

07-05-2001

U.S. Department of Commerce
Patent and Trademark Office
PATENT

FORM PTO-1619A

Expires 06/30/99
OMB 0651-0027

101768627

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

FOR THE Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type☒ New☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

☐ Corrective Document

Reel #

Frame #

Conveyance Type☒ Assignment☐ Security Agreement☐ License☐ Change of Name☐ Merger☐ Other**U.S. Government**

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File☐ Secret File**Conveying Party(ies)**☐ Mark if additional names of conveying parties attached

Name (line 1) Frantzen, John J.

Execution Date
Month Day Year
06152001

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party☐ Mark if additional names of receiving parties attached

Name (line 1) Nellix, Inc.

Name (line 2) a corporation of Delaware

Address (line 1) 450 Cabot Road

Address (line 2)

Address (line 3) S. San Francisco

CA

94080

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231**PATENT**
REEL: 011934 FRAME: 0305

Correspondent Name and Address

Area Code and Telephone Number **916-781-6634**

Name **Bradley P. Heisler**

Address (line 1) **Heisler & Associates**

Address (line 2) **3017 Douglas Blvd., Suite 300**

Address (line 3) **Roseville, CA 95661**

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

60204458

09602767

5931866

6187034

09590474

09671550

6022359

09590475

6083259

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

9

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ **360.00**

Method of Payment:

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Enclosed ☒

Deposit Account ☐

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Bradley P. Heisler

Name of Person Signing

Signature

Date

June 22, 2001

ASSIGNMENT

I, John J. Frantzen, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to the undersigned, agree to assign, and hereby do assign, transfer and set over to Nellix, Inc., a Delaware corporation, whose address is 450 Cabot Road, S. San Francisco, California 94080, the entire right, title and interest in and to the inventions known as: Radially Expandable Stent Featuring Aneurysm Covering Surface, for which an application for patent in the United States Patent and Trademark Office has been filed on May 16, 2000 and received Application Number 60/204,458; Radially Expandable Stent Featuring Aneurysm Covering Surface, for which an application for patent in the United States Patent and Trademark Office has been filed on June 8, 2000 and received Application Number 09/590,474; Radially Expandable Stent Featuring Covering Tip Primarily for Bifurcated Artery Aneurysms, for which an application for patent in the United States Patent and Trademark Office has been filed on June 8, 2000 and received Application Number 09/590,475; Radially Expandable Aneurysm Treatment Stent, for which an application for patent in the United States Patent and Trademark Office has been filed on June 23, 2000 and received Application Number 09/602,767; Vascular Stent-Graft Apparatus and Forming Method, for which an application for patent in the United States Patent and Trademark Office has been filed on September 27, 2000 and received Application Number 09/671,550; Radially Expandable Stent Featuring Accordion Stops for which a patent issued in the United States Patent and Trademark Office on August 3, 1999 and received Patent No. 5,931,866; Stent Delivery System Featuring a Flexible Balloon for which a patent issued in the United States Patent and Trademark Office on February 8, 2000 and received Patent No. 6,022,359; Axially Non-Contracting Flexible Radially Expandable Stent for which a patent issued in the United States Patent and Trademark Office on July 4, 2000 and received Patent No. 6,083,259; and Segmented Stent for Flexible Stent Delivery System for which a patent issued in the United States Patent and Trademark Office on February 13, 2001 and received Patent No. 6,187,034.

1) The undersigned agrees to execute all papers necessary in connection with each application and patent to protect the invention, and any continuing or divisional applications thereof, and also to execute separate assignments in connection with such applications and patents as the Assignee may deem necessary or expedient.

2) The undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning any application relating to the invention, or any continuation or division thereof, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with any such interference.


3) The undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks, and all equivalent foreign officials, to assign any and all Letters Patent resulting from said application or any divisions or continuing applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenants that Assignor has full right to convey the entire interest herein assigned, and that Assignor has not executed, and will not execute, any agreement in conflict herewith.

5) The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of valid patents to the Assignee relating to the invention.

6) The undersigned hereby grants the Assignee and its duly authorized representatives the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office, and any equivalent foreign office, for recordation of this document.

Date JUNE 15 2001 Name of Inventor


John J. Frantzen

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

STATE OF CALIFORNIA

COUNTY OF

Orange

}
} SS.

On June 15, 2001 before me, the undersigned, a Notary Public in and for

said State personally appeared

JOHN J. FRANTZEN

Name(s) of Signer(s)

☐ Personally known to me OR ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



(Area above for official notarial seal)

Witness my hand and official seal.

Christine A. Newman
Signature of Notary

CHRISTINE A. NEWMAN

Name (Typed or Printed)

Capacity Claimed by Signer

- ☒ Individual(s)
☐ Corporate Officer(s) - Title(s) _____

☐ Partner(s)
☐ Attorney-in-Fact
☐ Trustee(s)
☐ Guardian/Conservator
☐ Other: _____

Signer is Representing: Name of person(s) or

Entity(ies) _____

Description of Attached Document

(Although this information is optional, it could prevent fraudulent attachment of this certificate to another document.)

This certificate is for attachment to the document described below:

Title or type of document Assignment

Number of pages 1

Date of document 6/15/01

Signer(s) other than named above _____

