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PATENT

Docket No.: ROC0-2000-0205USI



Pleas.

101765195

hereof.

## 1. Name of conveying party(ies):

Gordon James Smith

## 2. Name and address of receiving party(ies):

Name: Information Business Machines Corporation

Internal Address:

Street Address:

City: Armonk State: New York ZIP: 10504

Country: United States of America

## Additional name(s) of conveying party(ies) attached?

☐ No ☐ Yes

## 3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: June 19, 2001

## Additional name(s) &amp; addresses attached?

☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 19, 2001

A. Patent Application Serial No.(s)/Filing Date(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

## 6. Total number of applications and patents involved: 1

Name: Timothy A. Czaja

## 7. Total fee (37 CFR 3.41). . \$40.00

- ☒ Enclosed.  
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## 9. Statement and signature.

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**PATENT**  
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JUNE 21, 2001

**ASSIGNMENT**

Whereas, I

(1) Gordon James Smith  
County of OlmstedCity of Rochester,  
and State of Minnesota,

have invented certain improvements in

**METHOD AND APPARATUS FOR EVALUATING A HEAD-DISK INTERFACE CONDITION  
FOR DISK DRIVES**

and have executed, respectively, a United States patent application therefor on


Dates Inventors

Signed

Declaration (1) June 19, 2001

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

**Signed**Inventor at Rochester, Minnesota  
(1)

Inventor  
Signature(Gordon James Smith)  
First Name Initial Last Nameon 6/19, 2001.