\_  
(type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR)

\_\_\_\_\_  
Title

**NOTE:** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

[ ] Notarization or Legalization Page Added.