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FORM PTO-1619A

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**RECORDATION FORM COVER SHEET
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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type☒ New☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

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Conveyance Type☒ Assignment☐ Security Agreement☐ License☐ Change of Name☐ Merger☐ Other**U.S. Government**

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File☐ Secret File**Conveying Party(ies)**☒ Mark if additional names of conveying parties attached

Name (line 1) Piccioli, David P.

Execution Date
Month Day Year
05/01/2001

Name (line 2)

Second Party

Name (line 1) Krishnakumar, Suppayan M.

Execution Date
Month Day Year
05/01/2001

Name (line 2)

Receiving Party☐ Mark if additional names of receiving parties attached

Name (line 1) CONTINENTIAL PET TECHNOLOGIES, INCORPORATED

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1) 7310 Turfway Rd., Suite 490

Address (line 2)

Address (line 3) Florence

City

KY

State/Country

41042

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Lars S. Johnson

Address (line 1) The Quaker Oats Company

Address (line 2) 321 N. Clark Street

Address (line 3)

Address (line 4) Chicago, IL 60610

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 011940 FRAME: 0204

Correspondent Name and Address

Area Code and Telephone Number (312) 222-7407

Name Lars S. Johnson

Address (line 1) The Quaker Oats Company

Address (line 2) 321 N. Clark Street

Address (line 3)

Address (line 4) Chicago, IL 60610

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

three (3)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

29/136,029

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

17-0025

Authorization to charge additional fees:

Yes

☐

No

☒

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Lars S. Johnson

Name of Person Signing

Signature

Date

6/21/01

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Collette, Wayne N.

Execution Date
Month Day Year

05/01/2001

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

IN CONSIDERATION of One Dollar and other good and valuable considerations, the sufficiency and receipt of which is hereby acknowledged, we David P. Piccioli, Suppayan M. Krishnakumar, and Wayne N. Collette, hereby assign to CONTINENTAL PET TECHNOLOGIES, INCORPORATED, a corporation of the State of Delaware having its principal office in Florence, Kentucky, United States of America, its successors and assigns, the entire right, title and interest in the bottle design invention, as set forth below in a design patent application for BOTTLE PORTION, filed on the 18th day of January, 2001, and assigned Serial No. 29/136,029, executed by us respectively, the 1st day of May, 2001, 1 day of May, 2001, and the 1st day of May, 2001 (a representative of the named assignee being hereby authorized to insert said execution dates when ascertained), including any improvements, thereof and any and all divisional and continuation applications, and in any and all Letters Patents, reissues and extensions issuing thereon, and we hereby authorize and request the Commissioner of Patents to assign said Letters Patents to said CONTINENTAL PET TECHNOLOGIES, INCORPORATED.

FOR SAID CONSIDERATION, we hereby agree, upon the request and on behalf of said assignee, its successors and assigns, to execute any and all divisional and continuing applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of said Letters Patents and any Letters Patent that may be granted upon any divisional and continuation applications that said assignee, its successors or assigns, may deem necessary or expedient, and for the said considerations we further agree upon the request of said assignee, its successors and assigns, in the event of said Letters Patents or any division or continuation thereof, or any Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, we hereby agree to perform, upon such request and at the expense of said assignee, any and all affirmative acts to obtain said Letters Patents and vest all rights therein hereby conveyed in the said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made. And for the said considerations we hereby assign to said CONTINENTAL PET TECHNOLOGIES, INCORPORATED, its successors and assigns, the entire right, title and interest in said invention or improvements for any and all foreign countries and agree upon the request of said CONTINENTAL PET TECHNOLOGIES, INCORPORATED, its successors and assigns, to execute any and all documents that shall be required of us to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to vest title in said foreign applications and patents in said assignee, without further compensation, but at the expense of said assignee.

EXECUTED this 1st day of May, 2001.

By: David P. Piccioli
Name: David P. Piccioli

STATE OF New Hampshire)
COUNTY OF Hillsborough) SS.

On this 1st day of May, 2001, before a Notary Public in and for the County and State aforesaid, appeared David P. Piccioli, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Dorothy A. Hamill (SEAL)
Notary Public

DOROTHY A. HAMILL, Notary Public
My Commission Expires May 13, 2003

EXECUTED this 1st day of May, 2001.

By: Suppayan M. Krishnakumar
Name: Suppayan M. Krishnakumar

STATE OF New Hampshire)
COUNTY OF Hillsborough) SS.

On this 1st day of May, 2001, before a Notary Public in and for the County and State aforesaid, appeared Suppayan M. Krishnakumar, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Dorothy A. Hamill (SEAL)
Notary Public

DOROTHY A. HAMILL, Notary Public
My Commission Expires May 13, 2003

EXECUTED this 1st day of May, 2001.

By Wayne N. Collette
Name: Wayne N. Collette

STATE OF New Hampshire)
COUNTY OF Hillsborough) SS.

On this 1st day of May, 2001, before a Notary Public in and for the County and State aforesaid, appeared Wayne N. Collette, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Dorothy A. Hamill (SEAL)
Notary Public

DOROTHY A. HAMILL, Notary Public
My Commission Expires May 13, 2003