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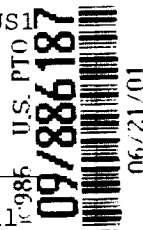
In place of Form PTO-1595

Docket No. AUS920010471US1

07-12-2001



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To the Assistant Commissioner for Patents  
documents or copy thereof.

101775999

and the attached original

## 1. Name of conveying party(ies):

GREGORY FRANCIS PFISTER  
RENATO JOHN RECIO  
NOSHIR CAVAS WADIA

## 2. Name and address of receiving party(ies):

International Business Machines  
Corporation  
New Orchard Road  
Armonk, New York 10504

MND 6-21-01

## 3. Nature of conveyance:

☒ Assignment

Execution Date:

6/20/01, 6/20/01, 6/19/01

4.

## Application number(s) or patent number(s):

If this document is being filed together with a new application, the  
execution date of the application is 6/20/01, 6/20/01, 6/19/01

## A. Patent Application(s)

## B. Patent No.(s)

09886187

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Mark E. McBurney  
IBM Corporation  
Intellectual Property Law  
Internal Zip 4054  
11400 Burnet Road  
Austin, TX 78758  
(512) 823-1003

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41) \$ 40.00

☒ Authorized to be charged to  
deposit account

## 8. Deposit account number:

09-0447

07/12/2001 00000045 090447 09886187

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and  
correct and any attached copy is a true copy of the original document.

Mark E. McBurney  
Name of Person Signing

Signature

Date

6/21/01

Total number of pages including cover sheet: 5

## A S S I G N M E N T

Whereas, we,

(1) Gregory Francis Pfister  
County of Travis

of Austin  
and State of Texas

and

(2) Renato John Recio  
County of Travis

of Austin  
and State of Texas

and

(3) Noshir Cavas Wadia  
County of Santa Clara

of Morgan Hill  
and State of California

have invented certain improvements in

**Apparatus and Method for Intersystem Lock Optimization**

and executed, respectively, a United States patent application therefore on  
(Dates Inventors Signed Declaration) (1) 6/20, 2001, (2)  
6/20, 2001, and (3) \_\_\_\_\_, 2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Austin on 6/20, 2001.

  
Gregory Francis Pfister

and (2) Signed at Austin on 6/20, 2001.

  
Renato John Recio

and (3) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2001.

\_\_\_\_\_  
Noshir Cavas Wadia

IBM DOCKET NO. AUS920010471US1

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have invented certain improvements in

Apparatus and Method for Intersystem Lock Optimization

and executed, respectively, a United States patent application therefore on  
(Dates Inventors Signed Declaration) (1) \_\_\_\_\_, 2001, (2)  
\_\_\_\_\_, 2001, and (3) JUNE 19, 2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of  
New York, having a place of business at Armonk, New York 10504, (hereinafter  
called IBM), desires to acquire the entire right, title and interest in the  
said application and invention, and to any United States and foreign patents  
to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby  
acknowledged, we, the above named, hereby sell, assign, and transfer to IBM,  
its successors and assigns, the entire right, title and interest in the said  
application and invention therein disclosed for the United States and foreign  
countries, and all rights of priority resulting from the filing of said United  
States application, and we request the Commissioner of Patents to issue any  
Letters Patent granted upon the invention set forth in said application to  
IBM, its successors and assigns; and we hereby agree that IBM may apply for  
foreign Letters Patent on said invention and we will execute all papers  
necessary in connection with the United States and foreign applications when  
called upon to do so by IBM.

(1) Signed at Austin on \_\_\_\_\_, 2001.

\_\_\_\_\_  
Gregory Francis Pfister

IBM DOCKET NO. AUS920010471US1

and (2) Signed at Austin on \_\_\_\_\_, 2001.

\_\_\_\_\_  
Renato John Recio

and (3) Signed at San Jose on 19th June, 2001.

Noshir Cavas Wadia  
Noshir Cavas Wadia