

07-10-2001

Express Mail Label No.: None



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Box Assignment June 28, 2001  
Commissioner of Patents and Trademarks  
Washington, DC 20231 Atty. Ref.: JWI Item 37

Please record the attached original document or copy thereof.

Name of Conveying Party(ies): Bankruptcy Office Arlesheim, for  
Bankrupt Dry-Proc AG

Name and Address of Receiving Party(ies):  
US Filter/JWI, Inc.  
2155 112th Avenue  
Holland, Michigan 49424

Nature of Conveyance:  
 Assignment  Security Agreement  Merger  License  
 Change of Name  Other:

Execution Date of Document: January 5, 2001

If this document is being filed together with a new application, the execution date of the application is: N/A

Patent Application Numbers: Patent Numbers:  
5 558 773

Total Number of Applications and Patents Involved: 1. An enclosed check includes the recording fee of \$40.00. The Commissioner is hereby authorized to credit any overpayment, or to charge any additional recording fee required by this paper, to Deposit Account No. 06-1382.

Mail correspondence concerning FLYNN, THIEL, BOUTELL & TANIS, P.C.  
the document to: 2026 Rambling Road  
Kalamazoo, MI 49008-1699

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Respectfully submitted,

Dale H. Thiel

DHT/jp

FLYNN, THIEL, BOUTELL  
& TANIS, P.C.  
2026 Rambling Road  
Kalamazoo, MI 49008-1699  
Phone: (616) 381-1156  
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Dale H. Thiel  
David G. Boutell  
Ronald J. Tanis  
Terryence F. Chapman  
Mark L. Maki  
David S. Goldenberg  
Sidney B. Williams, Jr.  
Liane L. Churney  
Brian R. Tumm

Reg. No. 24 323  
Reg. No. 25 072  
Reg. No. 22 724  
Reg. No. 32 549  
Reg. No. 36 589  
Reg. No. 31 257  
Reg. No. 24 949  
Reg. No. 40 694  
Reg. No. 36 328

Encl: Assignment  
Check

Total number of pages, including cover sheet, attachments and documents 11


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07/10/2001 LMUELLER 00000028 5558773

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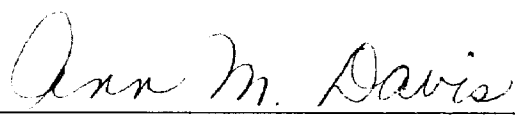
40.00 DP

PATENT  
REEL: 011944 FRAME: 0482

I, Jill Pinkerton, state that I personally made a photocopy of an original Assignment document and certify that the attached is a true photocopy of the original Assignment document as signed by von Deschwanden, Bankruptcy Administrator, on behalf of Bankruptcy Office Arlesheim on January 5, 2001.

  
\_\_\_\_\_  
(signature)

Subscribed and sworn to before me on January 25, 2001,  
Kalamazoo County, Michigan.

  
\_\_\_\_\_  
(signature)  
**ANN M. DAVIS**  
Notary Public, Kalamazoo County, MI  
My Commission Expires 7/28/2003

\_\_\_\_\_  
Notary Public (printed name)

My commission expires:

## ASSIGNMENT

WHEREAS, Bertrams Dehydrat AG, a corporation of Switzerland, is owner of the entire right, title and interest in and to U.S. Patent No. 5 558 773 by virtue of an Assignment recorded in the U.S. Patent and Trademark Office on or about November 8, 1995.

WHEREAS, effective on or about 11 June 1999, Bertrams Dehydrat AG officially changed its name to Dry-Proc AG (see Attachment A);

WHEREAS, on or about 23 July 1999, by virtue of a petition filed with the Swiss District Court of Arlesheim, Dry-Proc AG was officially declared bankrupt by the judge (see Attachment B);

WHEREAS, by virtue of the official bankruptcy of Dry-Proc AG and by order of the Swiss District Court of Arlesheim on or about 12 August 1999 (see Attachment C), all assets of Dry-Proc AG including the aforementioned U.S. Patent No. 5 558 773 are under the control of the Konkursamt (i.e., Bankruptcy Office) of Arlesheim, Switzerland, herein referred to as Bankruptcy Office Arlesheim, which is empowered to sell any of said assets.

