



07-02-2001



101765701

Substitute Form PTO-1505

RECORDATION FORM COVER SHEET
PATENTS ONLYAttorney Docket No.
ROC920000165US1

To The Honorable Commissioner of Patents and trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Execution date:

Cary Lee Bates
Paul Reuben Day
Mark William Theuer6-26-01
12/22/2000
12/27/2000
12/28/2000

2. Name and address of receiving party(ies):

International Business Machines Corporation
New Orchard Road
Armonk, New York 10504

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

4. Application number(s) or patent number(s):

Execution/Filing/Issue Date

☐ New Patent Application, execution date of application☒ Patent Application Number: 09/751,080☐ Patent Number: _____

Execution Date

12/28/2000

Filing Date

Issue Date

5. Name and address of party to whom
correspondence concerning document should
be mailed:Andrew J. Dillon
BRACEWELL & PATTERSON, L.L.P.
Suite 350 Lakewood on the Park
7600B North Capital of Texas Hwy.
Austin, Texas 78731

6. Total number of applications and patents involved:

One

7. Total fee (37 CFR 3.41). \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account
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8. Deposit account number: 09-0465

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9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is
a true copy of the original document.*

Betty J. Kirk

Name of Person Signing

Signature

June 21, 2001

Date

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
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FORM PTO-1595
1-31-92

01-26-2001

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Docket No. ROC920000165US1

101594871

To the Assistant Commissioner for Patents. Please record the attached original documents or copy thereof.

JCS13 U.S. PTO
09/75/080
12/28/00

1. Name of conveying party(ies):

Cary L. Bates
Paul R. Day
Mark W. Theuer

Additional names of conveying party(ies) attached?

☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ OtherExecution Date: December 27, 2000; December 27, 2000;
December 28, 2000

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard RoadCity ArmonkState New York Zip 10504Additional name(s) & address(es) attached? ☐ yes ☒ no

9/75/080

4. Application number(s) or patent number(s):

TITLE: **COLLATING TABLE FOR E-MAIL**If this document is being filed together with a new application, the execution date of the application is December 28, 2000

A. Patent Application No(s).

Docket No. ROC920000165US1
Serial No. Unassigned

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

Name and address of party to whom correspondence concerning document should be mailed:

James R. Nock
IBM Corporation - Dept. 917
3605 Highway 52 North
Rochester, MN 55901-7829

B&P

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):

\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account☒ Total fee due☒ Any deficiencies in the enclosed fees

8. Deposit account number:

09-0465

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01/26/2001 DHGUYEN 00000062 090465 09751080

01 FC:581 40.00 CH

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James R. Nock
Name of Person SigningJames R. Nock
Signature12-28-00
DateTotal number of pages comprising cover sheet: 1

IBM DOCKET NO. ROC920000165US1

A S S I G N M E N T

Whereas, we,

(1) Cary L. Bates
County of Olmsted

of Rochester,
and State of Minnesota,

and

(2) Paul R. Day
County of Olmsted

of Rochester,
and State of Minnesota,

(3) Mark W. Theuer
County of Olmsted

of Rochester,
and State of Minnesota,

have invented certain improvements in

COLLATING TABLE FOR E-MAIL

and executed, respectively, a United States patent application therefor on (1) December 22, 2000, and (2) December 27, 2000 and (3) December 28, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Rochester, MN on 12-22, 2000.


CARY L. BATES

(2) Signed at Rochester, MN on 12-27, 2000.


PAUL R. DAY

IBM DOCKET NO. ROC9200000165US1

(3) Signed at Rochester, MN on Dec 28, 2000.

Mark W. Theuer

MARK W. THEUER