

Tab settings = = = ▼



To the Honorable Commissioner of

101771214

attached original documents or copy thereof.

1. Name of conveying party(ies):

Kouji MINAMI
Mari KATO
Masaharu SUGIYAMA

7-201

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Seiko Instruments Inc.

Internal Address: _____

Street Address: 8, Nakase 1-chome,

Mihama-ku, Chiba-shi

City: Chiba State: Japan ZIP: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: June 22, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/418,979

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bruce L. Adams

Internal Address: _____

07/09/2001 6TON11 00000044 09418979

FC:581

40.00 DP

Street Address: Adams & Wilks

50 Broadway-31st Floor

City: New York State: NY ZIP: 10004

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☒ Authorized to be charged to deposit account
any deficiency in enclosed fee

8. Deposit account number:

01-0268

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bruce L. Adams

Name of Person Signing

Signature

June 29, 2001

Date

Total number of pages including cover sheet, attachments, and document: 3

ASSIGNMENT

WHEREAS, we, Kouji Minami, residing at c/o Seiko Instruments Inc., 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan, and Mari Kato and Masaharu Sugiyama, residing at c/o SEIKO SEIKI KABUSHIKI KAISHA, 3-1, Yashiki 4-chome, Narashino-shi, Chiba, Japan, have invented new and useful improvements in END FACE POLISHING APPARATUS AND METHOD FOR POLISHING END FACE OF FERRULE

for which we have prepared an application for Letters Patent of the United States of America;

AND WHEREAS, Seiko Instruments Inc., located and doing business at 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan, is desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern, that for and in consideration of Ten Dollars (\$10.00) and other good and valuable consideration to us in hand paid, the receipt of which is hereby acknowledged, we have assigned, sold and transferred and by these presents do hereby assign, sell and transfer unto the said Seiko Instruments Inc. for the territory of the United States of America, our entire right, title and interest in and to the said invention, and in and to the said application, prepared and executed by us on December 2, 1999, filed October 14, 1999, Serial No. 09/418,979, preparatory to obtaining Letters Patent thereon and in and to any and all divisions, reissues and continuations thereof, and in and to any Letters Patent that may be granted thereon; said invention, application and Letters Patent to be held and enjoyed by the said Seiko Instruments Inc., its legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment, sale and transfer not been made.

AND, we hereby covenant and agree that we will at any time upon the request and at the expense of said assignee execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention and to obtain Letters Patent therefor, and we hereby authorize and request the Honorable Commissioner of Patents and Trademarks to issue the said Letters Patent to the said Seiko Instruments Inc. in accordance with this assignment.

Executed this 22nd day of June 2001, ~~XXXX~~at

Witness:

Masaki Hori

Masaki Hori

Kouji Minami

Kouji Minami

(L.S.)

Mari Kato

Mari Kato

(L.S.)

Masaharu Sugiyama

Masaharu Sugiyama

(L.S.)

_____(L.S.)

_____(L.S.)

_____(L.S.)

_____(L.S.)