WHEREAS, US Filter/JWI, Inc., a corporation of Michigan having a place of business at Holland, Michigan, USA, is desirous of obtaining ownership of aforementioned U.S. Patent No. 5 558 773.

NOW, THEREFORE, for good and valuable consideration from US Filter/JWI, Inc. to Bankruptcy Office Arlesheim, receipt of which is hereby acknowledged, Bankruptcy Office Arlesheim, representing and acting on behalf of Bankrupt's Estate Dry-Proc AG, 4132 MuttENZ, hereby sells, assigns and transfers to US Filter/JWI, Inc. the entire right, title and interest in and to U.S. Patent No. 5 558 773, and the inventions defined therein.

Signed at Zurich, Switzerland on January 5, 2001

BANKRUPTCY OFFICE ARLESHEIM

By: Wolfgang Deschwanden  
von Deschwanden  
Bankruptcy Administrator



# Handelsregister Kanton Basel-Landschaft - Hauptregister

A

Firmennummer	Rechtsnatur	Eintragung	Löschung	Uebertrag von:	
H-280.3.900.177-9	Aktiengesellschaft	28.06.1995		auf:	1

**Alle Eintragungen**

Zi	LÖ	Firma	Ref	Sitz
1		<del>Bertrams-Dehydrat AG</del>	1	Muttenz
1	3	<del>(Bertrams-Dehydrat SA) (Bertrams-Dehydrat Ltd)</del>		
3		<del>Dry-Proc AG</del>		
3	4	<del>(Dry-Proc SA) (Dry-Proc Ltd)</del>		
4		Dry-Proc AG in Liquidation		

Ref	Aktienkapital (CHF)	Liberierung (CHF)	Aktien-Stückelung	Ref	Adresse der Firma
1	800'000.--	800'000.--	800 Namenaktien zu CHF 1'000.--	1	Eptingerstrasse 41 4132 Muttenz

Ref	PS-Kapital (CHF)	Liberierung (CHF)	Partisipationsscheine

Zi	LÖ	Zweck	Ref
1		Erbringung von Dienstleistungen wie Beratung, Verfahrenstechnik, Engineering und Projekt- und Qualitätsmanagement mit Eigen- oder Dritt-Know how im Bereich der Filtrations- und Trocknungstechnik für den öffentlichen Sektor und für die chemischen und verwandten Industrien, ferner Lieferung von Komponenten und Anlagen sowie das Tätigwerden als Generalunternehmer in diesem Bereich. Die Gesellschaft kann gewerbliche Schutzrechte erwerben und verwerten sowie sich an anderen Unternehmen beteiligen und Liegenschaften kaufen, veräussern und verwalten.	

Zi	LÖ	Bemerkungen	Ref	Statutendatum
1		Die Mitteilungen der Gesellschaft an die Aktionäre erfolgen durch eingeschriebenen Brief oder Zustellung gegen Empfangsbescheinigung.	1	02.06.1995
1	4	<del>Die Übertragbarkeit der Namenaktien ist nach Massgabe der Statuten beschränkt.</del>	3	11.06.1999
2		Bei der Kapitalherabsetzung vom 03.06.1998 werden 800 Namenaktien zu CHF 1'000.-- vernichtet. Gleichzeitig werden 800 voll liberierte Namenaktien zu CHF 1'000.-- ausgegeben.		
4		Mit Verfügung vom 23.07.1999 hat der Konkursrichter des Bezirksgerichts Arlesheim über die Gesellschaft den Konkurs eröffnet; demnach ist die Gesellschaft aufgelöst.		

