

07-09-2001



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APPENDIX B

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DOCKET NO. 210_187

Form PTO 1595

U.S. Department of Commerce

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents on hand thereof.

1. Name of conveying party(ies)

Neelkanth S. Gupte

Additional name(s) of conveying parties attached ☒ No

2. Name and address of receiving party(ies):

Name: Carrier Corporation
Address: Carrier World Headquarters
One Carrier Place
Farmington, CT 06034

Additional name(s) attached? ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date June 21, 2001

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of this application is June 21, 2001.

A. Patent Application No.(s)

B. Patent No.(s)

Additional Numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Owen D. Marjama
Address: WALL MARJAMA & BILINSKI
101 South Salina Street, Suite 400
Syracuse, NY 13202
Telephone: (315) 425-9000
Facsimile: (315) 425-9114

6. Total number of application and patents involved: 1

7. Total fee (37 CFR 3.4) \$40.00

☒ Enclosed
☒ Authorized to charge any deficiency or credit any over payment to
Deposit Account No. 50-0289.

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8. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Owen D. Marjama

Reg. No. 22,818

June 26, 2001

Date

Total Number of Pages Comprising Cover Sheet 3

OMB NO 0651-0011(EXP. 4/94)

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1033 U.S. PTO
09/891721
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PATENT
REEL: 011941 FRAME: 0276

ASSIGNMENT

(1-8) Insert Name(s) of Inventor(s)

(1) Neelkanth S. Gupte (5) _____
(2) _____ (6) _____
(3) _____ (7) _____
(4) _____ (8) _____

In consideration of the sum of dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(9) Insert name of Assignee

(9) Carrier Corporation

(10) Insert address of Assignee

(10) Carrier World Headquarters Building, One Carrier Place,
Farmington, CT 06034

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(11) Insert Identification of Invention,
such as Title, Case Number or
Foreign Application Number

(11) HEAT EXCHANGER FOR HIGH STAGE GENERATOR OF
ABSORPTION CHILLER
(Case No. 210 187) (Carrier)

for which the undersigned have executed an application for patent in the United States of America

(12) Insert Date of Signing of
Application

(12) on June 21, 2001

(13) Alternative identification

(13) U.S. Application(s) Serial Number(s) Not assigned
for filed application(s) filed Concurrently herewith

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) Owen D. Marjama, of WALL MARJAMA & BILINSKI the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date June 21, 2001 Signature Neelkanth Shridhar Gupte (SEAL)
Neelkanth S. Gupte

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. or (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date _____ Witness _____

Date _____ Witness _____

STATE OF NEW YORK }
COUNTY OF ONONDAGA } SS:

On this 21st day of June, before me, the subscriber(s) personally came Neelkanth S. Gupte to me known and known to me to be the person(s) described in and who executed the within instrument and he(they) acknowledged to me that he (they) executed the same.

Karen F. Gilman
Notary Public

KAREN F. GILMAN
Notary Public in the State of New York
Qualified in Onondaga County No. 4793300
My Commission Expires February 28, 2002