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Attorney Docket No.: 655-007

101772237

**TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:**

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Tohru Kazawa**

Additional name(s) of conveying party(ies) attached?  
 yes  no

3. Nature of Conveyance  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date:  
May 30, 1997

2. Name and address of receiving party(ies):

Name: **HITACHI, LTD.**

Internal Address: \_\_\_\_\_

Street Address: **6, Kanda Surugadai 4-chome, Chiyoda-Ku**

City: **Tokyo** Country: **Japan**

Additional names and addresses attached?  yes  no

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

A. Patent Application No.(s)  
**08/872,973**

B. Patent No.(s):  
**N/A**

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Joseph Sofer**

Internal Address: **Sofer & Haroun, LLP**

Street Address: **342 Madison Avenue  
Suite 1921**

City: **New York** State: **NY** ZIP: **10173**

6. Total number of applications and patents involved:

**[1]**

7. Total fee (37 C.F.R. 3.41) . . . . . \$ **40.00**

Enclosed

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Joseph Sofer, Esq.**  
Name of Person Signing

Signature

**June 28, 2001**  
Date

Total number of pages including cover sheet, attachments and document **[3]**

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Mail documents to be recorded with required cover sheet information to:  
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## ASSIGNMENT OF APPLICATION FOR PATENT

## WHEREAS:

(1) Tohru Kazawa  
#309 SK-totsuka-ryo, 643 Yabe-cho, Totsuka-ku,  
Yokohama-shi, Kanagawa-ken 244, Japan

(2) \_\_\_\_\_

(3) \_\_\_\_\_

(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled:

OPTICAL TRANSMISSION EQUIPMENTS  
AND OPTICAL NETWORKS

(title of discovery or invention)

for which application for Letters Patent of the United States has been executed on even date herewith,

for which application for Letters Patent of the United States has been filed on \_\_\_\_\_, under Serial No. \_\_\_\_\_, and

## WHEREAS:

Hitachi, Ltd. of 6, Kanda Surugadai 4-chome, Chiyoda-ku, Tokyo 101, Japan  
(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

## NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR(S) of the sum of ten Dollars (\$10.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behoof of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives, or assigns, I will, at any time, when called upon to do so by the ASSIGNEE its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Assignor's signature: Tohru Kazawa

Assignor's name: Tohru Kazawa  
First name Middle initial Last name

Citizenship: Japan

WE HEREBY confirm that we have personally witnessed the inventor personally and freely sign this document.

Date: May 30, 1997 Witness name and signature Shigeru Tada

Date May 30, 1997 Witness name and signature Katsumi Kosuge