Zi	LÖ	Sacheinlagen, Sachübernahmen, beso Vorteile, Genussscheine	Ref	Publikationsorgan
1		Sacheinlage/Sachübernahme: Die Gesellschaft übernimmt bei der Gründung gemäss Sacheinlagevertrag und Inventarliste vom 02.06.1995 Maschinen, Labor- und Büroeinrichtungen sowie technische Unterlagen zum Preis von CHF 1'761'226.--, wofür 800 Namenaktien zu CHF 1'000.-- ausgegeben und CHF 961'226.-- als Forderung gutgeschrieben werden.	1	SHAB

Zi	LÖ	Zweigniederlassung	Zi	LÖ	Zweigniederlassung	Zi	LÖ	Zweigniederlassung	Zi	LÖ	Zweigniederlassung

Zei	Ref	TB-Nr	TB-Datum	SHAB	SHAB-Datum	Seite	Zei	Ref	TB-Nr	TB-Datum	SHAB	SHAB-Datum	Seite
AC	1	1906	28.06.1995	131	10.07.1995	3866	PS	4	KK 2845	30.07.1999	KK 150	05.08.1999	5348
AC	2	2305	26.06.1998	125	02.07.1998	4561							
KU	3	2174	17.06.1999	119	23.06.1999	4197							

Zi	Ae	LÖ	Personalangaben	Funktion	Zeichnungsart
1			Herren, Dr. Erwin, von Mühleberg, in Fribourg	Präsident	Kollektivunterschrift zu zweien
1		2	<del>Küng, Hans Rudolf, von Kaltenbach, in Frenkendorf</del>	<del>Mitglied</del>	<del>Kollektivunterschrift zu zweien</del>
1		3	<del>Stals, Bernhard, deutscher Staatsangehöriger, in Grafenhausen (D)</del>	<del>Mitglied</del>	<del>Kollektivunterschrift zu zweien</del>
1			Aigeldinger, Jean-Claude, von Möhlin, in Wittnau	Direktor	Kollektivunterschrift zu zweien
1			Mützenberg, Willy, von Zweisimmen, in Kriegstetten		Kollektivprokura zu zweien
1			ATAG Ernst & Young AG, in Basel	Revisionsstelle	
2			Pedrocchi, Dr. Luigi, von Muralto und Zürich, in Maisprach	Mitglied	Kollektivunterschrift zu zweien



# Handelsregister Kanton Basel-Landschaft - Hauptregister

K-280.3.900.177-9	Dry-Proc AG in Liquidation	Muttenz	2
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lle Eintragungen

Liestal, 12.07.2000 10:40

Dieser Auszug aus dem kantonalen Handelsregister hat ohne die nebenstehende Originalbeglaubigung keine Gültigkeit. Er enthält alle gegenwärtig für diese Firma gültigen Eintragungen sowie allfällig seit 28.06.1995 gestrichenen Eintragungen.  
 Auf besonderes Verlangen kann auch ein Auszug erstellt werden, der lediglich alle gegenwärtig gültigen Eintragungen enthält.



<b>Beglaubigter</b> <b>Auszug</b>
Handelsregister des Kantons Basel-Landschaft <i>[Signature]</i>

Excerpt from the Registry of Commerce of the Canton of Basle-Land - Main Registry

Company No.	Type of Company	Date of Registration	Date of Deregistration	carry-over from: to:
CH-280.3.900.177-9	stock corporation	28.06.1995		

All Registrations

Reg	Dereg	Company Name	Ref	Domicile
1		Bertrams-Dehydrat AG	1	Muttenz
1	3	(Bertrams-Dehydrat SA) (Bertrams-Dehydrat Ltd)		
3		Dry-Proc AG		
3	4	(Dry-Proc SA) (Dry-Proc Ltd)		
4		Dry-Proc AG in Liquidation		

Ref	Stated Capital (CHF)	Original Capital Contribution (CHF)	Devision into Shares	Ref	Address of the Company
1	800'000.--	800'000.--	800 registered shares of CHF 1'000.--	1	Eptingerstrasse 41 4132 Muttenz
Ref	Participation Share Capital (CHF)	Original Participation Contribution (CHF)	Participation Certificates		

Reg	Dereg	Purpose	Ref
1		Rendering of services such as consultancy, technology, engineering and management of project and quality with own know-how or such of others in the area of filtration and drying techniques for the public sector and for chemical and similar industries; furthermore the delivery of components and installations/constructions as well as acting as general contractor in this area. The company can obtain and exploit intellectual property rights, as well as participate in other businesses, and buy, sell and administrate real estate.	

