

07-02-2001



101765809

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John J. Coogan, Jr.
Barry Ressler

6.19.01

2. Name and address of receiving party(ies):

Name: Triton Thalassic Technologies, Inc.

Street Address: 241 Ethan Allen Highway
Ridgefield, CT 06877

Country: USA

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Release of Security Agreement ☐ -Change of Name
☐ Other: _____

Execution Date: June 14, 2001

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or registration number(s):

A. Patent Application No.: 09/805,610

Filing Date: March 13, 2001

If this document is being filed together with a new application, the execution date of this application is:

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Basam E. Nabulsi

Internal Address:

Street Address: Cummings & Lockwood
Four Stamford Plaza
107 Elm Street

City: Stamford State: CT Zip: 06902

6. Total number of applications and/or patents involved: [1]

7. Total fee (37 CFR 3.41): \$40.00
☐ Check enclosed
☒ Payment submitted 3/13/01
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of Person Signing: Basam E. Nabulsi

Signature: Basam E. Nabulsi Date: June 19, 2001

Total number of pages including cover sheet, attachments, and document: [3]

03-27-2001



101648774

U.S. Department of Commerce
Patent and Trademark Office
PATENT

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies)

Submission Type



New



Resubmission (Non-Recordation)

Document ID#



Correction of PTO Error

Reel #

Frame #



Corrective Document

Reel #

Frame #

Conveyance Type



Assignment



Security Agreement



License



Change of Name



Merger



Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)



Departmental File



Secret File

Conveying Party(ies)



Mark if additional names of conveying parties attached

Name (line 1)

John J. Coogan, Jr.

Execution Date
Month Day Year

3-13-01

Name (line 2)

~~Barry Zessler~~

Execution Date
Month Day Year

3-13-01

Second Party

Name (line 1)

Barry Zessler

Name (line 2)

Receiving Party



Mark if additional names of receiving parties attached

Name (line 1)

Triton Thalassic Technology Inc.

Name (line 2)

Address (line 1)

241 Ethan Allen Highway

Address (line 2)

Address (line 3)

Ridgefield

CT

06877

City

State/Country

Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Basam E. Nabulsi

Address (line 1)

Triton Thalassic Technology Inc

Address (line 2)

241 Ethan Allen Highway

Address (line 3)

Ridgefield, CT 06877

Address (line 4)

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 011931 FRAME: 0670

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

Whereas, I/We, John J. Coogan, Jr. of 12 Aunt Patty's Lane East, hereafter referred to as applicant, have invented certain new and useful improvements in _____

Monochromatic Fluid Treatment Systems

☒ for which an application for a United States Patent was filed on _____

Application Number _____ / _____

☐ for which an application for a United States Patent was executed on _____, and

Whereas, _____ of _____ herein referred to "assignee" whose mailing address is _____ is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollar (\$ 1.-), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 13th day of March, 20 01

at Ridgfield, CT

State of _____)

County of _____)

SS:


(Signature)

Before me personally appeared said _____

and acknowledged the foregoing instrument to be his free act and deed this _____

day of _____, 20 _____.

Seal

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

* ☐ Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

T3I-001

Whereas, I/We, Barry Ressler of 187 Newtown Ave, hereafter referred to as applicant, have invented certain new and useful improvements in

Monochromatic Fluid Treatment Systems

☒ for which an application for a United States Patent was filed on 3/13/01
Application Number 1

☐ for which an application for a United States Patent was executed on _____, and

Whereas, _____ of _____ herein referred to "assignee" whose mailing address is _____ is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 13th day of March, 20 01
at Ridgefield, CT

State of _____)

County of _____)

SS:

Before me personally appeared said _____

and acknowledged the foregoing instrument to be his free act and deed this _____

day of _____, 20 _____.

Seal

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

* ☐ Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
875031.0005

This is an Assignment of the following rights, title and interest: (check all that apply):

- ☐ *United States of America rights, title and interest in the invention*
☐ *Foreign rights, title and interest in the invention*
☒ *United States Patent Application Serial No.* 09/805,610

Date of Execution: _____

Date of Filing: March 13, 2001

- ☐ *United States Provisional Patent Application Serial No.* _____
☐ *United States Patent No(s).* _____
☐ *International (PCT) Patent Application Serial No.* _____
☐ *Other (specify)* _____

Title of the Invention

MONOCHROMATIC FLUID TREATMENT SYSTEMS

Inventors (assignors)

<i>Name</i>	<i>Address</i>
John J. Coogan, Jr.	12 Aunt Patty's Lane East, Bethel, CT 06877
Barry Ressler	187 Newtown Turnpike, Weston, CT 06883

Assignee

<i>Name</i>	<i>Address</i>
TRITON THALASSIC TECHNOLOGIES, INC.	241 Ethan Allen Highway, Ridgefield, CT 06877

Assignment of Rights, Title and Interest in Invention (Multiple inventors; single assignee)

Docket No.

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

[illegible]