

07-02-2001

DEPARTMENT OF COMMERCE

Patent and Trademark Office
Docket No. 14671-2001200

101766164

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Yuanda Randy CHENG 2. Connie Chunling LIU
3. Chung SHIH 4. Linda Lijun ZHONG
5. Jeff Duane ST. JOHN 6. Jeffery Lee PETREHN

- ☒ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☐ Corporation-State ☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Seagate Technology LLC
920 Disc Drive
Scotts Valley, California 95066Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: May 18, 2001, May 16, 2001, May 15, 2001,
May 16, 2001, May 18, 2001, May 15, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
09/779,484

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Raj S. Dave'
Morrison & Foerster LLP
2000 Pennsylvania Avenue, N.W.
Washington, D.C. 20006-1888

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account, referencing
Attorney Docket 146712001200

8. Deposit account number: 03-1952The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Raj S. Dave'
Registration No: 42,465

Signature

June 26, 2001

Date

Total number of pages comprising cover sheet, attachments and document: 5

06/27/2001 DBYRNE 00000092 09799484

01 FD:581

40.00 DP

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office
Office of Public Records
Box Assignments
Crystal Gateway 4, Room 335
Washington, D.C. 20231

dc-268513

PATENT
REEL: 011929 FRAME: 0397

ASSIGNMENT

Attorney Docket No. : 146712001200

Seagate Docket No. : SEA2913

WHEREAS, I/we Connie Chunling Liu of 4078 Lakeside Dr., San Jose, CA 95148, USA; Yuanda Randy Cheng of 1720 Via Cortina, San Jose, California 95120; USA; Chung Shih of 10069 Oakleaf Place, Cupertino, California 95014; USA; Linda Lijun Zhong of 811 San Marcos Ave., Fremont, California 94539, USA; Jeff Duane St. John of 32460 Loma Chiquita Rd., Los Gatos, California 95033, USA; and Jeffery Lee Petrehn of 48877 Crown Ridge Comm, Fremont, California 94539, USA are the inventor(s) of an invention entitled Control of Magnetic Film Grain Structure by Modifying Ni-P Plating of the Substrate that is the subject matter of: (check all that apply)

- ☐ a provisional application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. _____ filed on _____;
- ☒ an application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. 09/779,484 filed on Feb. 9, 2001; and
- ☐ an international application for Letters Patent filed pursuant to the Patent Cooperation Treaty which is identifiable in the United States Receiving Office by Application No. _____ filed on _____; and

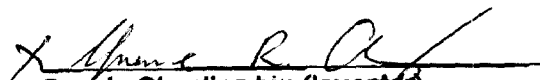
WHEREAS, Seagate Technology LLC, a limited liability company organized and existing under the laws of the State of Delaware and the United States of America, and having offices at 920 Disc Drive, Scotts Valley, California, 95066 USA, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the applications, and any and all Letters Patent or similar foreign or domestic legal protection;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/we transfer to Assignee, its successors and assigns, my/our entire right, title and interest in and to the invention, the above-identified applications, all provisional applications from which any of the above-identified applications claim priority, corresponding domestic and foreign applications, any continuation, division, renewal, or substitute for the applications, all Letters Patent, any reissue, re-examination, or similar legal protection issuing related to the Letters Patent, and all rights and benefits under any applicable treaty or convention; and I/we authorize the Director of the United States Patent and Trademark Office or foreign equivalent to issue the Letters Patent or similar legal protection to the Assignee.

I/We authorize the Assignee, its successors and assigns, to insert in this instrument the filing date(s) and application numbers when ascertained. I/We further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries and appoint Assignee the common representative in the above identified international application and any international application for the invention.

I/We represent to the Assignee, its successors and assigns, that I/we have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I/We, my/our executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute and deliver to Assignee or its legal representatives such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries, including without limitation providing testimony in any related interference, litigation or proceeding.

REPUBLIC OF SINGAPORE)
CITY OF SINGAPORE)
EMBASSY OF THE UNITED) SS:
STATES OF AMERICA)


Connie Chunling Liu (Inventor)
YUANDA RANDY CHENG

Subscribed and sworn to before me at Singapore, Republic of Singapore, this 18th day of May, 2001, by Yuanda Randy Cheng.