Reg	Dereg	Comments	Ref	Date of Articles of Incorporation
1		Notifications of the company to the shareholders shall occur by means of registered letter or by delivery against receipt.	1	02.06.1995
1	4	<del>The transferability of registered shares is restricted pursuant to the articles of incorporation.</del>	3	11.06.1999
2		In the course of the capital decrease dated June 3, 1998, 800 registered shares at a par value of CHF 1'000.-- each are destroyed. At the same time, 800 fully paid-in registered shares at a par value of CHF 1'000.-- each are issued.		
4		Based on the decision of July 23, 2000, the bankruptcy judge of the District Court of Arlesheim declared the company as bankrupt; therefore the company is liquidated.		

Reg	Dereg	Contribution in kind/acquisition of assets, special advantages, certificates of ...	Ref	Publication
1		Contribution in kind/acquisition of assets: On foundation, the company takes-over machines, laboratory and office instalments as well as technical records, based on the contract regarding contribution in kind and on the inventory list dated June 2, 1995, to the price of CHF 1'761'226.-- for which 800 registered shares at a par value of CHF 1'000.-- each are issued and CHF 961'226.-- are credited as claim.	1	Swiss Commercial Gazette (SHAB)

Reg	Dereg	Branch	Reg	Dereg	Branch	Reg	Dereg	Branch	Reg	Dereg	Branch

Sign	Ref	TB-No.	TB-Date	SHAB	SHAB-Date	Page	Sign	Ref	TB-No.	TB-Date	SHAB	SHAB-Date	Page
AC	1	1906	28.06.1995	131	10.07.1995	3866	PS	4	KK	30.07.1999	KK	05.08.1999	5348
AC	2	2305	26.06.1998	125	02.07.1998	4561			2845		150		
KU	3	2174	17.06.1999	119	23.06.1999	4197							

Reg	Change	Dereg	Personal Data	Function	Signing Power
1			Herren, Dr. Erwin, from Mühleberg, in Fribourg	President of the Board of Directors	joint signature by two
1		2	Küng, Hans-Rudolf, from Kaltbach, in Frenkendorf	Member of the Board of Directors	joint signature by two

1		3	<del>Stulz, Bernhard, German citizen, in Grafenhausen (D)</del>	Member of the Board of Directors	joint signature by two
1			Aigeldinger, Jean-Claude, from Möhlin, in Wittnau	Manager	joint signature by two
1			Mützenberg, Willy, from Zweisimmen, in Kriegstetten		procurationholder,
1			ATAG Ernst & Young AG, in Basel	Auditors	joint signature by two
2			Pedrocchi, Dr. Luigi, from Muralto and Zürich, in Maisprach	Member of the Board of Directors	joint signature by two

CH-280.3.900.177-9	Dry-Proc AG in Liquidation	Muttenz	2
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All Registrations

This excerpt from the Cantonal Registry of commerce is invalid without the original stamp. It contains all registrations presently valid for this company, as well as cancelled registrations since June 28, 1995. An excerpt only listing the presently valid registrations may be made available upon demand.

Liestal, July 12, 2000; 10:40

[Stamp] Certified Excerpt  
Registry of Commerce of the Canton of Basle-Land  
The Registrar  
[sig]

September 19, 2000  
71033/01/0004.doc/CA/bg



34-99/2520 I

Kanton Basel-Landschaft  
BEZIRKSGERICHT ARLESHEIM

Archiv Nr.

99/43



## Auszug aus dem Protokoll des Bezirksgerichts Arlesheim

vom 23. Juli 1999

in Sachen Dry-Proc AG, Eptingerstrasse 41, 4132 Muttenz  
vertr. durch Dr. Dieter Riggenbach, Advokat,  
Elisabethenstrasse 15, 4010 Basel

betreffend Insolvenzerklärung

Gestützt auf die Erklärung der Gesuchstellerin vom 22.7.1999 und gemäss Art.  
191 SchKG wird in Anwendung von § 5 Ziff. 5 ZPO

### erkannt:

- //:
1. Es wird über Dry-Proc AG, Eptingerstrasse 41, 4132 Muttenz,  
heute, 23. Juli 1999, 10:30 Uhr der Konkurs eröffnet.
  2. Die Kosten gehen zu Lasten der Konkursmasse.

