

07-12-2001

Docket No.: FIS920010133US1 (14567)

FORM PTO-1595 (Modified)
(Rev. 6-93)
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101775415

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Casimer Maurice DeCusatis
Lawrence Jacobowitz

06/27/01

2. Name and address of receiving party(ies):

Name: International Business Machines CorporationAddress: New Orchard Road

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherCity: ArmonkState/Prov.: NYCountry: USAZIP: 10504Execution Date: 06-22-01, 06-22-01

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 06-22-01, 06-22-01

EXPRESS MAIL NO.: EKL0228621405
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DATE OF DEPOSIT: 6/27/01BY SIGNATURE & DATE: Steven Fischman 6/27/01

Additional numbers

B. Patent No.(s)

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven FischmanRegistration No. 34,594Address: Scully, Scott, Murphy & Presser400 Garden City PlazaCity: Garden CityState/Prov.: NYCountry: USAZIP: 11530

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0458/IBM FI-371

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Tiffany L. Townsend, Reg. No. 43,199

Name of Person Signing

Signature

Date

2

Total number of pages including cover sheet, attachments, and

PATENT
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ASSIGNMENT

Whereas, we

Inventor and City	(1) Casimer Maurice DeCusatis County of Dutchess	City of Poughkeepsie and State of New York
and	(2) Lawrence Jacobowitz County of Dutchess	City of Wappingers Falls and State of New York

have invented certain improvements in SEMICONDUCTOR OPTICAL AMPLIFIERS USING WAVELENGTH
LOCKED LOOP TUNING AND EQUALIZATION

Dates That
Inventors
Signed the
Declaration

and have executed, respectively, a United States patent application therefor on

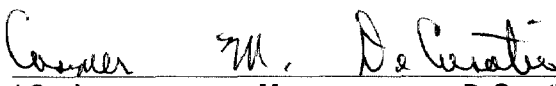
(1) 6-22-2001 2001, and (2) 6-22-2001

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

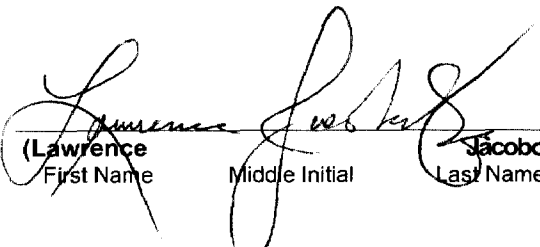
City
Date

(1) Signed at East Fishkill, NY
on 6-22-2001

 signature
(Casimer M. DeCusatis) inventor
First Name Middle Initial Last Name

City
Date

(2) Signed at East Fishkill, NY
on 6-22-2001

 signature
(Lawrence Jacobowitz) inventor
First Name Middle Initial Last Name