Notary Public

Kevin L. Richardson

Consul of the United States of America
Rev. January 10, 2001

U.S. Assignment Seagate Technology LLC
dc-248721

-1-

ASSIGNMENT

Attorney Docket No. : 146712001200

Seagate Docket No. : SEA2913

WHEREAS, I/we Connie Chunling Liu of 4078 Lakeside Dr., San Jose, CA 95148, USA; Yuanda Randy Cheng of 1720 Via Cortina; San Jose, California 95120; USA; Chung Shih of 10069 Oakleaf Place; Cupertino, California 95014; USA; Linda Lijun Zhong of 811 San Marcos Ave., Fremont, California 94539, USA; Jeff Duane St. John of 32460 Loma Chiquita Rd., Los Gatos, California 95033, USA; and Jeffery Lee Petrehn of 48877 Crown Ridge Comm; Fremont, California 94539, USA are the inventor(s) of an invention entitled Control of Magnetic Film Grain Structure by Modifying Ni-P Plating of the Substrate that is the subject matter of: (check all that apply)

- ☐ a provisional application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. _____ filed on _____;
- ☒ an application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. 09/779,484 filed on Feb. 9, 2001; and
- ☐ an international application for Letters Patent filed pursuant to the Patent Cooperation Treaty which is identifiable in the United States Receiving Office by Application No. _____ filed on _____; and

WHEREAS, Seagate Technology LLC, a limited liability company organized and existing under the laws of the State of Delaware and the United States of America, and having offices at 920 Disc Drive, Scotts Valley, California, 95066 USA, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the applications, and any and all Letters Patent or similar foreign or domestic legal protection;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/we transfer to Assignee, its successors and assigns, my/our entire right, title and interest in and to the invention, the above-identified applications, all provisional applications from which any of the above-identified applications claim priority, corresponding domestic and foreign applications, any continuation, division, renewal, or substitute for the applications, all Letters Patent, any reissue, re-examination, or similar legal protection issuing related to the Letters Patent, and all rights and benefits under any applicable treaty or convention; and I/we authorize the Director of the United States Patent and Trademark Office or foreign equivalent to issue the Letters Patent or similar legal protection to the Assignee.

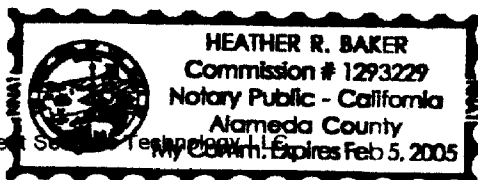
I/We authorize the Assignee, its successors and assigns, to insert in this instrument the filing date(s) and application numbers when ascertained. I/We further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries and appoint Assignee the common representative in the above identified international application and any international application for the invention.

I/We represent to the Assignee, its successors and assigns, that I/we have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I/We, my/our executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute and deliver to Assignee or its legal representatives such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries, including without limitation providing testimony in any related interference, litigation or proceeding.



Connie Chunling Liu (Inventor)

Subscribed and sworn to before me at Fremont, California, a Notary Public, this 16 day of May, 2001.


Notary Public

U.S. Assignment Serial No. _____
dc-248721

-1-

Rev. January 10, 2001

PATENT
REEL: 011929 FRAME: 0399

(SEAL)

Yuanda Randy Cheng (Inventor)

Subscribed and sworn to before me at _____, a Notary Public, this ____ day of _____, 20____.

Notary Public

(SEAL)

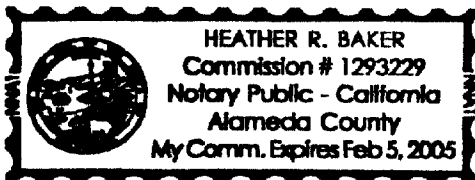
Chung Shih 5/15/01

Chung Shih (Inventor)

Subscribed and sworn to before me at Fremont, California, a Notary Public, this 15 day of May, 2001.

Heather R Baker
Notary Public

(SEAL)

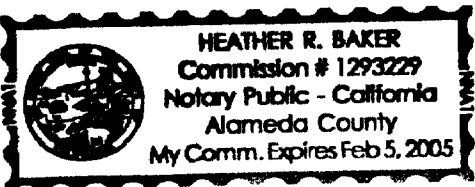


Linda Lijun Zhong
Linda Lijun Zhong (Inventor)

Subscribed and sworn to before me at Fremont, California, a Notary Public, this 16 day of May, 2001.

Heather R Baker
Notary Public

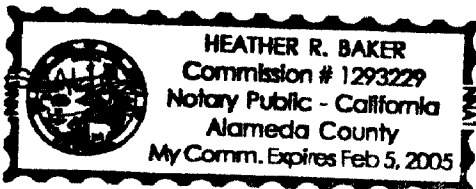
(SEAL)



Jeff Duane St John
Jeff Duane St John (Inventor)

Subscribed and sworn to before me at Fremont, California, a Notary Public, this 18 day of May, 2001.

Heather R Baker
Notary Public



Jeffery Lee Petrehn
Jeffery Lee Petrehn (Inventor)

Subscribed and sworn to before me at Fremont, California, a Notary Public, this 15 day of May, 2001.

Heather R. Baker
Notary Public

(SEAL)