In Rechtskraft (Appellationsverzicht).

Mitteilung an Gesuchstellerin  
Konkursamt, Betreibungsamt, Grundbuchamt Arlesheim  
Handelsregisteramt Basel-Landschaft, Liestal

BEZIRKSGERICHT ARLESHEIM  
Der Gerichtspräsident:

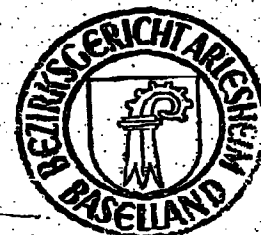
*C. Steullet*  
C. Steullet

Abrechnung  
Bezahlung von  
Dry-Proc AG, Muttenz  
/. Gerichtskosten  
An Konkursamt Arlesheim

Fr. 10'000.-  
Fr. 100.-  
Fr. 9'900.-

Für getreue Abschrift:  
Die Gerichtskanzlei:

*Kaufmann*  
(Kaufmann)





Canton of Basle-Land  
DISTRICT COURT OF ARLESHEIM

## Excerpt from the Minutes of the District Court of Arlesheim

dated July 23, 1999

in matters concerning Dry-Proc AG, Eptingerstrasse 41, 4132 MuttENZ  
represented by: Dr. Dieter Riggerbach, attorney,  
Elisabethenstrasse 15, 4010 Basle

regarding insolvency declaration

Based on the declaration of the applicant dated July 23, 1999 and pursuant to Art. 191 of the Federal Statute on Debt Collection and Bankruptcy, and in application of para. 5 no. 5 of the Civil Procedure Code,

**it is decided as follows:**

1. Dry-Proc AG, Eptingerstrasse 41, 4132 MuttENZ, is declared bankrupt as of today, July 23, 1999, 10:30 hrs.
2. The costs are charged to the account of the bankrupt's estate.

Legally effective (renunciation of appeal).

Notification of Applicant  
Bankruptcy Office, Debt Collection Office, Land-Registry Office of Arlesheim  
Commercial Registry of Basle-Land, Liestal

DISTRICT COURT OF ARLESHEIM  
President of the Court

[Sig]

C. Steullet

### Settlement

paid by Dry-Proc AG, MuttENZ	CHF 10'000.--
./ Court costs	<u>CHF 100.--</u>
To Bankruptcy Office of Arlesheim	<u>CHF 9'900.--</u>

71033/01/0006.doc /CA/bg

[stamp] For true transcription:  
[Sig]  
[Kaufmann]



# Auszug aus dem Protokoll des Bezirksgerichts Arlesheim

vom 12. August 1999

in Sachen Dry-Proc AG, Eptingerstrasse 41, 4132 Muttenz  
vertr. durch: Dr. Dieter Riggenbach, Advokat,  
Elisabethenstrasse 15, 4010 Basel

betreffend **Bewilligung des summarischen Verfahrens**

Gestützt auf die Eingabe des Konkursamtes Arlesheim vom 12. August 1999 und  
gemäss Art. 231 SchKG wird

### verfügt:

- 1. Es wird das summarische Verfahren angeordnet.
- 2. Die Kosten von Fr. 100.-- gehen zu Lasten der Konkursmasse.

In Rechtskraft.

Mitteilung an das Konkursamt Arlesheim

BEZIRKSGERICHT ARLESHEIM  
Der Gerichtspräsident:

*[Handwritten signature]*  
Dr. M. Leuenberger

Für getreue Abschrift:  
Die Gerichtskanzlei:

*[Handwritten signature]*  
.....  
(Belzung)



Canton of Basle-Land  
DISTRICT COURT OF ARLESHEIM

## Excerpt from the Minutes of the District Court of Arlesheim

dated August 12, 1999

in matters concerning Dry-Proc AG, Eptingerstrasse 41, 4132 Muttenz  
represented by: Dr. Dieter Riggerbach, attorney,  
Elisabethenstrasse 15, 4010 Basle

regarding grant of summary proceedings

Based on the application of the Bankruptcy Office of Arlesheim dated August 12, 1999, and pursuant to Art. 231 of the Federal Statute on Debt Collection and Bankruptcy,

it is decided as follows:

1. Summary proceedings are ordered.
2. The costs of CHF 100.-- are charged to the account of the bankrupt's estate.

Legally effective.

