

07-11-2001



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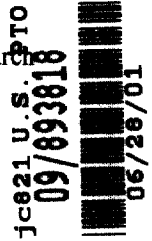
1. Name of conveying party(ies):

Chunfeng Zhao
Bradford L. Currier
Kai-Nan An
Fredrick Schultz
Patricia Neale

06/28/01

2. Name and address of receiving party(ies):

Mayo Foundation for Medical Education and Research
200 First Street SW
Rochester, Minnesota 55905

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: June 19, 2001, June 26, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 26, 2001.

A. Patent Application No.(s)

B. Patent No.(s)

09/893818

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael D. Schumann
Address: Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903



7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael D. Schumann

Name of Person Signing

Signature

June 28, 2001

Date

Total number of pages including cover sheet, attachments, and document: 5

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07/10/2001 LNUJELLER 00000063 09893818

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PATENT
REEL: 011950 FRAME: 0432

ASSIGNMENT

WHEREAS, we, Chunfeng Zhao, residing at 2130 9th Avenue NE, Rochester, Minnesota 55906, Bradford L. Currier, residing at 2005 Nerrihills Drive SW, Rochester, Minnesota 55902, Kai-Nan An, residing at 685 Itasca Court, Rochester, Minnesota 55901, Fredrick Schultz, residing at 2446 Northern Hill Court NE, Rochester, Minnesota 55906 and Patricia Neale, residing at 520 Zumbro Drive NW, Rochester, Minnesota 55901, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on even date herewith, which is entitled EXPANDABLE SCREW APPARATUS AND METHOD THEREOF.

AND WHEREAS, Mayo Foundation for Medical Education and Research, a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at 200 First Street SW, Rochester, Minnesota 55905 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the

aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

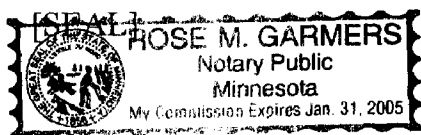
AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 19th day of June, 2001.

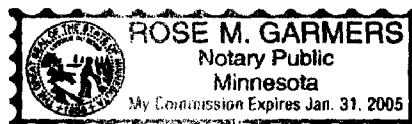
Chunfeng Zhao
Chunfeng Zhao

STATE OF Minn)
COUNTY OF Olustee) ss.

On this 19th day of June, 2001, before me personally appeared Chunfeng Zhao to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.



Rose M Garmers
Notary Public



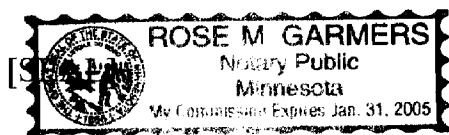
IN TESTIMONY WHEREOF, I have hereunto set my hand this 19th day of

X June, 2001.

X Bradford L. Currier MD
Bradford L. Currier

STATE OF Minnesota)
) ss.
COUNTY OF Orsted)
X

On this 19th day of June, 2001, before me personally appeared
Bradford L. Currier to me known and known to me to be the person described in and who
executed the foregoing instrument, and he duly acknowledged to me that he executed the same
for the uses and purposes therein set forth.



X Rose M. Garmers
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 26 day of

X June, 2001.

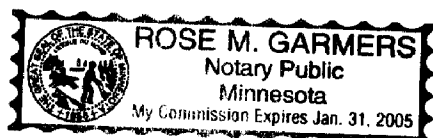
X Kai
Kai-Nan An

STATE OF Minnesota)
) ss.
COUNTY OF Orsted)
X

On this 26th day of June, 2001, before me personally appeared
Kai-Nan An to me known and known to me to be the person described in and who executed the
foregoing instrument, and he duly acknowledged to me that he executed the same for the uses
and purposes therein set forth.

[SEAL]

X Rose M. Garmers
Notary Public



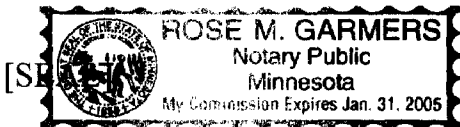
IN TESTIMONY WHEREOF, I have hereunto set my hand this 19th day of

June, 2001.

X Fredrick Schultz
Fredrick Schultz

STATE OF Minn.)
) ss.
COUNTY OF Olmsted)

On this 19th day of June, 2001, before me personally appeared
Fredrick Schultz to me known and known to me to be the person described in and who executed
the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses
and purposes therein set forth.



X Rose M. Garmers
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 26th day of

June, 2001.

X Patricia G. Neale
Patricia Neale

STATE OF X Minnesota)
) ss.
COUNTY OF X Olmsted)

On this 26th day of June, 2001, before me personally appeared
Patricia Neale to me known and known to me to be the person described in and who executed the
foregoing instrument, and he duly acknowledged to me that he executed the same for the uses
and purposes therein set forth.

[SEAL]

X Rose M. Garmers
Notary Public

