



07-10-2001



U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

101771351

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Joshua Makower
Theodore C. Lamson
J. Christopher Flaherty
John A. Reggie
John Y. Chang
Joseph Cantanese, III
David R. Tholfsen

7-2-01

2. Name and address of receiving party(ies):

TransVascular, Inc,
1505-D Adams Drive
Menlo Park, CA 94025
A California corporation.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 7, 2001

4. Application number(s) or patent number(s): 09/766,502

If this document is being filed together with a new application, the filing date of the application is: (Inventor Declaration Execution Date)

A. Patent Application No.(s):

B. Patent No.(s):

[Application No.]

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Robert D. Buyan
Stout, Uxa, Buyan & Mullins, LLP
4 Venture, Suite 300
Irvine, CA 92618

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40

- ☒ Enclosed (Check No. 2293)
☒ Authorized to be charged to deposit account if fee is insufficient.

8. Deposit account number: 50-0878

(Attach duplicate copy of this page if paying by deposit account.)

07/06/2001 MYUSUF1 00000084 500878 09766502
01 FC:581 40.00 OP

DO NOT USE THIS SPACE

9. Statement and signature.

Attorney Docket No. TRNSV-057A

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Robert D. Buyan

Name of Person Signing

Signature

June 26, 2001

Date

Total number of pages including cover sheet, attachments and document: [Total No. pages 3]

ASSIGNMENT

Name(s) of Inventor(s): Joshua Makower, Theodore C. Lamson, J. Christopher Flaherty, John A. Reggie, John Y. Chang, Joseph Catanese, III and David R. Tholfsen

Name of Assignee: TransVascular, Inc.

State of Incorporation of Assignee: California

Address of Assignee: 1505-D Adams Drive, Menlo Park, California 94025

Title of Application: Methods and Apparatus for Acute or Chronic Delivery of Substances or Apparatus

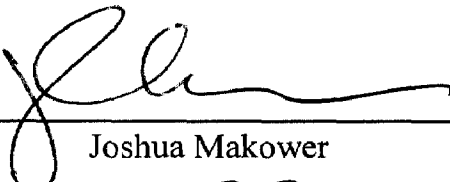
Application No.: 09/766,502 Date of Filing of Application: January 17, 2001

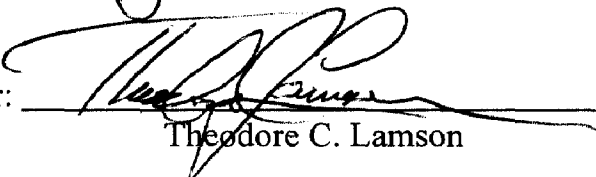
1. In consideration of the sum of \$1.00 and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned agree(s) to sell, assign and transfer and does (do) hereby sell, assign and transfer to the above-named Assignee, its successors and assigns, the entire and exclusive right, title and interest in and to the inventions claimed and described in the above-identified patent application in the United States and throughout the world, and in and to any applications for patent and patents which may be granted and issued thereon in any and all countries, and in and to any continuations, divisions, reissues and extensions of said application(s) and patent(s) and all international priority rights associated therewith. The undersigned agree(s) to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.
2. The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
3. The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
4. The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.
5. The undersigned hereby represent(s) and warrant(s) that no other assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.
6. The undersigned hereby authorize and request the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenant that they have full right to convey the entire interest herein assigned, and that they have not executed any agreement in conflict herewith.

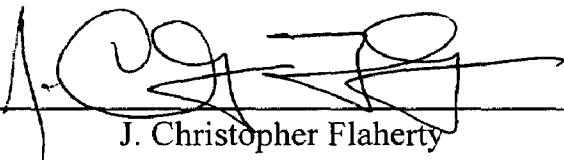
PATENT

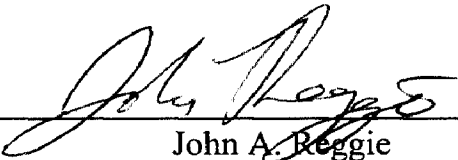
REEL: 011951 FRAME: 0737

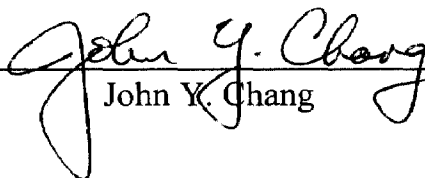
7. The undersigned hereby grant Frank J. Uxa, Reg. No. 25,612; Donald E. Stout, Reg. No. 34,493; Robert D. Buyan, Reg. No. 32,460; Kenton R. Mullins, Reg. No. 36,331; and Jo Anne M. Ybaben, Reg. No. 42,243, all of the firm of STOUT, UXA, BUYAN & MULLINS, LLP, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.


Signature of Inventor:  Date: 6/7/01
Joshua Makower

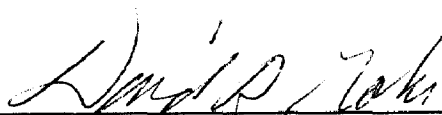
Signature of Inventor:  Date: 5/23/01
Theodore C. Lamson

Signature of Inventor:  Date: 6/6/01
J. Christopher Flaherty

Signature of Inventor:  Date: 6/7/01
John A. Reggie

Signature of Inventor:  Date: 5/23/01
John Y. Chang

Signature of Inventor:  Date: 6/22/01
Joseph Catanese, III

Signature of Inventor:  Date: 5/25/01
David R. Tholfsen