

06-28-2001



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COVER SHEET

U.S. DEPARTMENT OF COMMERCE
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Case 4-100-8351/A/PCT

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To the Honorable Com.

Use record the attached original documents or copy thereof.

1. ~~Name of conveying party(ies)~~
1) Sylvain Cottens, 2) Robert Paul Hof and
3) Roland Wenger

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other 1) 04/16/1999, 2) 04/18/1999,
3) 04/16/1999

Execution Date: _____

2. Name and address of receiving party(ies)

Name: Novartis AG

Internal Address: _____

Street Address: Schwarzwaldallee 215

City: Basel State: Switzerland ZIP: 4058

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
09/308,098

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas Hoxie

Internal Address: Novartis Corporation

Patent and Trademark Dept.

Street Address: 564 Morris Avenue

City: Summit State: NJ ZIP: 07901-1027

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

☐ Enclosed

☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Diane E. Furman

Name of Person Signing
Reg. No. 31,104

Diane Furman
Signature

June 22, 2001

Date

☒ Express Mail on reverse side

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 011927 FRAME: 0668

ASSIGNMENT

I / We **Sylvain COTTENS**, Traubenweg 34, 4108 Witterswil, Switzerland, citizen of Switzerland
Robert Paul HOF, Huebacherweg 12, 4460 Gelterkinden, Switzerland, citizen of Switzerland
Roland WENGER, Grenzacherweg 45, 4125 Riehen, Switzerland, citizen of Switzerland

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG, a Company organized under the laws of the Swiss Confederation, of Schwarzwaldallee 215, 4058 Basel, Switzerland**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

NEW USE FOR 1,3-PROPANEDIOL DERIVATIVES

invented by me/us and described in the international application

No. **PCT/EP 97/06408** filed **17/11/1997**

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Novartis AG**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Novartis AG**.

Signed on

Sylvain COTTENS
Sylvain COTTENS

04/16/1999

Robert Paul HOF
Robert Paul HOF

04/18/1998

Roland WENGER
Roland WENGER

ASSIGNMENT

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Robert Paul HOF, Huebacherweg 12, 4460 Gelterkinden, Switzerland, citizen of Switzerland
Roland WENGER, Grenzacherweg 45, 4125 Riehen, Switzerland, citizen of Switzerland

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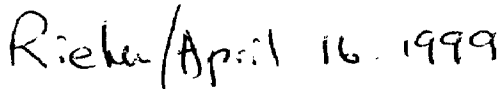
Signed on

Sylvain COTTENS

Robert Paul HOF



Roland WENGER


Riehen/April 16. 1999