

BOX ASSIGNMENTS

07-09-2001

RECO
PATENT A

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

SIR: Please record the enclosed original documents or copy thereof.

1. NAME OF CONVEYING PARTYIES (ASSIGNORS):

- a. **Susanna P. Gordon**
b. **John A. Evans**
c.

- d.
e.
f.

Additional names of conveying parties enclosed?

☒ NO☐ YES

2. PARTY(ASSIGNEE) RECEIVING INTEREST:

NAME: **San Francisco Bay Area Rapid Transit District**
ADDRESS: **800 Madison Street**
Oakland, CA 94607

Additional names and addresses enclosed?

☒ NO☐ YES

3. NATURE OF CONVEYANCE:

(Submit only one document for recordation--multiple copies of same Assignment signed by different inventors is one document)

☒ Assignment of ☒ Whole ☐ Part interest☐ Change of name ☒ Patent Application☐ Security ☐ Merger ☐ Other:Execution date: **June 11, 2001 and**
June 20, 2001

4. Execution date/s on Declaration (if filed herewith):

4.5 Appl/Pat Nos.: others on additional sheets enclosed?

☒ NO ☐ YES

A. Pat. App. No/s	Matter #	1st inventor if not in item 1	B. Patent No(s)	1st inventor if not in item 1
09/291,814	259601			

5. Name and address of party to whom correspondence concerning document should be mailed:

Pillsbury Winthrop LLP
1600 Tysons Boulevard
McLean, Virginia 22102

6. NUMBER INVOLVED:

Appls: **1** + Pats: **0** = TOTAL **1**

7. Amount of fee enclosed (Code 581):

Above Total x \$40 = **\$40.00**5.5 ATTY DKT: **00008/259601****BART-001**

8. If above fee is missing or inadequate, charge insufficiency to DEPOSIT ACCOUNT NO. 03-3975

UNDER ORDER NO.: 259601

MATTER NO.

CLIENT REF.

CLIENT/MATTER

9. STATEMENT AND SIGNATURE. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signature

10. Total pages including this cover sheet and document: **2**

Atty: **Chang H. Kim, Reg. No. 42,727**
Tel: **(650) 233-4776 Fax: (650) 233-4545**

Date: **June 29, 2001**
Atty/Sec: **CHK/cp**

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PATENT
REEL: 011942 FRAME: 0146

ASSIGNMENT
of U.S. Origin Patent Application

WHEREAS, the undersigned, (1) Susanna P. Gordon and (2) John A. Evans (hereinafter ASSIGNORS), have made an invention entitled, *Efficient High Density Train Operations*, for which an application for Letters Patent of the United States was filed in the United States Patent and Trademark Office on April 14, 1999, under Serial No. 09/291,814; and

WHEREAS, SAN FRANCISCO BAY AREA RAPID TRANSIT, ASSIGNEE, a public agency duly organized and existing under the laws of the State of California, having an office and place of business at 800 Madison Street, Oakland, California 94607, desires to acquire an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said ASSIGNOR does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

NOTE :The undersigned hereby authorizes Pillsbury Winthrop LLP, of the above address, to insert further identification necessary or desirable for recordation of this document.

Executed on the date below indicated.

Signature

Date Signed

Witness



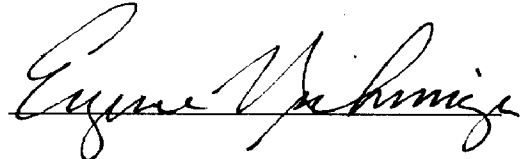
6/11/01



Susanna P. Gordon



6/20/01



John A. Evans

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