FORM PTO-1596

07-12-2001



U.S. DEPARTMENT OF COMMERCE

1-31-92 Patent and Trademark Office Docket No.: 50395-088 To the Honorable Commissioner for Factor record the attached original documents or copy thereto: 2. Name and address of receiving party(ies): 1. Name of Conveying Party(ies): SUMITOMO ELECTRIC INDUSTRIES, LTD. (1) Toshiaki OKUNO, (2) Eisuke SASOAKA, (3) Masayuki Name: NISHIMURA, and (4) Shigeru TANAKA Address: 5-33 Kitahama 4-chome Chuo-ku, Osaka-shi Additional name(s) of conveying party(ies) attached? Yes Osaka, JAPAN 541-0041 3. Nature of Conveyance: Merger Security Agreement Change of Name Other Execution Date: May 31, 2001 Additional name(s) & address(es) attached? Yes 4. Application number(s) or patent number(s): If the document is being filed together with a new application, the execution date of the application is: B. Patent No(s). A. Patent Application No(s). 09/788,659 Additional numbers attached? Yes 6. Total number of applications and patents involved: 5. Name and address of party to whom correspondence concerning document should be mailed: \$40.00 7. Total fee (37 CFR 3.41) Name: MCDERMOTT, WILL & EMERY ☐ Enclosed Internal Address: Authorized to be charged to deposit account 600 13th Street, N.W. 8. Deposit account number: Street Address: 500417 City: Washington State: DC Zip: 20005 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. July 6, 2001 Arthur J. Steiner Reg. No. 26,106 Date Name and Registration No. of Person Signing Total number of pages comprising cover sheet: CMB No. 0851-0011 (exp. 4/94)

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Docket No.: 50395-088

## ASSIGNMENT

In consideration of the premises and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, the undersigned,

(1)	Toshiaki OKUNO	(4)	Shigeru TANAKA		
(2)	Eisuke SASAOKA	(5)			
(3)	Masayuki NISHIMURA	(6)			
who have made a certain new and useful invention, hereby sell, assign and transfer unto SUMITOMO ELECTRIC INDUSTRIES, LTD.					
5-33, Kitahama 4-chome					
Chuo-ku, Osaka-shi					
Osaka, 541-0041 Japan					
its successors and assigns (hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100 in the invention entitled					

RAMAN AMPLIFYING CONTROL UNIT AND OPTICAL TRANSMISSION SYSTEM

- (a) for which an application for United States Letters Patent was filed on February 21, 2001, and identified by United States Serial No. 09/788,659; or
- (b) for which an application for United States Letters Patent was executed on,

and the undersigned hereby authorize and request the United States Commissioner for Patents and Trademarks to issue any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals, continuations, or continuations-in-part thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree that the attorneys of record in said application shall hereafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree to transfer a like interest, and to render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, upon request of the said ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, in and to any improvements, and applications for patent based thereon, growing out of or related to the said invention; and to execute any papers by the said ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

PATENT REEL: 011955 FRAME: 0135

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## SIGNED on the dates indicated aside our signatures:

**RECORDED: 07/06/2001** 

	INVENTORS	DATE SIGNED
1)	Lochil Obran	May. 31. 2001
	Name: Toshiaki OKUNO	•
2)	Eisahe Susaaha	May. 31. 2001
	Name: Eisuke SASAOKA	
3)	Name: Masayuki NISHIMURA	May 31, 200/
ŕ	Name: Masayuki NISHIMURA	
4)	Shigew Tanaka	May 31, 2001
	Name: Shigeru TANAKA	
5)		
,	Name:	
6)		
,	Name:	

PATENT REEL: 011955 FRAME: 0136