

07-05-2001

FORM PTO-1595  
(REV 6-93)

REC



ET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

OMB No. 0651-011 (exp. 4/99)

101768664

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Mang-Shiang Lee

Additional name(s) of conveying

party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: September 29, 2000

## 2. Name and address of receiving party(ies):

Name: Solid State Opto Limited

Internal Address: \_\_\_\_\_

Street Address: P.O. Box 957

Offshore Incorporations Centre

City: Road Town, Tortola

State/Country: British Virgin Islands

ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

See attached Schedule A.

(13 listed issued U.S. patents)

## B. Patent No.(s)

See attached Schedule A.

(4 listed pending U.S. patent applications)

Additional Numbers attached? ☒ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Donald L. Otto

Internal Address: \_\_\_\_\_

RENNER, OTTO, BOISSELLE &amp; SKLAR, LLP

Street Address: 1621 Euclid Avenue

Nineteenth Floor

City: Cleveland

State: Ohio

ZIP: 44115-2191

## 6. Total number of applications and patents involved: [ 17 ]

7. Total fee (37 CFR 3.41) .....\$ \$680.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

18-0988

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald L. Otto

Name of Person Signing

Signature

Date

Reg. No. 22,125

Total number of pages including cover sheet attachments, and document: [ 6 ]

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents &amp; Trademarks, Box Assignments

Washington, D.C. 20231

GLOL.ZG0108

EXPRESS MAIL NO. EF232849638US

MAILED 6/27/01

PATENT

REEL: 011934 FRAME: 0542

Schedule A

September 21, 2000 11:50am—rhi  
CLE:19475\1 -888319 Ver1

3

A handwritten signature in black ink, appearing to be 'J.B.' or similar, located above the patent information.

**PATENT**  
**REEL: 011934 FRAME: 0543**

## Issued Patents

MICROLENS  
SOLID STATE  
SOLID STATE

# Lumitex Inc. Solid State Patents Pending

## Schedule of Intellectual Property Assets

Updated 8/14/00

### Pending Patents

Item / Case No.	Title	Description	Serial No.	Inventor	Filing Date	Application Type	Country
1 102USC	Light Emitting Panel Assemblies	Non-embedded	08778,089	Parker	1997/1/2	Div of 5,613,751	U.S.A.
4 102USG	Light Emitting Panel Assemblies	Includes optical claims patterned after Pat. 5,618,096	09/112,425	Parker	1998/7/9	Cont of 5,613,751	U.S.A.
6 105USA	Light Emitting Panel Assemblies For Use In Automotive Applications and the Like	deformable panel with control buttons & dome switches	09/167,949	Parker	1998/10/7	Div of 5,895,115	U.S.A.
7 111US	Light Emitting Panel Assemblies	MICROLENS and General Phototherapy	09/256,275	Parker	1999/2/23	CIP of 08,778,089, a Div of 5,613,751	U.S.A.
8 99JP	Thin Panel Illuminator		23,901/81	Parker	1991/1/25	Corres to 5,005,108	Japan
9 102EP	Light Emitting Panel Assemblies		96304682.8	Parker	1996/6/25	Corres to 5,613,751	EP-GB, DE, FR
10 102JP	Light Emitting Panel Assemblies		8-168037	Parker	1998/6/27	Corres to 5,613,751	Japan
11 102TW	Light Emitting Panel Assemblies		87211427	Parker	1996/5/1	Corres to 5,613,751	Taiwan
12 105EP	Light Emitting Panel Assemblies for Use in Automotive Applications and the Like		97300059.9	Parker	1997/1/7	Corres to 5,895,115	EP
13 105JP	Light Emitting Panel Assemblies for Use in Automotive Applications and the Like		9-5862	Parker	1997/1/16	Corres to 5,895,115	Japan
14 108EP	Integrated Display Panel Assemblies		96303885.2	Parker	1998/5/18	Corres to 5,975,711	EP-FR, DE, UK
15 108JP	Integrated Display Panel Assemblies		10-159706	Parker	1998/6/8	Corres to 5,975,711	Japan
16 108TW	Integrated Display Panel Assemblies		87107805	Parker	1998/5/20	Corres to 5,975,711	Taiwan
17 111TW	Light Emitting Panel Assemblies		89102888	Parker	2000/2/19	Corres to 09/256,275	Taiwan
18 111WO	Light Emitting Panel Assemblies		PCT/US00/4499	Parker	2000/2/23	Corres to 09/256,275	PCT

PATENT

REEL: 011934 FRAME: 0545

04-10-2001

COPY

FORM PTO-1595  
(REV 6-93)

OMB No. 0651-011 (exp. 4/94)



101658506

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Mang-Shiang Lee

Additional name(s) of conveying  
party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: September 29, 2000

## 2. Name and address of receiving party(ies):

Name: Solid State Opto Limited

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_

State/Country: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

See attached Schedule A.  
(13 listed issued U.S. patents)

## B. Patent No.(s)

See attached Schedule A.  
(4 listed pending U.S. patent applications)Additional Numbers attached? ☒ Yes ☐ No5. Name and address of party to whom  
correspondence concerning document  
should be mailed:

Name: Donald L. Otto

Internal Address: \_\_\_\_\_

RENNER, OTTO, BOISSELLE &amp; SKLAR, LLP

Street Address: 1621 Euclid Avenue

Nineteenth Floor

City: Cleveland

State: Ohio ZIP: 44115-2191

## 6. Total number of applications and patents involved: [ 17 ]

7. Total fee (37 CFR 3.41) .....\$ 680.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

18-0988

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy  
of the original document.

Donald L. Otto

Name of Person Signing

Signature

March 20 2001

Date

Reg. No. 22,125 Total number of pages including cover sheet attachments, and document: [ 6 ]

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

GLOL.ZG0108

EXPRESS MAIL NO. EF232854607US

PATENT  
REEL: 011934 FRAME: 0546

# ASSIGNMENT

THIS ASSIGNMENT is made as of the 29<sup>th</sup> day of September, 2000, by and between MANG-SHIANG LEE, a citizen of the Republic of China, having a business address of 8F, No. 10, Lane 7, Wu-Chan Road, Wu-Ku industrial Park, Taipei, Taiwan, R.O.C. ("Assignor"); and

SOLID STATE OPTO LIMITED, a British Virgin Islands corporation ("Assignee");

WHEREAS, Assignor is the owner of the entire right, title and interest, including the goodwill, in the Issued Patents, Trademarks and Trade Names and Pending Patents ("Assets") listed in the attached Schedule A; and

WHEREAS, Assignor is desirous of acquiring the entire right, title and interest in and to the aforesaid Assets, and the goodwill associated therewith;

NOW, THEREFORE, in consideration of one (\$1.00) dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignor does hereby sell, assign and transfer unto Assignee, Assignor's entire right, title and interest in and to said Assets, together with the entire goodwill of the business symbolized by the Assets, the Assets, the same to be held and enjoyed by said Assignee for its own use, and for its legal representatives and assigns, as fully and entirely as the same would have been held by Assignor had this Assignment and sale not been made, as well as all rights to injunctive relief, damages or profits, due or accrued, arising out of all causes of action, past and future, including infringement of said Assets, or other violations, or injury to the said goodwill, and the right to sue for and recover the same in its, the Assignee's, own name and its successors, assigns or other legal representatives.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be executed as of the date first written above.

  
MANG-SHIANG LEE