Notification of the Bankruptcy Office of Arlesheim.

DISTRICT COURT OF ARLESHEIM  
President of the Court:

[Sig]  
Dr. M. Leuenberger

[stamp] For true transcription:

[Sig]

[Belzung]

IN THE U.S. PATENT AND TRADEMARK OFFICE

June 28, 2001

Patentee(s) : Jean-Claude AIGELDINGER et al.  
For : METHOD FOR PRODUCING AND DRYING A FILTER  
CAKE, WHILE AVOIDING ENTERING OF THE FILTER  
CAKE INTO A THERMOPLASTIC PHASE  
International Application No.: PCT/CH93/00263  
International Filing Date : November 19, 1993  
Patent No. : 5 558 773  
Issued : September 24, 1996  
Serial No. : 256 204  
Filed : September 9, 1994  
Atty. Docket No.: JWI Item 37

Assistant Commissioner for Patents  
Washington, DC 20231

REQUEST FOR RECORDATION

Sir:

Bertrams Dehydrat AG, a Swiss company, is the original record holder of U.S. Patent No. 5 558 773 by virtue of an Assignment recorded in the U.S. Patent and Trademark Office on or about November 8, 1995. Effective June 11, 1999, Bertrams Dehydrat AG changed its name to Dry-Proc AG. A Request for Recordation of this name change relative to the above-identified patent was submitted to the Patent Office via first class mail on June 21, 2001.

Subsequent to the above name change, Dry-Proc AG was officially declared bankrupt by the Swiss District Court of Arlesheim, Switzerland, which court placed all assets of Dry-Proc AG including the aforementioned U.S. Patent No. 5 558 773 under the control of the Konkursamt (i.e., Bankruptcy Office) of Arlesheim, Switzerland. The Bankruptcy Office of Arlesheim, acting on behalf of the Bankrupt Dry-Proc AG, has now assigned aforementioned U.S. Patent No. 5 558 773 to U.S. Filter/JWI, Inc., a corporation of Michigan having a place of business at Holland, Michigan, U.S.A.

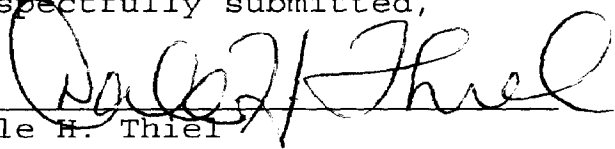
Enclosed is an Assignment document relative to aforementioned Patent No. 5 558 773 wherein the Bankruptcy

Patent No. 5 558 773

Office Arlesheim, acting on behalf of the Bankrupt Dry-Proc AG, has assigned the aforementioned patent to U.S. Filter/JWI, Inc. This Assignment also has attached thereto documents which evidence the name change from Bertrams Dehydrat AG to Dry-Proc AG (Attachment A to the Assignment), as well as the Petition (and its translation) as to the bankruptcy (Attachment B to the Assignment), and the decision by the Swiss District Court (Attachment C to the Assignment) empowering the Bankruptcy Office to handle the assets of Dry-Proc AG.

Kindly proceed with recordal of this Assignment.

Respectfully submitted,

  
Dale H. Thiel

DHT/jp

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& TANIS, P.C.  
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Reg. No. 24 323  
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Reg. No. 22 724  
Reg. No. 32 549  
Reg. No. 36 589  
Reg. No. 31 257  
Reg. No. 24 949  
Reg. No. 40 694  
Reg. No. 36 328

Encl.: True Copy of Assignment, with Attachments A, B and C  
and Recordation Form Cover Sheet  
Postal Card